
Regular Council Meeting



Agenda

6:00 PM December 11, 2025

Teri Murphy, Mayor

Council Members:

Ora Allen..... At-Large
Donald Burchett..... At-Large
Mike Daugherty..... Ward 1
Dave Miller..... Ward 2
Shirley Whitt..... Ward 3
Jeanette Marcus..... Ward 4

Michael Davis, City Manager
Martina Dillon, Law Director

Payne Recreation Center • 3800 Main Street, Moraine, OH 45439

I. Regular Meeting Call to Order

- A. Roll Call
- B. Pledge of Allegiance
- C. Approval of Minutes
 - 1. Regular City Council Meeting Minutes - November 13, 2025
- D. Special Presentations
 - 1. Proclamation - Donald Burchett, At-Large Council Member

II. Reports from the Following

- A. Finance Committee
- B. Finance Director
 - 1. October Financial Report
 - 2. November Financial Report
- C. Committee of the Whole
- D. City Manager
 - 1. City Manager's Report - December 11, 2025
- E. Law Director
- F. Mayor

III. Guest Speakers - None

IV. Business

(Anyone wishing to raise a question about any piece of legislation listed on this agenda will have three (3) minutes at this time. All other topics to be addressed to Council will be heard later in this meeting.)

Ordinances

- 2214-25 An Ordinance to make supplemental appropriations for current expenses and other expenditures of the City of Moraine, State of Ohio, for the period January 1, 2025, to December 31, 2025, and declaring an emergency.
- 2215-25 An Ordinance approving the amended City of Moraine Personnel Policy Manual.
- 2216-25 An Ordinance approving current replacement pages to the Moraine Codified Ordinances and declaring an emergency.

Resolutions

- 8184-25 A Resolution authorizing the City Manager to enter into a contract with the Montgomery County Public Defender Office and the Montgomery County Public Defender Commission for legal services for indigent persons charged with jailable offenses under City Ordinances in the year 2026.
- 8185-25 A Resolution approving the continuation of the City's Self Insurance Program and

renewal of its contract with Anthem Blue Cross/Blue Shield to provide medical, dental, and vision insurance coverage via said Self Insurance Program.

8186-25 A Resolution authorizing the City Manager to enter into an agreement with Berkley Life and Health Insurance Company (Berkley Accident and Health) and the Waymark Collective for the provision of employer stop loss insurance coverage for the year 2026.

8187-25 A Resolution approving out-of-county and out-of-state conferences and seminars for Council Members of The City of Moraine to attend during the year 2026.

8188-25 A Resolution adopting an emergency action plan for the use of an Automated External Defibrillator (AED) for City sports and recreation locations.

8189-25 A Resolution accepting the proposal of The Kleingers Group for the provision of professional survey and design services for the Ora Everetts Park Splash Pad at a total cost of \$242,000.00.

Voice Vote

Liquor Permit - 3351 Main Street

Liquor Permit - 4032 Kettering Boulevard

V. Persons Appearing Before Council

VI. Any Other Business

VII. Executive Session

VIII. Adjournment

RECORD OF PROCEEDINGS

Minutes of City Council

Held November 13, 2025

Regular Meeting Call to Order

Meeting called to order 6:00 PM.

Roll Call

Teri Murphy	Mayor	Present
Donald Burchett	At-Large	Present
Ora Allen	At-Large	Present
Mike Daugherty	Ward 1	Present
Dave Miller	Ward 2	Present
Shirley Whitt	Ward 3	Present
Jeanette Marcus	Ward 4	Present

Staff Attendance:

City Manager Michael Davis	Law Director Martina Dillon
Police Chief Craig Richardson	Fire Chief Traci Kuzminski
Finance Director Annetta Williams	Street Superintendent Chris Dunn
Build. Maint. Superintendent Rocky Bangert	City Engineer Lauren Alvarado
Parks and Recreation Director Brent Shane	Public Information Officer Aaron Vietor
Build. & Zoning Admin. Brent Carpenter	Clerk of Council Karen Powell

Pledge of Allegiance

Mayor Murphy led the Pledge of Allegiance.

Approval of Minutes

Special Council Meeting Minutes - Budget - October 21, 2025

Mayor Murphy asked if there were any changes or corrections to the October 21, 2025, Special Council Budget meeting minutes. Hearing none, the minutes were approved as submitted.

Regular City Council Meeting Minutes - October 23, 2025

Mayor Murphy asked if there were any changes or corrections to the October 23, 2025, Regular Council meeting minutes. Hearing none, the minutes were approved as submitted.

Special Presentations

Veterans Day

Mayor Murphy recognized two veterans for their military service: Michael C. Bangert, who served in the U.S. Army Infantry and Kenneth D. Harvell, who served in the U.S. Marine Corps Infantry. She presented both veterans with an engraved memorial brick which will be installed at the City Municipal Building. Mayor Murphy and City Council recognized all veterans in attendance.

Reports from the Following

Finance Committee

No report.

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Minutes of City Council

Held November 13, 2025

Finance Director

No report.

Committee of the Whole

Ms. Marcus noted the last Committee of the Whole meeting was on October 23, 2025. She reported there would be a meeting this evening.

City Manager

Mr. Davis stated he has nothing further unless there are questions regarding the City Manager's Report.

City Manager's Report - November 13, 2025

Law Director

Request to add Ordinance 2212-25 to agenda.

Law Director Martina Dillon requested Council approval to add Ordinance 2212-25 to the agenda, which is an Ordinance to make permanent appropriations for current expenses and expenditures approved in the 2026 Budget.

Mrs. Whitt moved to approve the addition of Ordinance 2212-25 to the meeting agenda.

RESULT: Passed (*Yes 7, No 0, Abstained 0*)
MOVER: Shirley Whitt
AYES: Teri Murphy, Ora Allen, Mike Daugherty, Dave Miller, Shirley Whitt, Jeanette Marcus, Donald Burchett
NAYS: None
ABSTAIN: None

Request to add Ordinance 2213-25 to agenda.

Mrs. Dillon requested approval to add Ordinance 2213-25 to the meeting agenda. She stated the Ordinance approves amendments to the Table of Organization.

Mrs. Allen moved to add Ordinance 2213-25 to the meeting agenda.

RESULT: Passed (*Yes 7, No 0, Abstained 0*)
MOVER: Ora Allen
AYES: Teri Murphy, Ora Allen, Mike Daugherty, Dave Miller, Shirley Whitt, Jeanette Marcus, Donald Burchett
NAYS: None
ABSTAIN: None

Request to add Resolution 8182-25 to agenda.

Mrs. Dillon requested approval to add Resolution 8182-25 to the meeting agenda. She reported that this Resolution authorizes payment to the Ohio Department of Transportation (ODOT) in the amount of \$14,400.00 relative to resurfacing of specified roadway within the City of Moraine which would be South Dixie Drive.

Ms. Marcus moved to add Resolution 8182-25 to the meeting agenda.

RECORD OF PROCEEDINGS

Minutes of City Council

Held November 13, 2025

RESULT: Passed (*Yes 7, No 0, Abstained 0*)
MOVER: Jeanette Marcus
AYES: Teri Murphy, Ora Allen, Mike Daugherty, Dave Miller, Shirley Whitt, Jeanette Marcus, Donald Burchett
NAYS: None
ABSTAIN: None

Request to add Resolution 8183-25 to agenda.

Mrs. Dillon requested Council approval to add Resolution 8183-25 to the agenda, which is a Resolution adopting the NIST Cybersecurity Framework version 2.0 as the official Cybersecurity program framework for the City of Moraine. She reported House Bill 96 became effective September 30, 2025, and contained a requirement that political subdivisions adopt a cybersecurity program before January 1, 2026.

Mr. Daugherty moved to add Resolution 8183-25 to the meeting agenda.

RESULT: Passed (*Yes 7, No 0, Abstained 0*)
MOVER: Mike Daugherty
AYES: Teri Murphy, Ora Allen, Mike Daugherty, Dave Miller, Shirley Whitt, Jeanette Marcus, Donald Burchett
NAYS: None
ABSTAIN: None

Mayor

Mayor's Court Monthly Report - Sept 2025

Mayor Murphy reported for the month of September 2025, Mayor’s Court received \$20,648.26. She noted after various expenses and disbursements, a total of \$15,206.30 was deposited into the General Fund.

Guest Speakers - None

Business

Ordinances

2209-25 An Ordinance to make supplemental appropriations for current expenses and other expenditures of the City of Moraine, State of Ohio, for the period January 1, 2025, to December 31, 2025, and declaring an emergency.

Mr. Burchett noted that this legislation requests supplemental appropriations for the purposes of Fire Division full-time wages for overtime and part-time wages and benefits in the General Fund and the Fire Pension Fund. He stated this is emergency legislation. Mr. Burchett moved to suspend the rules to allow for the first and second readings at this meeting.

RESULT: Passed (*Yes 7, No 0, Abstained 0*)
MOVER: Donald Burchett
AYES: Teri Murphy, Ora Allen, Mike Daugherty, Dave Miller, Shirley Whitt, Jeanette Marcus, Donald Burchett
NAYS: None
ABSTAIN: None

RECORD OF PROCEEDINGS

Minutes of City Council

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After the second reading, Mr. Burchett moved to approve.

RESULT: Passed (*Yes 7, No 0, Abstained 0*)
MOVER: Donald Burchett
AYES: Teri Murphy, Ora Allen, Mike Daugherty, Dave Miller, Shirley Whitt, Jeanette Marcus, Donald Burchett
NAYS: None
ABSTAIN: None

2210-25 An Ordinance to make supplemental appropriations for current expenses and other expenditures of the City of Moraine, State of Ohio, for the period January 1, 2025, to December 31, 2025, and declaring an emergency.

Mr. Daugherty stated this Ordinance for supplemental appropriations is for various funds and departments for healthcare premiums and the Health Insurance Reserve Fund for claims. He noted the total appropriations amount requested is \$712,835.31. Mr. Daugherty stated this is emergency legislation, and he moved to suspend the rules to allow for first and second readings at this meeting.

RESULT: Passed (*Yes 7, No 0, Abstained 0*)
MOVER: Mike Daugherty
AYES: Teri Murphy, Ora Allen, Mike Daugherty, Dave Miller, Shirley Whitt, Jeanette Marcus, Donald Burchett
NAYS: None
ABSTAIN: None

After the second reading, Mr. Daugherty moved to approve.

RESULT: Passed (*Yes 7, No 0, Abstained 0*)
MOVER: Mike Daugherty
AYES: Teri Murphy, Ora Allen, Mike Daugherty, Dave Miller, Shirley Whitt, Jeanette Marcus, Donald Burchett
NAYS: None
ABSTAIN: None

2211-25 An Ordinance to make supplemental appropriations for current expenses and other expenditures of the City of Moraine, State of Ohio, for the period January 1, 2025, to December 31, 2025, and declaring an emergency.

Ms. Marcus noted that this legislation requests supplemental appropriations for budget adjustments in various funds and accounts for budgetary compliance. She reported this Ordinance is an emergency, and she moved to suspend the rules to allow for the first and second readings at this meeting.

RESULT: (*Yes 7, No 0, Abstained 0*)
MOVER: Jeanette Marcus
AYES: Teri Murphy, Ora Allen, Mike Daugherty, Dave Miller, Shirley Whitt, Jeanette Marcus, Donald Burchett
NAYS: None
ABSTAIN: None

After the second reading, Ms. Marcus moved to approve.

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RESULT: *(Yes 7, No 0, Abstained 0)*
MOVER: Jeanette Marcus
AYES: Teri Murphy, Ora Allen, Mike Daugherty, Dave Miller, Shirley Whitt, Jeanette Marcus, Donald Burchett
NAYS: None
ABSTAIN: None

2212-25 An Ordinance to make permanent appropriations for current expenses and other expenditures of the City of Moraine, State of Ohio, for the period January 1 Through December 31, 2026, and declaring an emergency.

Mr. Miller reported that a Budget Work Session was held on October 21, 2025, where Council was presented with the 2026 Departmental Operating and Capital Budget requests. He stated this Ordinance approves the 2026 Annual Budget. He moved to suspend the rules to allow for the first and second readings at this meeting.

RESULT: *Passed (Yes 7, No 0, Abstained 0)*
MOVER: Dave Miller
AYES: Teri Murphy, Ora Allen, Mike Daugherty, Dave Miller, Shirley Whitt, Jeanette Marcus, Donald Burchett
NAYS: None
ABSTAIN: None

After the second reading, Mr. Miller moved to approve.

RESULT: *Passed (Yes 7, No 0, Abstained 0)*
MOVER: Dave Miller
AYES: Teri Murphy, Ora Allen, Mike Daugherty, Dave Miller, Shirley Whitt, Jeanette Marcus, Donald Burchett
NAYS: None
ABSTAIN: None

2213-25 An Ordinance approving amendments to the Table of Organization for employees of the City of Moraine and declaring an emergency.

Mrs. Whitt said this Ordinance approves changes to the Table of Organization adding part-time positions to the Building Maintenance Division, Community Development Division, and Streets and Park Maintenance Division. She noted this legislation also converts a part-time position to full-time in the Finance Department. Mrs. Whitt moved to suspend the rules to allow for the first and second readings of this emergency legislation at this meeting.

RESULT: *(Yes 7, No 0, Abstained 0)*
MOVER: Shirley Whitt
AYES: Teri Murphy, Ora Allen, Mike Daugherty, Dave Miller, Shirley Whitt, Jeanette Marcus, Donald Burchett
NAYS: None
ABSTAIN: None

After the second reading, Mrs. Whitt moved to approve.

RESULT: *(Yes 7, No 0, Abstained 0)*
MOVER: Shirley Whitt
AYES: Teri Murphy, Ora Allen, Mike Daugherty, Dave Miller, Shirley Whitt, Jeanette Marcus, Donald Burchett

RECORD OF PROCEEDINGS

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NAYS: None
ABSTAIN: None

Resolutions

8182-25 A Resolution authorizing payment to the Ohio Department of Transportation in the additional amount of \$14,400.00 relative to resurfacing of specified roadway within the City of Moraine and known as the MOT S. Dixie RSF PH. 2 Resurfacing Project (PID NO. 121588).

Mrs. Allen stated that the bids for this project came in higher than anticipated and this legislation approves additional payment to ODOT in the amount of \$14,400.00. Mrs. Allen moved to approve.

RESULT: Passed *(Yes 7, No 0, Abstained 0)*
MOVER: Ora Allen
AYES: Teri Murphy, Ora Allen, Mike Daugherty, Dave Miller, Shirley Whitt, Jeanette Marcus, Donald Burchett
NAYS: None
ABSTAIN: None

8183-25 A Resolution to adopt the NIST Cybersecurity Framework Version 2.0 as the official Cybersecurity program framework for the City of Moraine, Ohio, and to authorize implementation in accordance with Ohio House Bill 96.

Mr. Burchett stated this Resolution approves the City's adoption of the National Institute of Standards and Technology (NIST) Cybersecurity Framework Version 2.0. He moved to approve.

RESULT: Passed *(Yes 7, No 0, Abstained 0)*
MOVER: Donald Burchett
AYES: Teri Murphy, Ora Allen, Mike Daugherty, Dave Miller, Shirley Whitt, Jeanette Marcus, Donald Burchett
NAYS: None
ABSTAIN: None

Voice Vote - None

Persons Appearing Before Council - None

Any Other Business

Building and Zoning Administrator Brent Carpenter thanked Brent Shane and the Parks and Recreation staff for accommodating City Administration staff in the Payne Recreation Center through the renovations of the Municipal Building. He also thanked Rocky Bangert, Craig Harvell, and Aaron Vietor for moving everything back to the Municipal building.

Mr. Bangert said this is the last Council meeting at the Payne Recreation Center. He gave an update on the final stages of the move out. He said fine-tuning is being done on the renovations.

Mr. Shane thanked the veterans who attended this evening's meeting. He said he is thankful that Administration staff were able to work out of the Payne Recreation Center and able to see how the Parks and Recreation staff intermingles with patrons and citizens of Moraine. He reported

RECORD OF PROCEEDINGS

Minutes of City Council

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that at least 88 meetings were held in the room during the past year. He reported that the Land and Wildlife Conservation Fund grant was submitted this week.

No other departments had anything to report.

Mrs. Allen thanked all veterans for their service to the country. She reported her nephew joined the U.S. Marines six months ago instead of going to college.

Mrs. Whitt thanked Mr. Bangert and Mr. Harvell for their military service. She wished all a Happy Thanksgiving. She said the Halloween Party was very successful.

Mr. Daugherty thanked Mr. Bangert, Mr. Harvell, and all veterans for their military service. He also wished everyone a Happy Thanksgiving.

Mr. Miller stated he is proud to recognize the two veterans this evening along with Brent Shane and Chief Traci Kuzminski who served as well. He thanked all staff involved in the transition to the Payne Recreation Center and then back to the Municipal Building. He thanked City Engineer Lauren Alvarado for all the street and curb projects mentioned in the City Manager's Report.

Mrs. Whitt congratulated Mayor Murphy, Mrs. Allen, and Mr. Delph on the recent election.

Mayor Murphy acknowledged the good turnout for the Halloween Party. She thanked all veterans for their service, and she noted her father served in the Army. She wished all a Happy Thanksgiving and reminded everyone to be aware of the children as they are out of school. She thanked Rocky Bangert and Brent Shane for their work and patience with the renovations and accommodations. Mayor Murphy thanked the Miami Valley Communications Council for broadcasting this meeting live as well as any future rebroadcasts.

Executive Session - None

Adjournment

Meeting adjourned at 6:33 PM.

City of Moraine

Finance Department



To: Mayor & City Council

From: Annetta Williams, Finance Director

Date: November 24, 2025

Subject: October 2025 Finance Director Report

Summary

In October, we made several large payments for infrastructure projects including the City building, Fire Station restrooms, 911 upgrades and road projects. The City continues to be in a strong financial position as we are near the end of the year. Our operating expenses remain below budget across the board, and overall, our revenues continue to remain strong at or above target.

For October, our fund balances decreased \$178,812. For the year we have increased our fund balances by \$1,737,314.

Finance Department Notes

All 2026 budget requests and projections are complete and prepared for the Council work session on October 21, 2025, and was subsequently adopted by Council at the November 13th regular Council meeting.

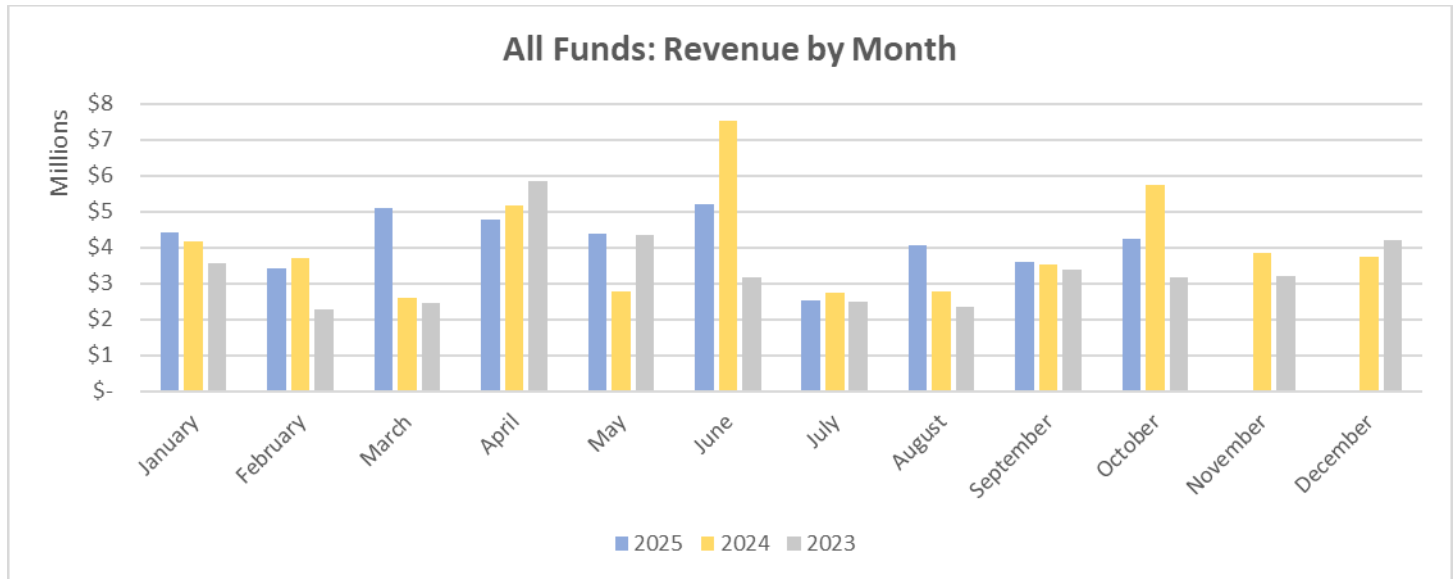
Final budget documents will be prepared and made available to Council and the Departments in December.

Income tax continues to process returns coming in following the federal extension deadline. We are also updating all the form letters in the income tax software as well as website forms with the new income tax e-mail address which is a lengthy project.

ALL FUNDS

OCTOBER 2025

MONTHLY REVENUE COLLECTIONS VS. BUDGET



REVENUES BY CATEGORY (GROSS)	CURRENT MONTH	YEAR TO DATE	2025 BUDGET	COLLECTED UNDER / (OVER)	COLLECTED
Local Taxes	\$ 37,560	\$ 1,371,003	\$ 1,289,503	\$ (81,500)	106%
Income Taxes	1,955,409	22,699,965	26,906,250	4,206,285	84%
State Shared Taxes & Fees	83,664	772,564	906,000	133,436	85%
Special Assessments	0	151,078	586,365	435,287	26%
Intergovernmental Aid	194,543	520,936	330,100	(190,836)	158%
Charges for Services	60,892	488,366	505,000	16,634	97%
Civic Center	538	16,714	17,500	786	96%
Payne Recreation Center	3,890	75,120	58,250	(16,870)	129%
Fines & Forfeitures	35,263	310,284	319,400	9,116	97%
Miscellaneous Receipts	604,829	5,297,496	5,583,100	285,604	95%
Interfund Transfers	1,284,000	10,083,100	13,794,345	3,711,245	73%
Total	\$ 4,260,587	\$ 41,786,625	\$ 50,295,813	\$ 8,509,188	83%

REVENUE COLLECTIONS – ALL CITY FUNDS

Gross Revenues: Through October, our gross revenue collections for the year are right on target at 83% of estimated revenues. Intergovernmental Aid revenue is up in October due to \$187,500 of grant reimbursements for street projects. Miscellaneous receipts are up due to interest revenue of \$271,642 and health insurance contributions from other funds of \$193,706. Interest revenue is at 115% of the budget.

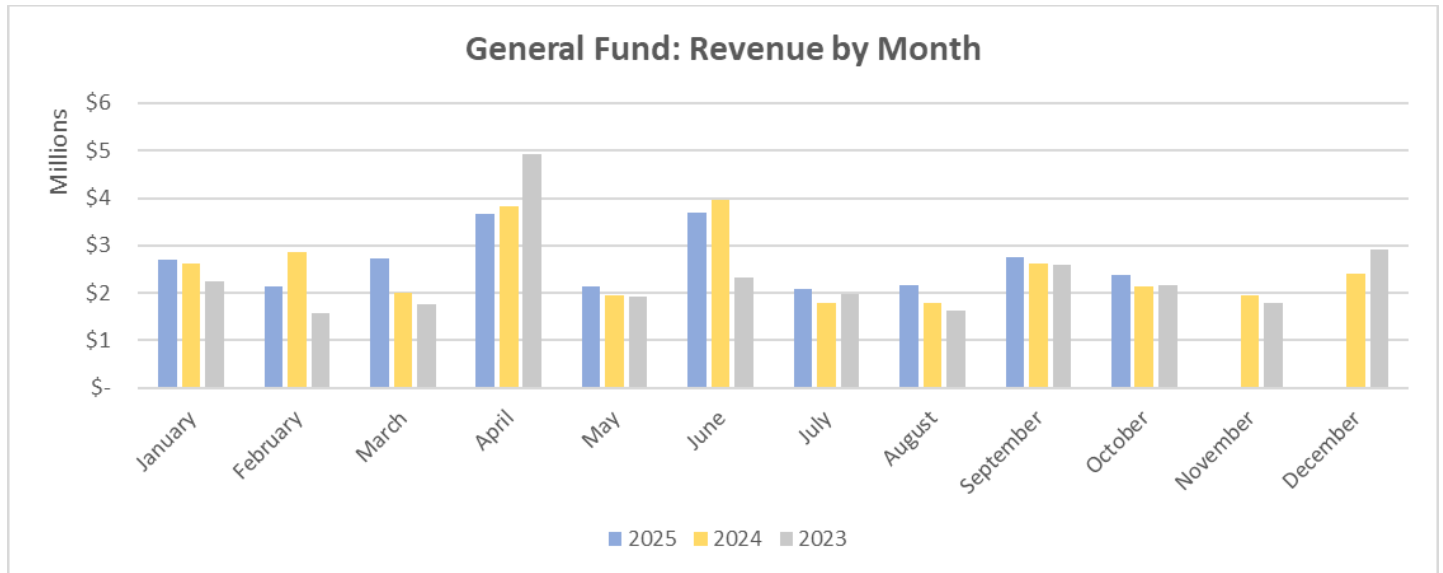
Net Revenue: After removing interfund transfers, net revenue for the month of October was \$2,715,590 and 87% of the year-to-date budget which is 4% above target.

Interfund Transfers: Transfers for this month are in the normal range to ensure proper fund cash balances. Year to date transfers for the year at 73%. Transfers for the Capital Improvement fund were higher this month due to the large payments made on projects this month.

GENERAL FUND

OCTOBER 2025

MONTHLY REVENUE COLLECTIONS VS. BUDGET



REVENUES BY CATEGORY (GROSS)	CURRENT MONTH	YEAR TO DATE	2025 BUDGET	COLLECTED UNDER / (OVER)	COLLECTED
Local Taxes	\$ 12,486	\$ 720,578	\$ 667,813	\$ (52,765)	108%
Income Taxes	1,955,409	22,699,965	26,906,250	4,206,285	84%
State Shared Taxes & Fees	20,114	162,009	143,500	(18,509)	113%
Special Assessments	-	22,986	5,365	(17,621)	428%
Intergovernmental Aid	-	4,406	3,000	(1,406)	147%
Charges for Services	60,792	488,266	504,000	15,734	97%
Fines & Forfeitures	35,263	310,284	319,400	9,116	97%
Miscellaneous Receipts	301,464	2,076,328	1,864,500	(211,828)	111%
Total	\$ 2,385,527	\$ 26,484,822	\$ 30,413,828	\$ 3,929,006	87%

REVENUE COLLECTIONS – GENERAL FUND

Charges for Services: This category is primarily EMS billing receipts from Fire Department runs that can be billed to insurance. This revenue is at 97% of the budget due to a high volume of EMS runs.

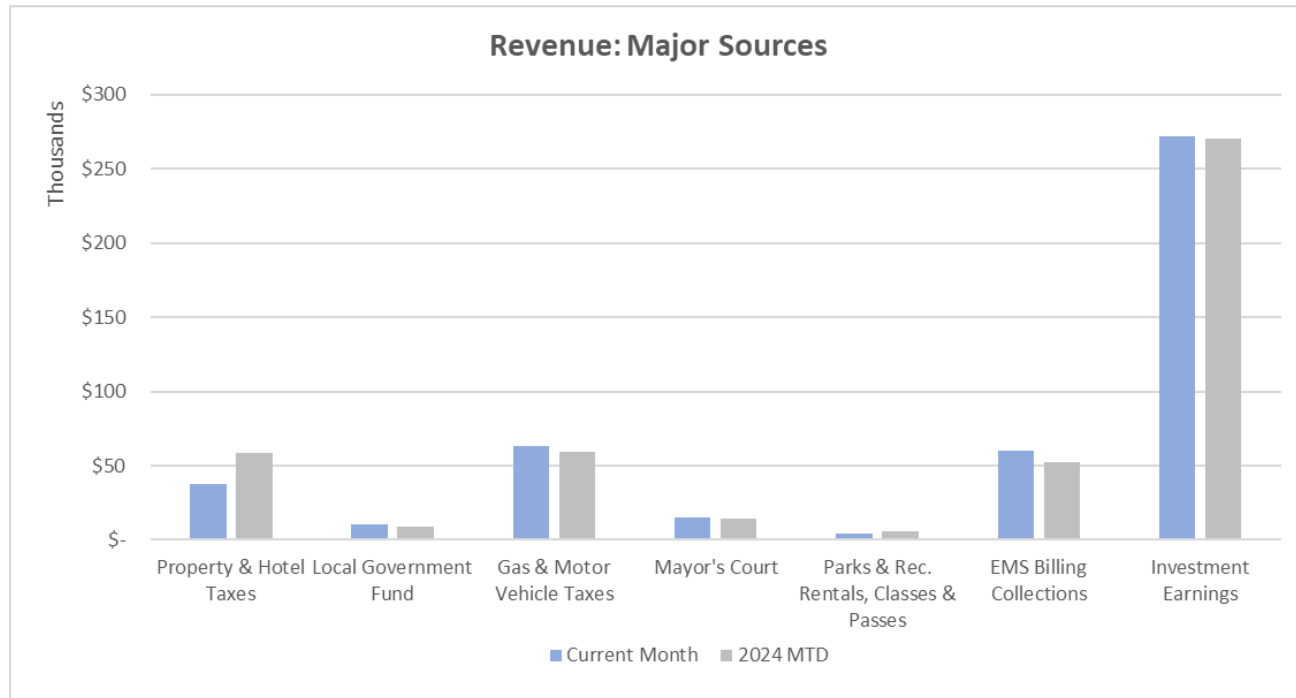
Fines & Forfeitures: This category includes the Residential and Commercial Building Permits revenues which are above target at 116% of budget and Mayor’s Court revenues which are at 82.7% of revenues.

Miscellaneous Receipts: This category is mostly comprised of interest from investments, sale of assets, and reimbursements. We received \$250,578 in interest into the General Fund in October and \$1,433,711 YTD, exceeding the budget by \$193,711 (or by 15.6%) year to date.

MAJOR SOURCES

OCTOBER 2025

MONTHLY REVENUE COLLECTIONS VS. BUDGET



REVENUES BY TYPE	CURRENT	YEAR TO	COLLECTED		
	MONTH	DATE	2025 BUDGET	UNDER / (OVER)	COLLECTED
Property & Hotel Taxes	\$ 37,560	\$ 987,850	\$ 918,253	\$ (69,597)	108%
Local Government Fund	10,019	110,439	113,000	2,561	98%
Gas & Motor Vehicle Taxes	63,551	610,555	727,500	116,945	84%
Mayor's Court	15,206	148,642	180,000	31,358	83%
Parks Rentals, Classes & PASSES	4,244	77,635	69,000	(8,635)	113%
EMS Billing Collections	60,152	481,296	500,000	18,704	96%
Investment Earnings	271,642	1,555,679	1,357,350	(198,329)	115%
Total	\$ 462,372	\$ 3,972,097	\$ 3,865,103	\$ (106,994)	103%

REVENUE COLLECTIONS – MAJOR SOURCES

Property Taxes: This revenue is paid to the City twice a year. The distribution for the 2nd half of the year was posted in September.

Gas & Motor Vehicle Taxes: For the last several years, these taxes have been consistent. For the year, we expected to receive about \$60,625 per month. Year to date collections are on target.

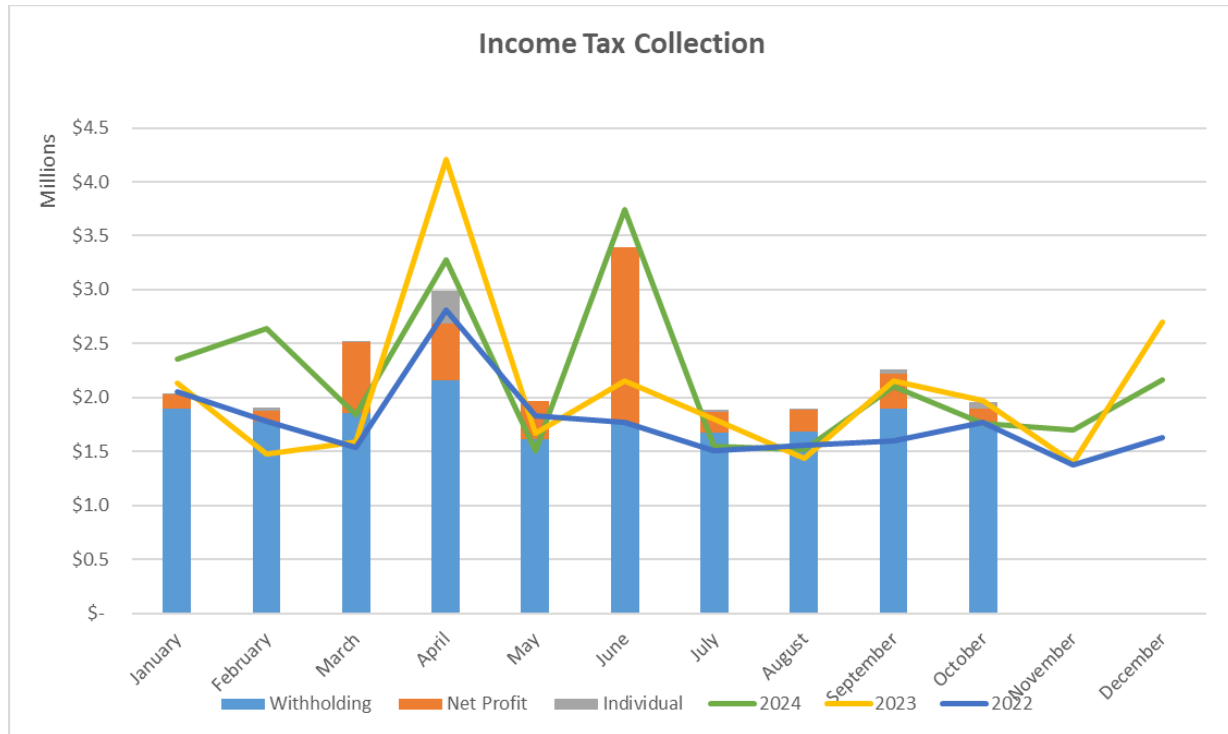
Parks Rentals & Season passes: These revenues are higher than expected at 113% of the budget. These line-item budgets were reduced in 2025 to account for the City's use of the recreation building. Overall, revenues are about the same as year-to-date 2024.

Investment Earnings: The interest earnings revenue received is higher than budgeted. The City received interest revenue of \$271,642 in October and year-to-date Interest revenue is \$1,555,679 at 115% of the budget.

INCOME TAX

OCTOBER 2025

MONTHLY REVENUE COLLECTIONS VS. BUDGET



REVENUES BY TYPE	CURRENT MONTH	YEAR TO DATE	COLLECTED UNDER / (OVER)		
			2025 BUDGET	COLLECTED	
Electric Co. Income Tax	\$ -	\$ 1,986	\$ 1,000	\$ (986)	199%
Income Tax Refunds	(54,903)	(530,899)	(700,000)	(169,101)	76%
Income Taxes	2,010,312	23,228,878	27,605,250	4,376,372	84%
Total	\$ 1,955,409	\$ 22,699,965	\$ 26,906,250	\$ 4,206,285	84%

REVENUE COLLECTIONS – INCOME TAX

2025 Collections: Through October, we expect to collect approximately 84% of our total estimated gross income tax revenue. We have collected \$23,228,878 (84%) of the budget. For the year we are at 84% of our target net budget amount and about 2% above year-to-date 2024.

Net Profit: Through October, we anticipate collecting 85% of our estimated Net Profit taxes. So far, we have collected 70% of the gross expected taxes. For the year, we are below our budget year to date amount by 17%. The net profit collection rate is rebounding slowly from where it was in the first half of the year, but it is likely that we will not meet the net profit estimated revenue budget. Net profit accounts for approximately 23.6% of total gross collections

Withholding: Withholding payments continue to come in strong. Through October, we anticipate collecting 84% of our estimated Withholding taxes. So far, we have collected 88% of the gross expected taxes. We are slightly above budget by 4%. Withholdings account for approximately 73.7% of total gross collections.

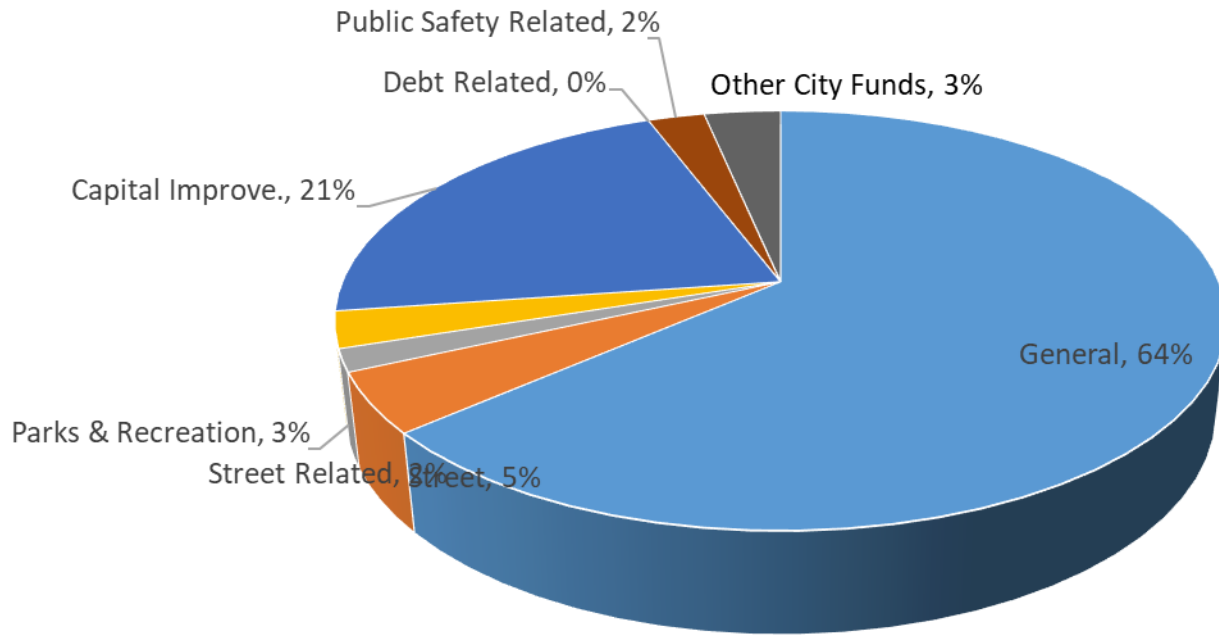
Individual: Through October, we anticipate collecting 95% of our estimated Individual taxes. So far, we have collected 86% of the gross expected taxes. For the year, we are below our budget by 9%. Individuals account for approximately 2.7% of collections.

ALL FUNDS

OCTOBER 2025

MONTHLY EXPENSES VS. BUDGET

All Funds: Current Month Expenses by Percentage



EXPENSES BY FUND/TYPE	CURRENT MONTH	YEAR TO DATE	2025 BUDGET	AVAILABLE BALANCE	% EXPENSES TO BUDGET
General Fund	\$ 2,823,153	\$ 24,407,484	\$ 32,786,201	\$ 6,898,205	74%
Street Fund	205,779	1,902,016	2,596,000	511,120	73%
Street Related Funds	76,459	549,255	1,112,622	419,851	49%
Parks & Recreation Fund	124,601	1,095,497	1,579,148	365,224	69%
Capital Improvement Fund	953,649	7,229,475	13,883,328	1,627,792	52%
Debt Related Funds	-	178,859	1,011,700	8,491	18%
Public Safety Related Funds	108,802	1,133,135	1,478,780	336,675	77%
Other City Funds	146,956	3,553,591	5,501,924	1,089,487	65%
Total	\$ 4,439,400	\$ 40,049,312	\$ 59,949,702	\$ 11,256,845	67%

EXPENSES – ALL FUNDS

Net Expenses: After interfund transfers, our Net Expenses for October were \$2,894,402 and year-to-date are at 63% of the budget.

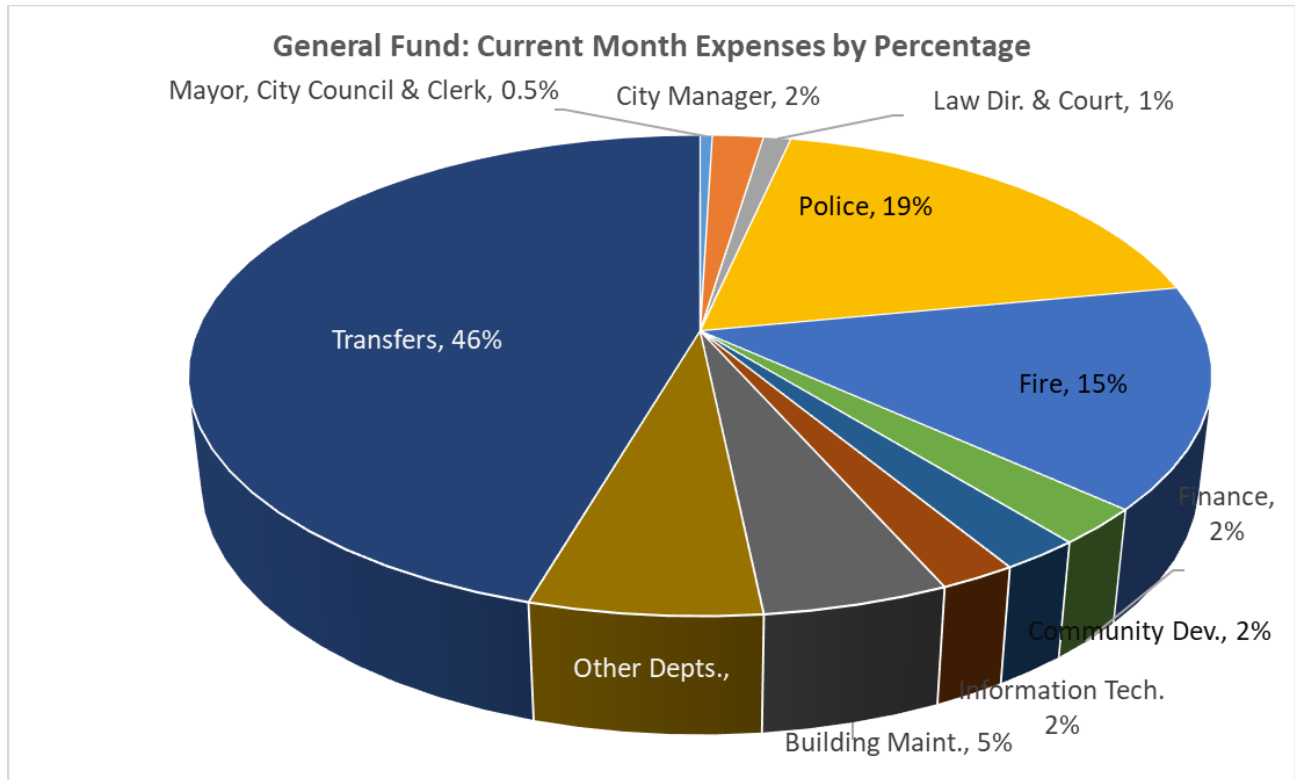
Large Expenses: In October, we made \$953,649 in Capital project payments on the City building, Police Dispatch and street projects.

Budget Review: The budget is being monitored on a regular basis. We are doing very well this year and well below budget. Budget reductions are being brought before City Council to safely reduce the budget where possible. We are currently at 67% of the budget at 83% of the year.

GENERAL FUND

OCTOBER 2025

MONTHLY EXPENSES VS. BUDGET



EXPENSES BY DEPARTMENT	CURRENT MONTH	YEAR TO DATE	2025 BUDGET	AVAILABLE BALANCE	% EXPENSES TO BUDGET
Mayor, City Council & Clerk	\$ 12,957	\$ 131,780	\$ 231,009	\$ 78,304	57%
City Manager & Info. Center	54,456	453,568	637,185	122,680	71%
Law Director & Clerk of Courts	29,097	269,371	438,286	56,464	61%
Police	522,761	4,828,389	6,267,332	1,121,082	77%
Fire	418,719	4,106,161	4,733,195	481,801	87%
Finance	68,950	676,925	931,320	209,602	73%
Community & Economic Dev.	60,286	654,153	1,111,000	344,824	59%
Information Technology	57,930	737,105	1,122,720	198,082	66%
Building Maintenance	139,969	1,128,603	1,846,913	483,243	61%
Other Departments	174,029	1,338,329	2,042,895	460,878	66%
Transfers	1,284,000	10,083,100	13,424,345	3,341,245	75%
Total	\$ 2,823,153	\$ 24,407,484	\$ 32,786,201	\$ 6,898,205	74%

EXPENSES – GENERAL FUND

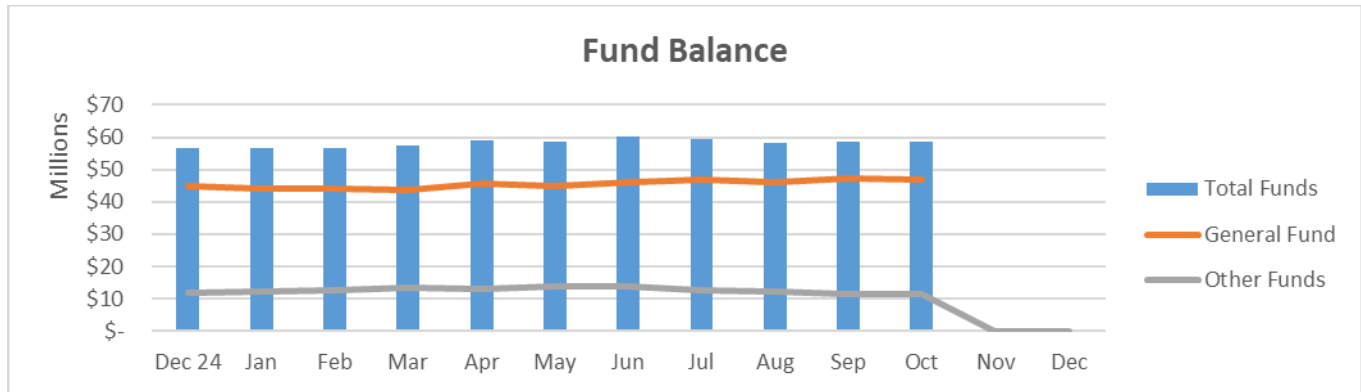
Budget Status: The General Fund is at 74% of expense budget which is slightly below target for this time of the year. The Fire Department is over the target budget at 87% due to personnel service costs tracking high primarily due to overtime and part-time wages which has been addressed in supplemental legislation effective in November.

Transfers: Transfers are on track for funding our Capital and other operating funds. If there are supplemental appropriations to other funds, we will need to increase our transfer budget.

FUND BALANCES & CASH FLOW

OCTOBER 2025

MONTH END BALANCES BY FUND



General	\$ 44,743,031	\$ 47,257,995	\$ (437,626)	\$ 46,820,369	\$ 2,077,338
Street	287,303	317,542	(56,512)	261,029	(26,274)
State Highway	459,370	399,415	(703)	398,712	(60,658)
Parks & Recreation	227,480	290,925	(78,357)	212,568	(14,912)
Cemetery	96,875	88,882	316	89,198	(7,677)
Motor Vehicle License Tax	45,792	38,707	(1,653)	37,055	(8,738)
Enforcement & Education	72,551	74,791	449	75,240	2,689
Mayor's Court Computer	90,557	86,621	378	86,999	(3,558)
Clerk of Court Computer	60,372	57,747	251	57,998	(2,374)
Economic Development	484,336	641,266	187,500	828,766	344,431
Capital Improvement	5,969,408	5,356,985	53,393	5,410,379	(559,029)
Vance Darroch Project	57,381	57,381	-	57,381	-
Debt Service	187,127	191,096	892	191,988	4,861
Tax Increment Financing	48,682	384,456	22,953	407,410	358,728
Home Improvement Loan	700,563	716,334	3,345	719,679	19,115
City Garage	360,161	366,130	-	366,130	5,968
Miscellaneous Trust	45,654	45,021	300	45,321	(333)
Police Pension	52,200	128,204	(21,002)	107,202	55,002
Fire Pension	61,067	42,261	74,622	116,883	55,816
Insurance Reserve	1,464,773	1,440,657	1,597	1,442,253	(22,519)
Health Insurance	521,563	361,243	62,721	423,964	(97,599)
Federal Law Enforcement	42,290	43,242	91	43,333	1,043
State Law Enforcement Training	46,112	47,150	8,022	55,172	9,060
Drug Law Enforcement Trust	43,933	44,922	210	45,132	1,199
Local Fiscal Recovery	435,657	-	-	-	(435,657)
OneOhio Opioid Settlement	113,560	154,951	-	154,951	41,392
Total	\$ 56,717,797	\$ 58,633,923	\$ (178,812)	\$ 58,455,111	\$ 1,737,314

City of Moraine

Finance Department



To: Mayor & City Council

From: Annetta Williams, Finance Director

Date: December 5, 2025

Subject: November 2025 Finance Director Report

Summary

As we approach the end of the year, the City is in a very good financial position. We have been fortunate to have strong revenues over the last number of years, and our expenses have been controlled as much as possible. We continued with our capital projects this year to address community and service needs as well as much needed upgrades to City facilities. In 2026, we look forward to parks improvements to offer more recreation and community events and to update recreation areas for safety and general maintenance.

For November, our fund balances decreased \$144,864. For the year we have increased our fund balances by \$1,592,449.

Finance Department Notes

Final 2026 budget documents will be prepared and made available to Council and the Departments in December.

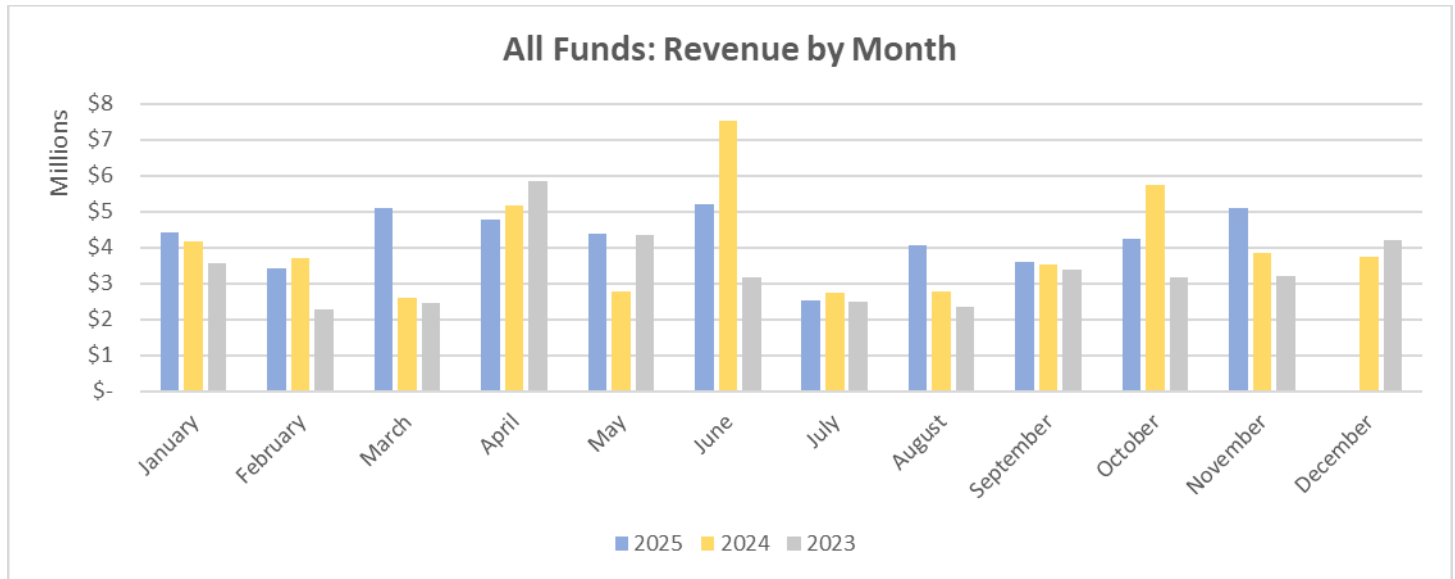
The Finance Department is all settled back into our home at the City building. We have made great strides with scanning documents, and it remains an ongoing process.

We are continuing the review and update of all of the income tax form letters in the tax software. We are preparing for the posting and advertisement for our full-time finance (income tax) clerk that Council.

ALL FUNDS

NOVEMBER 2025

MONTHLY REVENUE COLLECTIONS VS. BUDGET



REVENUES BY CATEGORY (GROSS)	CURRENT		COLLECTED		
	MONTH	YEAR TO DATE	2025 BUDGET	UNDER / (OVER)	COLLECTED
Local Taxes	\$ 1,450	\$ 1,372,453	\$ 1,289,503	\$ (82,950)	106%
Income Taxes	3,231,255	25,931,220	26,906,250	975,030	96%
State Shared Taxes & Fees	92,316	864,880	906,000	41,120	95%
Special Assessments	441,500	592,578	586,365	(6,213)	101%
Intergovernmental Aid	7,293	528,229	330,100	(198,129)	160%
Charges for Services	49,872	538,238	505,000	(33,238)	107%
Civic Center	666	17,380	17,500	120	99%
Payne Recreation Center	8,878	83,997	58,250	(25,747)	144%
Fines & Forfeitures	6,053	316,337	319,400	3,063	99%
Miscellaneous Receipts	466,352	5,763,848	5,583,100	(180,748)	103%
Interfund Transfers	805,000	10,888,100	13,895,908	3,007,808	78%
Total	\$ 5,110,635	\$ 46,897,260	\$ 50,397,376	\$ 3,500,116	93%

REVENUE COLLECTIONS – ALL CITY FUNDS

Gross Revenues: Through November, our gross revenue collections for the year are slightly above target at 93% of estimated revenues. Multiple categories have exceeded the estimate. Intergovernmental Aid revenue and Miscellaneous receipts continue to be up following last month’s collections. Charges for services is up due to the EMS revenue coming in strong and exceeding its estimate.

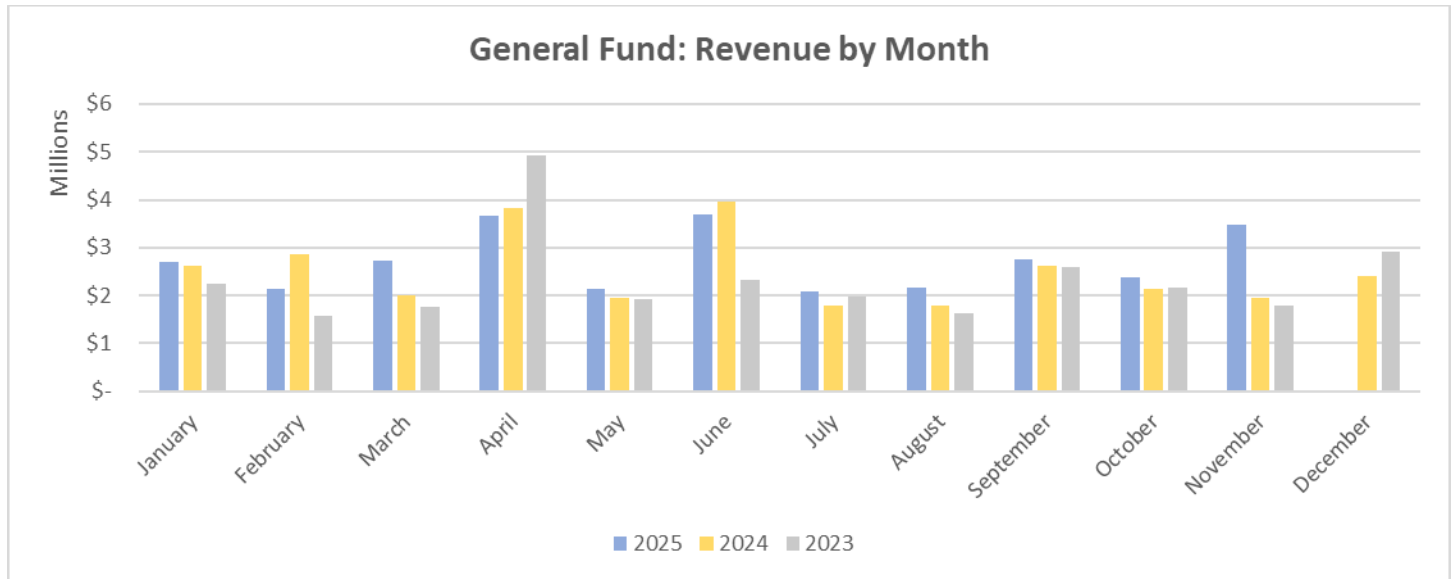
Net Revenue: After removing interfund transfers, net revenue for the month of November was \$4,030,203 and 100% of the year-to-date budget.

Interfund Transfers: Transfers for this month are in the normal range to ensure proper fund cash balances. Year to date transfers for the year are at 81%.

GENERAL FUND

NOVEMBER 2025

MONTHLY REVENUE COLLECTIONS VS. BUDGET



REVENUES BY CATEGORY (GROSS)	CURRENT		COLLECTED		
	MONTH	YEAR TO DATE	2025 BUDGET	UNDER / (OVER)	COLLECTED
Local Taxes	\$ 1,374	\$ 721,953	\$ 667,813	\$ (54,140)	108%
Income Taxes	3,231,255	25,931,220	26,906,250	975,030	96%
State Shared Taxes & Fees	15,146	177,155	143,500	(33,655)	123%
Special Assessments	-	22,986	5,365	(17,621)	428%
Intergovernmental Aid	2,708	7,114	3,000	(4,114)	237%
Charges for Services	49,872	538,138	504,000	(34,138)	107%
Fines & Forfeitures	6,053	316,337	319,400	3,063	99%
Miscellaneous Receipts	175,866	2,252,193	1,864,500	(387,693)	121%
Total	\$ 3,482,274	\$ 29,967,096	\$ 30,413,828	\$ 446,732	99%

REVENUE COLLECTIONS – GENERAL FUND

Charges for Services: This category is primarily EMS billing receipts from Fire Department runs that can be billed to insurance. This revenue is at 107% of the budget due to a high volume of EMS runs.

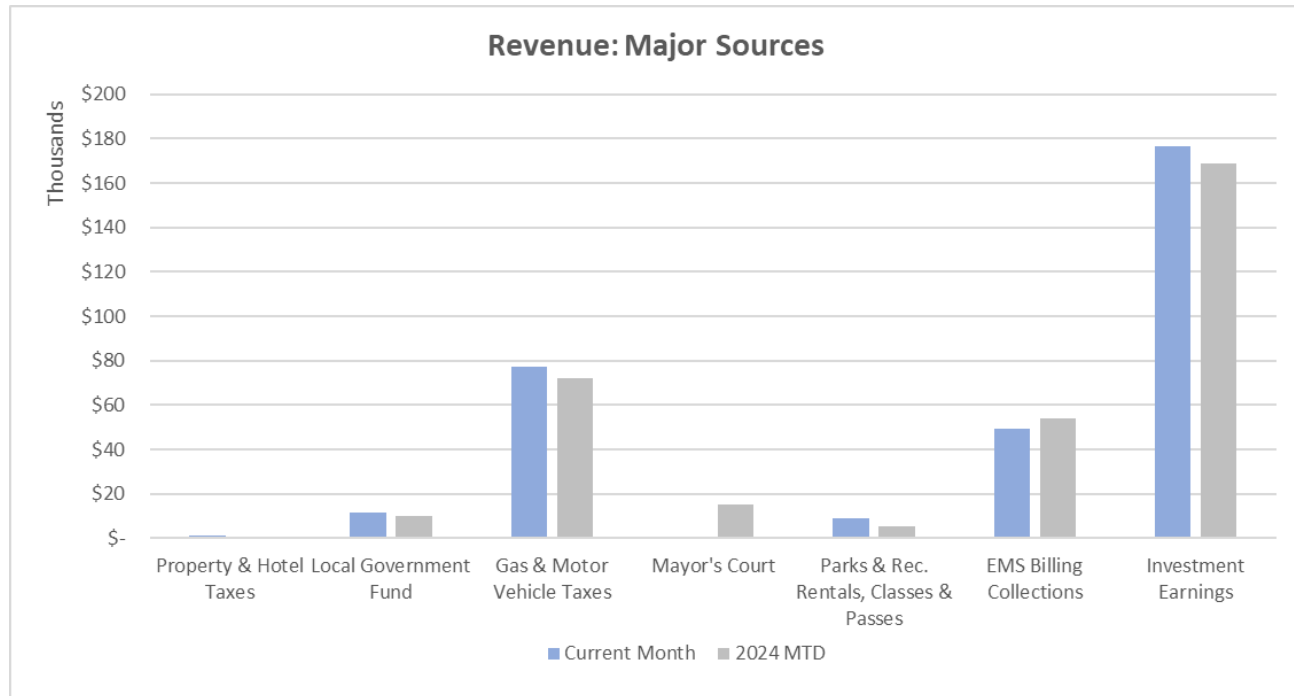
Fines & Forfeitures: This category includes the Residential and Commercial Building Permits revenues which are above target at 121% of budget and Mayor’s Court revenues which are at 82.7% of revenues.

Miscellaneous Receipts: This category is mostly comprised of interest from investments, sale of assets, and reimbursements. We received \$162,744 in interest into the General Fund in November and \$1,599,453 YTD, exceeding the budget by 28.8% year to date.

MAJOR SOURCES

NOVEMBER 2025

MONTHLY REVENUE COLLECTIONS VS. BUDGET



REVENUES BY TYPE	CURRENT		2025 BUDGET	COLLECTED UNDER / (OVER)	COLLECTED
	MONTH	YEAR TO DATE			
Property & Hotel Taxes	\$ 1,450	\$ 989,300	\$ 918,253	\$ (71,047)	108%
Local Government Fund	11,799	122,238	113,000	(9,238)	108%
Gas & Motor Vehicle Taxes	77,170	687,725	727,500	39,775	95%
Mayor's Court	-	148,642	180,000	31,358	83%
Parks Rentals, Classes & Passes	9,054	86,689	69,000	(17,689)	126%
EMS Billing Collections	49,592	530,888	500,000	(30,888)	106%
Investment Earnings	176,775	1,732,454	1,357,350	(375,104)	128%
Total	\$ 325,840	\$ 4,297,937	\$ 3,865,103	\$ (432,834)	111%

REVENUE COLLECTIONS – MAJOR SOURCES

Gas & Motor Vehicle Taxes: For the last several years, these taxes have been consistent. For the year, we expected to receive about \$60,625 per month. Year to date collections are on target.

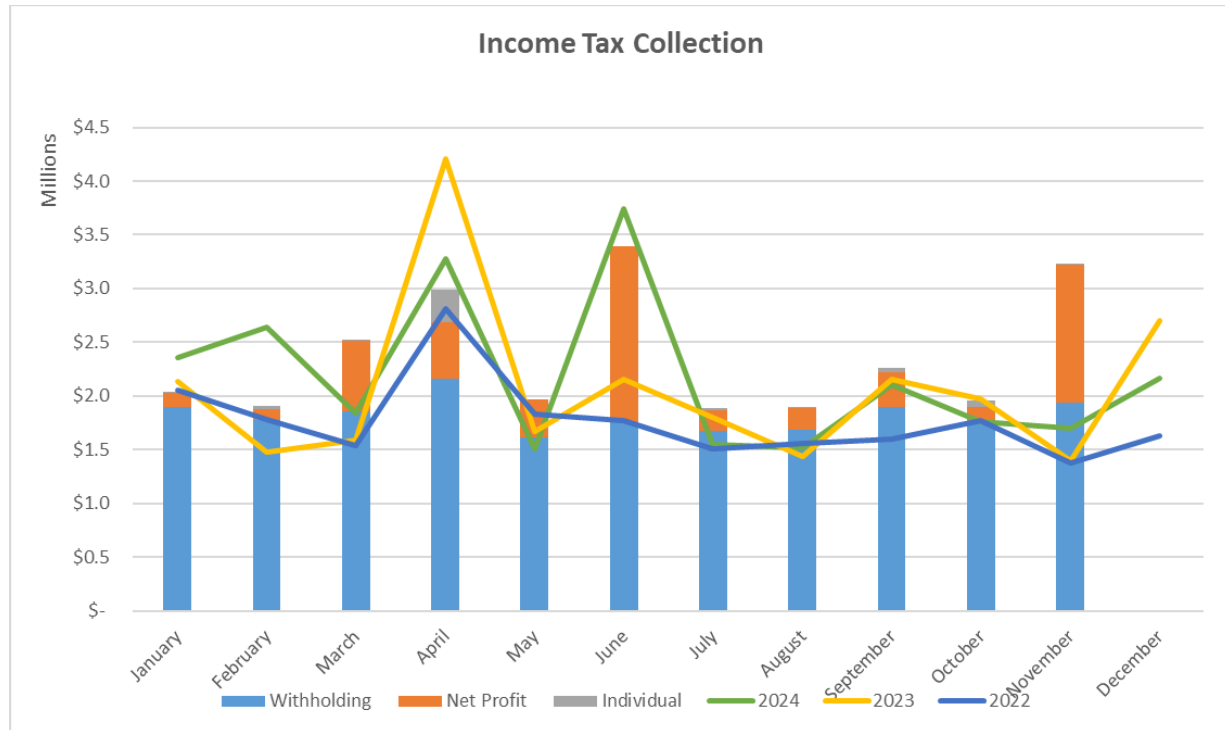
Parks Rentals & Season passes: These revenues are higher than expected at 113% of the budget. These line-item budgets were reduced in 2025 to account for the City's use of the recreation building. Overall, revenues are about the same as year-to-date 2024.

Investment Earnings: The interest earnings revenue received is higher than budgeted. The City received interest revenue of \$271,642 in November and year-to-date Interest revenue is \$1,555,679 and 115% of the budget.

INCOME TAX

NOVEMBER 2025

MONTHLY REVENUE COLLECTIONS VS. BUDGET



REVENUES BY TYPE	CURRENT		2025	COLLECTED	
	MONTH	YEAR TO DATE	BUDGET	UNDER / (OVER)	COLLECTED
Electric Co. Income Tax	\$ -	\$ 1,986	\$ 1,000	\$ (986)	199%
Income Tax Refunds	-	(530,899)	(700,000)	(169,101)	76%
Income Taxes	3,231,255	26,460,133	27,605,250	1,145,117	96%
Total	\$ 3,231,255	\$ 25,931,220	\$ 26,906,250	\$ 975,030	96%

REVENUE COLLECTIONS – INCOME TAX

2025 Collections: Through November, we expect to collect approximately 91% of our total estimated gross income tax revenue. We have collected \$26,460,083 (96%) of the budget. For the year we are also at 96% of our target net budget amount.

Net Profit: Through November, we anticipate collecting 87% of our estimated Net Profit taxes. So far, we have collected 90% of the total gross expected taxes. We are above our target year to date revenue by 4%. Net profit accounts for approximately 23.6% of total gross collections

Withholding: Withholding payments continue to come in strong. Through November, we anticipate collecting 92% of our estimated Withholding taxes. So far, we have collected 98% of the gross expected taxes. We are above budget by 7%. Withholdings account for approximately 73.7% of total gross collections.

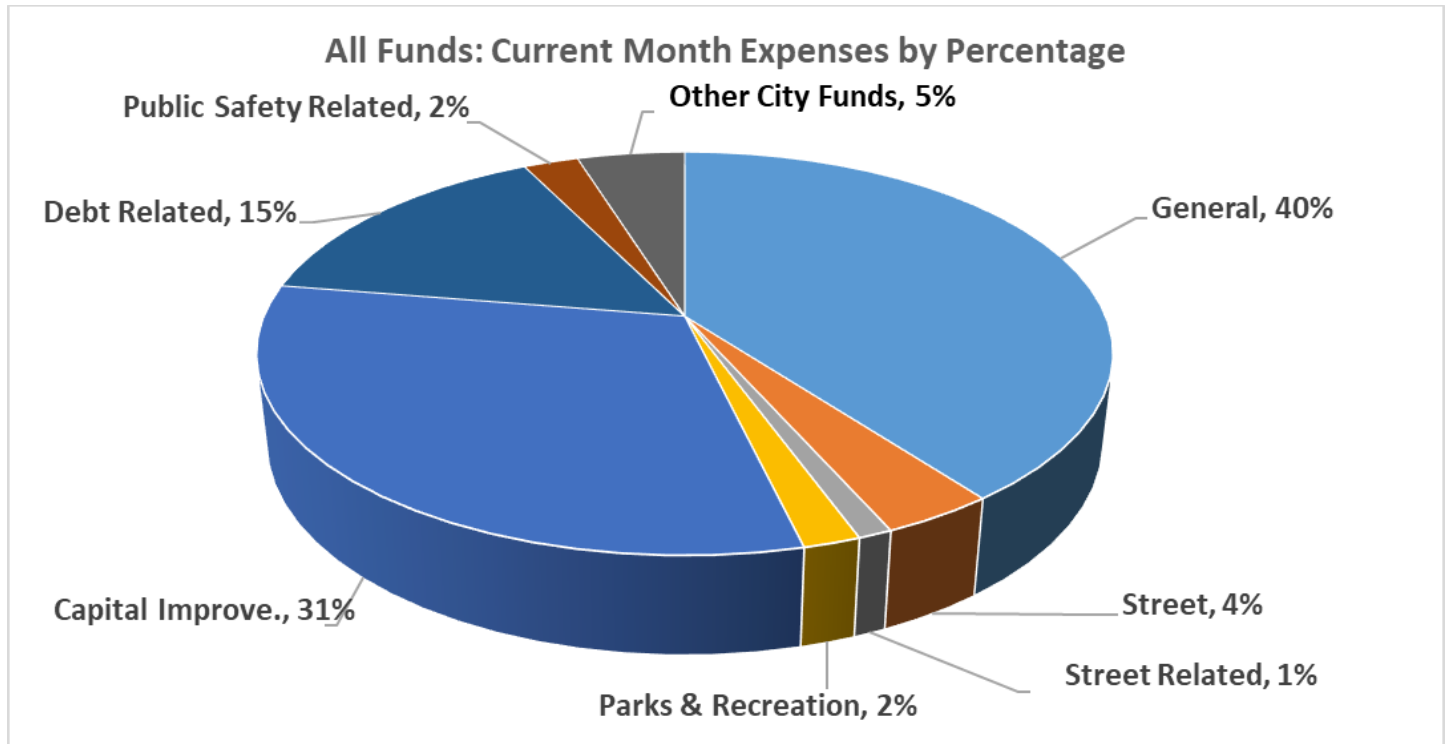
Individual: Through November, we anticipate collecting 98% of our estimated Individual taxes. So far, we have collected 88% of the gross expected taxes. For the year, we are below our budget by 10%. Individuals account for approximately 2.7% of collections.

Refunds: We have processed \$530,899 in Refunds. For the year, we have refunded 68% of the amount budgeted.

ALL FUNDS

NOVEMBER 2025

MONTHLY EXPENSES VS. BUDGET



EXPENSES BY FUND/TYPE	CURRENT MONTH	YEAR TO DATE	2025 BUDGET	AVAILABLE BALANCE	% EXPENSES TO BUDGET
General Fund	\$ 2,086,344	\$ 26,493,827	\$ 33,462,500	\$ 5,721,766	79%
Street Fund	191,307	2,093,323	2,656,352	416,131	79%
Street Related Funds	57,698	606,952	1,119,082	394,236	54%
Parks & Recreation Fund	96,169	1,191,666	1,600,128	286,781	74%
Capital Improvement Fund	1,644,987	8,874,462	13,883,048	1,566,032	64%
Debt Related Funds	794,350	973,209	1,011,700	8,491	96%
Public Safety Related Funds	129,828	1,262,963	1,559,405	270,830	81%
Other City Funds	254,816	3,808,407	5,808,924	996,249	66%
Total	\$ 5,255,499	\$ 45,304,811	\$ 61,101,140	\$ 9,660,515	74%

EXPENSES – ALL FUNDS

Net Expenses: After interfund transfers, our Net Expenses for November were \$4,175,067 and year-to-date are at 72% of the budget.

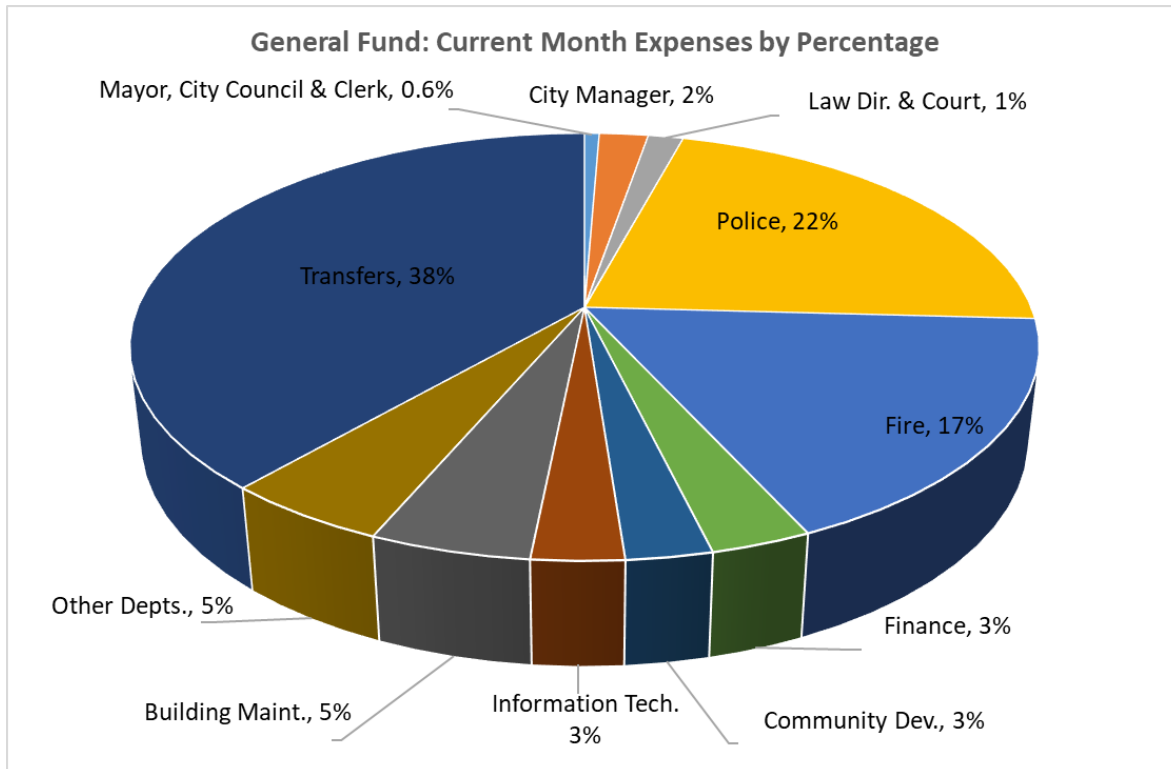
Large Expenses: In November, we made \$1,403,879 in Capital project payments for the City building, annual street program, storm management project and Street Department parking lot paving.

Budget Review: The budget is being monitored on a regular basis. Our net expense budget is at 72% well below the projected expense budget target of 92%.

GENERAL FUND

NOVEMBER 2025

MONTHLY EXPENSES VS. BUDGET



EXPENSES BY DEPARTMENT	CURRENT MONTH	YEAR TO DATE	2025 BUDGET	AVAILABLE BALANCE	% EXPENSES TO BUDGET
Mayor, City Council & Clerk	\$ 13,075	\$ 144,855	\$ 221,479	\$ 58,515	65%
City Manager & Info. Center	43,314	496,882	637,041	95,345	78%
Law Director & Clerk of Courts	31,098	300,468	452,232	42,215	66%
Police	451,960	5,280,350	6,426,968	875,564	82%
Fire	361,422	4,467,582	5,210,405	587,713	86%
Finance	63,339	740,264	940,250	171,825	79%
Community & Economic Dev.	54,123	708,276	1,099,003	302,049	64%
Information Technology	57,870	794,976	1,114,366	139,903	71%
Building Maintenance	100,743	1,229,346	1,874,452	450,299	66%
Other Departments	104,400	1,442,729	1,960,396	360,530	74%
Transfers	805,000	10,888,100	13,525,908	2,637,808	80%
Total	\$ 2,086,344	\$ 26,493,827	\$ 33,462,500	\$ 5,721,766	79%

EXPENSES – GENERAL FUND

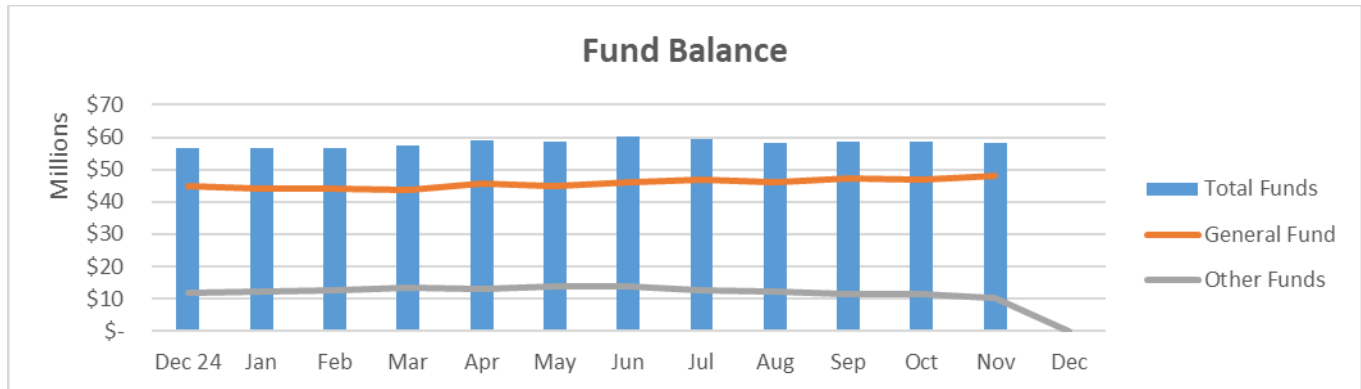
Budget Status: The General Fund is at 79% of expense budget which is 13% below the expense target of 92% for this time of the year.

Transfers: Transfers are on track for funding our Capital and other operating funds. We have lower than expected expenses in the Street fund and Capital Improvement Fund.

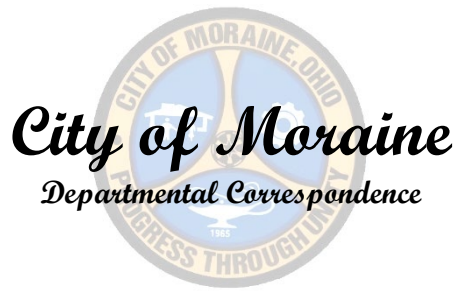
FUND BALANCES & CASH FLOW

NOVEMBER 2025

MONTH END BALANCES BY FUND



General	\$ 44,743,031	\$ 46,820,369	\$ 1,395,930	\$ 48,216,299	\$ 3,473,269
Street	287,303	261,029	186,678	447,707	160,404
State Highway	459,370	398,712	(2,530)	396,182	(63,188)
Parks & Recreation	227,480	212,568	74,021	286,589	59,109
Cemetery	96,875	89,198	72	89,271	(7,604)
Motor Vehicle License Tax	45,792	37,055	2,347	39,402	(6,391)
Enforcement & Education	72,551	75,240	304	75,544	2,993
Mayor's Court Computer	90,557	86,999	(13,726)	73,274	(17,284)
Clerk of Court Computer	60,372	57,998	(9,150)	48,847	(11,524)
Economic Development	484,336	828,766	50,000	878,766	394,431
Capital Improvement	5,969,408	5,410,379	(1,490,402)	3,919,977	(2,049,431)
Vance Darroch Project	57,381	57,381	-	57,381	-
Debt Service	187,127	191,988	(2,566)	189,422	2,295
Tax Increment Financing	48,682	407,410	(348,460)	58,950	10,268
Home Improvement Loan	700,563	719,679	2,190	721,869	21,306
City Garage	360,161	366,130	-	366,130	5,968
Miscellaneous Trust	45,654	45,321	(300)	45,021	(633)
Police Pension	52,200	107,202	(1,188)	106,015	53,814
Fire Pension	61,067	116,883	31,131	148,014	86,947
Insurance Reserve	1,464,773	1,442,253	3,075	1,445,328	(19,445)
Health Insurance	521,563	423,964	(20,117)	403,847	(117,716)
Federal Law Enforcement	42,290	43,333	132	43,465	1,175
State Law Enforcement Training	46,112	55,172	(970)	54,203	8,091
Drug Law Enforcement Trust	43,933	45,132	137	45,269	1,336
Local Fiscal Recovery	435,657	-	-	-	(435,657)
OneOhio Opioid Settlement	113,560	154,951	(1,475)	153,477	39,917
Total	\$ 56,717,797	\$ 58,455,111	\$ (144,864)	\$ 58,310,247	\$ 1,592,449



TO: Mayor
City Council

FROM: Michael Davis, City Manager

DATE: December 8, 2025

SUBJECT: City Manager's Report

1) City Manager

- a) Met with a local business and the Dayton Development Coalition on November 12, 2025 to discuss current activity and growth potential.
- b) Attended the annual Community Ambassadors Award breakfast on November 13, 2025.
- c) I attended a COLA connection meeting at Wright State University on November 17, 2025.
- d) The Dayton Area Managers Association (DAMA) meeting was held on November 21, 2025, and discussion included an update on potential by-laws.
- e) The City Managers roundtable meeting was held on December 1, 2025 and a presentation was provided by Scott McGohan.
- f) Attended the annual Emergency Management Countywide Advisory Committee meeting on December 1, 2025. A review of the past year was presented.
- g) The I-70/75 Development Association holiday meeting occurred on December 4, 2025.
- h) Attended the Annual City Tree lighting with family on December 5, 2025.

2) Parks and Recreation

- a) Parks and Recreation staff submitted the Land and Water Conservation Fund grant. Significant work was done by the Parks staff and City Planner Sorice. We hope to know more in the Spring of 2026.
- b) As the administrative staff transitions out, they will be reevaluating the Payne Recreation Center in its entirety and its overall functionality. They hope to implement several changes over the course of the next year.
- c) The Extreme Trampoline Show is booked for July 4, 2026.
- d) Cookies and Cocoa was canceled due to low registration.

3) Police

- a) November 11: Officers on patrol discovered a stolen vehicle at Walmart. The suspect collided with a Kettering police cruiser. Following a car chase, foot pursuit, and K-9 involvement, the suspects were apprehended and taken to jail.

A traffic stop resulted in the arrest of a driver for operating a stolen vehicle, who was subsequently taken to jail.

- b) November 16: Assisted the Fire Division with a mulch fire on Dryden Road. It was a significant fire and a prolonged incident.
- c) November 23: A suicidal individual made threats to inflict harm upon himself but refused to disclose his location. A cell phone ping facilitated the subject's location. Upon our arrival, he resisted both police and medical personnel. He was transported to the hospital.

A stolen UHAUL truck from Trotwood was found abandoned.

- d) November 29: Provided assistance to the Fire Division with a vehicle fire at Fat Daddy's.
- e) December 3: Assisted the Dayton Police Department with a drug-related search warrant in Dayton. The suspects were linked to crimes in Moraine. Drugs, firearms, and other evidence were seized, with charges pending.
- f) Moraine Police Department achieved third place in a contest organized by Sandy's Towing, receiving \$500 from the Moraine Foundation for police-related Christmas initiatives.
- g) There were 24 calls for service at Walmart, 17 calls at Red Roof, 24 calls at Kroger, and 55 calls for service on I-75.

Bi-Monthly Report

To: Michael Davis, City Manager
From: Traci Kuzminski, Fire Chief 
Date: December 3rd 2025
Subject: Activity Report

The Fire Division responded to a total of 116 Incidents from November 16th- November 29th 2025.

EMS/ Fire & Rescue –

- Division Responded to 9 Motor Vehicle Incidents
- Division responded to 59 EMS Incidents
- Division responded to 24 Fire Alarm/Detector Activations
- Division Responded to 24 all other calls

Long-term projects/issues:

- New Medic ordered. Still Waiting
- Tornado Siren replacement complete.
- In-house monitoring system is complete.
- Received new Staff truck.
- Order of new ladder E-One Metro Quint Fire Truck through Vogelpohl Fire Equipment.

Full time hiring/promotions:

- Preparing to post add for full-time

Part time hiring:

- We have 5 part time personnel currently on the roster

Short term projects/issues:

- 30 Bathroom remodel complete

Number of Inspections/Re-Inspections:

- 31 Inspections

Meetings:

- No council meeting due to holiday

Other:

- 2 car seat checks for safety
- 7 Sinclair/Warren County Career Centre EMT Students Riders
- CPR Class taught at Active Electric

An Ordinance to make supplemental appropriations for current expenses and other expenditures of the City of Moraine, State of Ohio, for the period January 1, 2025, to December 31, 2025, and declaring an emergency.

Department: Finance

Request: Action Item

Item Background and Purpose:

The Finance Department has provided final end-of-year budget adjustments needed to meet budgetary compliance requirements.

Financial Impact:

Is Item Budgeted?: No

Funding Source: (i.e. Capital, Operating, etc...)

Attachments:

1. 2025-11-13 End of Year Budget Adjustments

RECORD OF ORDINANCES

Ordinance No. 2214-25

AN ORDINANCE TO MAKE SUPPLEMENTAL APPROPRIATIONS FOR CURRENT EXPENSES AND OTHER EXPENDITURES OF THE CITY OF MORaine, STATE OF OHIO, FOR THE PERIOD JANUARY 1, 2025, TO DECEMBER 31, 2025, AND DECLARING AN EMERGENCY.

NOW THEREFORE, BE IT ORDAINED BY THE COUNCIL OF THE CITY OF MORaine, STATE OF OHIO:

SECTION 1: That after due review and consideration, it has been determined that it is in the best interest of the City of Moraine to provide for the following supplemental appropriations as listed:

101 GENERAL FUND			
Department	Personnel Services	Operating Expenses	Appropriation
Clerk of Courts	\$ (5,000.00)		\$ (5,000)
Finance	\$ (50,000.00)		\$ (50,000)
Building Maintenance	\$ (115,000.00)		\$ (115,000)
Fire	\$ 36,500.00		\$ 36,500
General Miscellaneous		\$ (10,000.00)	\$ (10,000)
Community Development	\$ (80,000.00)	\$ (70,000.00)	\$ (150,000)
Transfer to Other Funds		\$ (594,740.00)	\$ (594,740)
		Total Appropriations	\$ (888,240)
202 STREET CONSTRUCTION & MAINTENANCE FUND			
Department	Personnel Services	Operating Expenses	Appropriation
Streets	\$ (79,800.00)		\$ (79,800)
			\$ -
		Total Appropriations	\$ (79,800)
204 PARKS & RECREATION FUND			
Department	Personnel Services	Operating Expenses	Appropriation
Payne Recreation Center		\$ (6,000)	\$ (6,000)
Parks Programs		\$ (49,000)	\$ (49,000)
Park Maintenance		\$ (25,000)	\$ (25,000)
		Total Appropriations	\$ (80,000)
210 ECONOMIC DEVELOPMENT FUND			
Department	Personnel Services	Operating Expenses	Appropriation
Economic Development		\$ (519,500)	\$ (519,500)
		Total Appropriations	\$ (519,500)
211 SPECIAL ENERGY IMPROV PROJECTS FUND			
Department	Personnel Services	Operating Expenses	Appropriation
Economic Development		\$ (2,557.94)	\$ (2,557.94)
		Total Appropriations	\$ (2,557.94)
301 CAPITAL IMPROVEMENT FUND			
Department		Operating Expenses	Appropriation
Building Maintenance		\$ (437,508)	\$ (437,508)
Police		\$ (9,927)	\$ (9,927)
Fire		\$ (68,405)	\$ (68,405)
Street		\$ (16,453)	\$ (16,453)
City Garage		\$ (73,370)	\$ (73,370)
Parks & Rec		\$ (9,151)	\$ (9,151)
		Total Appropriations	\$ (614,814)
701 CITY GARAGE FUND			
Department	Personnel Services	Operating Expenses	Appropriation
City Garage	\$ 17,000.00	\$ (198,415)	\$ (181,415)
		Total Appropriations	\$ (181,415)
902 POLICE PENSION FUND			
Department	Personnel Services	Operating Expenses	Appropriation
	\$ (35,000.00)	\$ 40	\$ (34,960)
Police Department		Total Appropriations	\$ (34,960)
903 FIRE PENSION FUND			
Department	Personnel Services	Operating Expenses	Appropriation
Fire Department	\$ (15,000)	\$ 40	\$ (14,960)
		Total Appropriations	\$ (14,960)
906 HEALTH INSURANCE PROGRAM			
Department	Personnel Services	Operating Expenses	Appropriation
Health Insurance	\$ (400,000)	\$ 601,000	\$ 201,000
		Total Appropriations	\$ 201,000
		Total Supplemental Appropriations	\$ (2,215,246.94)

SECTION 2: That this Ordinance, being a supplemental appropriation, shall take

RECORD OF ORDINANCES

Ordinance No. **2214-25**

effect immediately upon passage, pursuant to Section 4.9 of the City of Moraine, Ohio charter.

City of Moraine

Finance Department



To: Mayor & City Council

From: Annetta Williams, Finance Director

Date: November 7, 2025

Subject: End-of-Year Budget Adjustments

The Finance Department must go through a series of reviews for the final appropriations of the year. These include performing certain tests on our budgetary statements to ensure that we will meet budgetary compliance requirements as of the close of business on December 31, 2025. The following are key equations used to perform these tests.

- Estimated Resources = Fund Balance + Estimated Resources
- Appropriations $\leq$ **Actual** Resources (Mandatory)
- Expenditures $\leq$ Appropriations (Mandatory)

This process includes making a final estimation of actual revenues as of the end of the year. These actual revenues determine the amount which our appropriations may not exceed.

After a preliminary review, below is a list of adjustments that are being requested currently. As the month of November proceeds, we may need some other adjustments as well that will be included in the final budget adjustment legislation in December. This is mostly comprised of reductions for savings in certain project/capital budgets.

General Fund		
Department	Item	Amount
Community Development	Engineering & Arch Svcs	\$ (70,000.00)
Transfers	To Parks & Recreation Fund	\$ (24,000.00)
Transfers	To Capital Improvement Fund	\$ (620,585.00)
Transfers	To Police Pension Fund	\$ 5,490.00
Transfers	To Fire Pension Fund	\$ 355.00
	Total	\$ (708,740.00)

Parks Fund		
Department	Item	Amount
Parks Programs	Summer Lunch Program	\$ (25,000.00)
Parks Programs	Departmental Grants	\$ (24,000.00)
Park Maintenance	Departmental Grants	\$ (25,000.00)
	Total	\$ (74,000.00)

Economic Development Fund		
Department	Item	Amount
Economic Development	Economic Dev programs	\$ (382,000.00)
Economic Development	EDGE grant	\$ (137,500.00)
	Total	\$ (519,500.00)

Capital Improvement Fund		
Department	Item	Amount
Bldg Maint	Utility Van Savings	\$ (10,977.00)
Bldg Maint	Civic Center Flooring moved to 2026	\$ (68,780.00)
Bldg Maint	Salt Barn Roof - replaced by Insurance	\$ (85,000.00)
Bldg Maint	Bldg Maint Indoor Air Quality System	\$ (33,005.00)
Bldg Maint	Fire Station Restroom	\$ (239,746.00)
Police	Cruiser purchase	\$ (756.00)
Police	Body Armor	\$ (2,226.00)
Police	Cruiser upfitting	\$ (6,871.00)
Fire	Ladder truck balance	\$ (44,905.00)
Fire	Not buying trailer	\$ (10,000.00)
Fire	UAV - didn't get grant	\$ (13,500.00)
Street	Pickup Truck	\$ (5,693.00)
Street	Street Sweeper	\$ (10,760.00)
City Garage	Jack	\$ (65,000.00)
City Garage	Fuel System Removal Savings	\$ (8,370.00)
Parks & Rec	Vehicle purchase savings(PU/Gator)	\$ (9,151.00)
	Total	\$ (614,740.00)

Garage Fund		
Department	Item	Amount
City Garage	Fuel Depot Gasoline	\$ (157,250.00)
City Garage	Fuel Depot Diesel	\$ (41,165.00)
	Total	\$ (198,415.00)
Police Pension Fund		
Department	Item	Amount
Police	Police Pension	\$ 40.00
	Total	\$ 40.00
Fire Pension Fund		
Department	Item	Amount
Fire	Fire Pension	\$ 40.00
	Total	\$ 40.00
	Grand Total	\$ (2,115,315.00)

An Ordinance approving the amended City of Moraine Personnel Policy Manual.

Department: Administration

Request: Action Item

Item Background and Purpose:

New revised City of Moraine Personnel Policy Manual

Financial Impact:

Is Item Budgeted?: No

Funding Source: No funds needed

Attachments:

1. Exhibit A - PPM Final - 2025

RECORD OF ORDINANCES

Ordinance No. 2215-25

AN ORDINANCE APPROVING THE AMENDED CITY OF MORaine PERSONNEL POLICY MANUAL.

NOW THEREFORE, BE IT ORDAINED BY THE COUNCIL OF THE CITY OF MORaine, STATE OF OHIO:

SECTION 1: That the amended City of Moraine Personnel Policy Manual appended hereto as Exhibit A is adopted.

SECTION 2: That the Clerk be and is hereby authorized and directed to forward a certified copy of this Ordinance to the City Manager, Human Resources Administrator, and Finance Director. The Human Resources Administrator will oversee notification to all City employees and officials to ensure they are notified of the adoption of the amended Personnel Policy Manual.

SECTION 3: That any Ordinance or enactments in conflict with this Ordinance are hereby repealed.

EXHIBIT A



Personnel Policy Manual

Passed Ordinance No.

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SECTION 1. WELCOME

1.1 Overview

- (a) Welcome to the City of Moraine! This Manual has been prepared for the purpose of informing City employees on the philosophy, employment practice, policy, and conduct expected of each staff member. Administrative staff, department/division heads, and supervisors encourage all staff to ask questions and reference this manual and their department/division rules and regulations for additional policies.
- (b) The City is a place where staff can enjoy their work and their fellow employees. We are confident employees will find the City a great place to work. Please read this Manual carefully and refer to it whenever questions arise.

1.2 Background & Purpose

- (a) The purpose of this Manual is to explain the policies and procedures for City employees. Policies are defined as the basic rules that guide administrative action for accomplishing an organization's objectives. Comprehensive and clearly defined policies, consistently and fairly administered, are essential to the success of any organization.
- (b) This Manual is written in accordance with Section 5.3 of the City Charter, Powers and Duties of the City Manager. Each section page is dated according to its original effective date and later revision date.
- (c) These policies and procedures are not intended to be and do not constitute a contract. This is a policy and procedures guide to inform employees and managers regarding applicable laws, regulations, and policies governing employment with the City.
- (d) Per City Ordinance Number here, the policies adopted within this Manual supersede all previous written and unwritten City human resources and personnel policies. In the event there is a conflict between the subjects expressed in this manual and any other applicable state laws, City Ordinances and Resolutions, or Collective Bargaining Agreements, the applicable law, Ordinance, Resolution, or Collective Bargaining Agreements shall prevail. Questions regarding the interpretation and application of these policies should be directed to the Human Resources Administrator.
- (e) All employees shall receive a copy of this Manual (see receipt), and a copy shall be distributed to each City department and division office. Each Council member shall also receive a copy of the manual (see receipt at Section 16). As conditions warrant, these policies may be amended, revised, or deleted by the City Manager and with approval of City Council via legislation. Such revisions, amendments, or deletions will be distributed as appropriate.
- (f) Upon hire, employees will receive a copy of this Manual. New hires will receive a copy of this Manual as part of the orientation process.

1.3 Objectives

- (a) The City recognizes that a human resources system which recruits and retains competent, dependable City personnel is indispensable to effective City government.
- (b) The policies and procedures set forth in this Manual are designed to:
 - 1. Promote safety, high morale, and foster good working relationships among employees of the various departments/divisions and offices of the City by providing consistent and well-understood personnel policies, equal opportunities for advancement, and appropriate consideration of employee needs.

2. Enhance the attractiveness of a career with the City and encourage each of its employees to give his or her best effort to the City and the public.
3. Encourage courteous and dependable service of the highest quality to the public, who are both our customers and our ultimate employers.
4. Provide fair and equal opportunity for qualified persons to enter and progress in the City service based on merit and fitness as determined through objective and practical human resources management methods; and
5. Ensure that all City operations are conducted in an ethical and legal manner to promote Moraine as an effective, progressive City government.

1.4 Definitions

(a) Unless otherwise indicated in other policies, the following definitions shall apply:

1. The appointing authority is authorized by law to make personnel appointments to City positions. The City Council is the appointing authority for the City Manager, Clerk of Council, and Director of Department of Finance, and Director of Department of Law. The City Manager is the appointing authority for all other City positions (See Charter Section 5.3(B)).
2. Chain of Command shall mean the sequence of department/division supervisors up to the City Manager that an employee must follow in discussing issues or problems regarding City operations or their employment.
3. City shall mean the City of Moraine.
4. Classification means a group of positions that involve similar duties and responsibilities, require similar qualifications, and which are properly designated by a common descriptive title indicating the general nature of the work. A classification may include only one position in some circumstances.
5. Employee is any person holding a position subject to City appointment, removal, promotion, or reduction by the appropriate appointing authority.
6. Employer is the appointing authority, authorized by law to make appointments to positions, or the designee of the appointing authority.
7. Position means a specific group of duties and responsibilities assigned or delegated by the appointing authority to be performed by a group of employees or one employee.
8. Position Description is an analytical statement of position qualifications, duties, and responsibilities, based on a needs analysis and including conditions of work and supervisor-employee relations. This description is the baseline document for hiring, training, and evaluating.
9. Qualifications All positions, descriptions, and corresponding statements of minimum qualifications shall relate directly to position duties and responsibilities. Such qualifications will be reviewed periodically to ensure their accuracy.
10. Supervisor shall mean an individual who has been authorized by the appointing authority to oversee and direct the work of lower-level employees on a daily basis.

1.5 Management Rights

- (a) The appointing authority maintains the ultimate right to administer the business operations and policies of the City. Management retains the sole and exclusive right and responsibility to:

1. Determine matters of inherent managerial policy which include, but are not limited to, areas of discretion or policy such as the functions and programs of the City, standards of services, its overall budget to include wages, utilization of technology, subcontracting, and organizational structure.
 2. Direct, supervise, assign, reassign, schedule, evaluate, hire, suspend, discipline, demote, terminate, lay off, transfer, promote, or retain employees.
 3. Maintain and improve the efficiency and effectiveness of the City's operations.
 4. Determine the overall methods, process, means, or personnel by which the City's operations are to be conducted.
 5. Determine the adequacy of the work force, as well as to make, amend, and enforce work rules, regulations, standard operating policies and procedures.
 6. Determine the duties to be included in all position classifications.
 7. Determine the overall mission of the City as a unit of government.
 8. Effectively manage the workforce.
 9. Take actions to carry out the mission of the City.
- b) The above listing of management rights shall not be deemed to exclude all other proper functions not specifically listed herein, or granted in a labor contract, traditionally exercised by the employer.

1.6 Department/Division Rules & Regulations

- (a) Department/division heads are responsible for the operation of their department or division.
- (b) Department/division heads may establish additional written policies and procedures for their department or division. Such guidance shall be reviewed and approved by the City Manager.

1.7 Union Relations

- (a) If your position is affiliated with a union, your negotiated contract may supersede portions of this Manual. If the negotiated contract has governing provisions that are in conflict with this Manual, the contract will prevail. Please familiarize yourself with your individual contract language in addition to what is outlined in this Manual.

1.8 Savings Clause Disclosure

- (a) If any article or section of this Manual or any revisions/amendments thereto shall be held invalid by operation of law or by a court or agency of competent jurisdiction, or compliance with or enforcement of any article or section of this Manual is restrained by a court or agency, the remainder of this Manual and amendments thereto shall remain in full force and effect, except to whatever extent they rely upon or refer to the restrained provisions.

SECTION 2. EQUAL OPPORTUNITY & COMMITMENT TO DIVERSITY

2.1 Diversity and Equal Employment Opportunity

- (a) Diversity is the full utilization of all human resource potential. It is understanding and valuing differences, while mobilizing similarities to effectively achieve a common objective or goal.

- (b) From diverse backgrounds comes a diversity of ideas and experience that benefits the City every day and reflects the community served.
- 1) Each person is valued and challenged to reach their full potential. Employees are held accountable for their contribution to the vision, mission and values of the City.
 - 2) The City is an Equal Opportunity Employer (EEO), and all employees are protected from discrimination under the laws we enforce. EEOC employees and applicants for employment are covered by the federal laws and Presidential Executive Orders designed to safeguard federal employees and job applicants from discrimination on the basis of race, color, religion, sex (including pregnancy, gender identity, and sexual orientation), parental status, national origin, age, disability, genetic information (including family medical history), political affiliation, military service, or other non-merit based factors. These protections extend to all management practices and decisions, including recruitment and hiring, appraisal systems, promotions, training, and career development programs. Consistent with these obligations, EEOC also provides reasonable accommodations to employees and applicants with disabilities; known limitations related to pregnancy, childbirth, or related to medical conditions; and for sincerely held religious beliefs, observances, and practices.
 - 3) Upon request, the City shall consider reasonable accommodation necessary to allow any qualified individual with a disability to perform the essential functions of the position.
 - 4) The City is required to file reports with the Equal Opportunity Employment Commission (EEOC) and collects voluntary EEO information from its applicants for the filing of these reports.
 - 5) All job-related training and educational programs shall be reviewed periodically to ensure all personnel are given equal opportunity to participate in these programs.
 - 6) Affirmative Action refers to the City actively works to achieve equal opportunity for all employees and job applicants and fosters a more inclusive employee environment that appreciates diversity in people while achieving common City objectives. The City also collects voluntary EEO information from applicants. This is because Moraine receives federal funding through grants and contracts, the City is required to file reports with the EEOC.

2.2 Accommodations

- (a) The City values all of our employees' contributions. A reasonable accommodation's primary function is to enhance workplace productivity and provide equal employment opportunities to applicants, candidates, and employees with disabilities.
- (b) The goal of this process is to create a more inclusive environment where all employees can readily and efficiently ask for and receive reasonable accommodation necessary to reach their full potential at work. This guidance also complies with our Federal obligations under the Americans with Disabilities Act, Section 503 of the Rehabilitation Act, and other applicable state and local disability-related regulations.

SECTION 3. PERSONNEL APPOINTMENTS

3.1 Position Classification Plan

- (a) All City positions shall be classified in accordance with a plan so that any and all positions whose duties, responsibilities, and necessary qualifications are sufficiently alike shall be allocated to a position with a title and position description that accurately reflects the duties and responsibilities and within an appropriate salary range.

- (b) The Human Resources Administrator shall be responsible for maintaining the classification plan, which is based on the Table of Organization.
- (c) The classification plan is based on an analysis of the qualifications, duties, and responsibilities of City positions. Positions are grouped by similar level of qualifications and level of difficulty as well as supervisory experience. Classification specifications include the position title; minimum and preferred qualifications; the knowledge, skills, and abilities necessary to accomplish the position's duties; and responsibilities. The classification plan also includes the appropriate salary range for positions based on internal position audits, external labor market conditions, and collective bargaining agreements.
- (d) The Human Resources Administrator shall review the duties and responsibilities of positions and propose adjustments or revisions to the classification plan as necessary. The classification plan is approved by the Merit System Commission (for classified positions), and appointing authority, as appropriate, with City Council approval.
- (e) An employee promoted to a higher classification shall advance to a pay step within the higher pay range which gives the promoted employee a pay increase over his or her current salary.
- (f) An employee may request that his or her position be audited for proper classification by requesting a review to the Human Resources Administrator.
- (g) Reclassification is reallocating a position to the appropriate position title and/or pay range as is necessary to provide an equitable, just, and proper classification. A reclassification may be up or down in pay ranges. A department/division head may request a review of the classification of a position, provided at least two (2) years have elapsed since the last review of the position. A reclassification to a higher pay range will reallocate a position to the appropriate step to give the employee an increased salary. Such a classification recognizes a position that has increased in value to the City based on the duties and responsibilities changing by more than 25 percent.
- (h) Unless duties are substantially altered (more than 25 percent) on a permanent basis, the employee may not request such a review for two years from the date of the results of the last review.
- (i) If the reclassification is to a lower pay range, the salary of the employee(s) shall remain at the current level until the annual range step in the lower range increases to the employee's current salary level. In certain cases when a reclassification to a lower salary range is recommended, this reclassification may be delayed until after the employee in the position leaves that position.
- (j) Requests for classification review should, where practical, be submitted prior to September in order to be considered and presented as part of the annual budget planning process and implemented on January 1st of the following year. However, the Human Resources Administrator may review requests for classification review (time permitting) at other periods of the year.
- (k) A request for a classification review should be accompanied by the following information:
 - 1. Department/division position description.
 - 2. Present position salary range and step of position employee.
 - 3. Department/division head's detailed justification for the reclassification request.
 - 4. Necessary budget money to allocate for reclassification (range increase plus benefits and cost-of-living increase for next fiscal year if necessary).

- (l) Upon receiving a reclassification request, the Human Resources Administrator and City Manager, in coordination with the department/division heads and Merit Service Commission (if a classified position), conduct an audit of the position to assess the position's duties and responsibilities, workload, and market value. The audit will attempt to use both external and internal position comparisons. The performance of the employee in the position will also be considered in the request. If the audit recommends approval to a higher or lower classification, the reclassification will go to the appropriate appointing authority for approval. In the case of a classified position, the reclassification must be approved by the appointing authority and Merit Service Commission. An unclassified position requires only appointing authority approval. Reclassifications require City Council approval and an amended table of organization.
- (m) To allocate new positions, the department/division head shall complete a proposed position description covering the duties and responsibilities of each proposed new position. He or she shall recommend that the position be allocated to one of the ranges in the classification plan. The Human Resources Administrator and City Manager shall verify the position allocation information and coordinate with the Merit Service Commission, if necessary, to amend the table of organization.
- (n) To maintain an accurate plan, each time a vacancy occurs, the position description shall be reviewed by the department/division head, the Human Resources Administrator, and City Manager.
- (o) Each time a department or division is reorganized, proposed position descriptions for all affected employees should be submitted to the Human Resources Administrator and City Manager for review.
- (p) The City Manager or Human Resources Administrator may also require departments/divisions to submit revised position descriptions if there is reason to believe that there has been a significant change in a position's duties and responsibilities.
- (q) Each time a new position is established, a position description shall be written and incorporated in the existing plan. The position title shall be added to the list of titles. Likewise, an abolished position may be deleted from the classification plan by removing the position description and eliminating the position title.
- (r) The Human Resources Administrator and Finance Director shall coordinate appointing authority approval with the City Council and/or City Manager in order to change the table of organization and all applicable payroll and personnel records.

3.2 Original Appointments: Identification, Announcement, & Applications

- (a) Classified positions shall be appointed in accordance with the Merit System Commission Rules and Regulations.
- (b) For unclassified positions, a request shall be submitted by the appropriate department/division and sent to the Human Resources Administrator in order to start the coordination process. The request is sent to the appropriate appointing authority for final approval. Once approved, the Human Resources Administrator will develop, with the hiring manager, an appropriate recruitment and application process for the position to be filled. The City posts the job opening for a minimum period of 14 calendar days. However, the posting may be for a shorter period in cases of urgent need to fill the position quickly, provided that the posting must specify the shorter application period. Qualified, current employees may apply for vacant positions. The appointing authority shall endeavor to select the most qualified candidate for each position in accordance with the procedures of this section.

- (c) To the extent practicable, the City shall announce available vacancies by appropriate means, including but not limited to newspaper advertisements, mailings to community and professional organizations, persons requesting copies of job announcements, and/or notices to placement offices of universities, colleges, or vocational training programs. In announcing the availability of job openings, the City should consider methods likely to increase awareness of employment opportunities for all racial and ethnic groups, both sexes, and persons with disabilities.
- (d) Each announcement should specify the title, salary, overview of the job, the required qualifications, position duties and responsibilities, the type of selection procedures to be used, and the deadline for and method of application.
- (e) An application must be properly completed and submitted before a person can be considered for a City position. An Employee Action Form and in-processing paperwork shall be completed upon the new employee's hire.

3.3 Evaluation of Applicants

- (a) The appointing authority, Human Resources Administrator, and/or department/division heads, and/or panel shall interview applicants following an initial screening of applicants or resume. In considering applicants, the City will not reject a person on the basis of race, color, religion, sex (including pregnancy, gender identity, and sexual orientation), parental status, national origin, age, disability, genetic information (including family medical history), political affiliation, military service, or other non-merit-based factors. Applicants shall submit to any required interviews, reference checks, criminal history checks, credit history checks, driving record checks, medical examinations, drug tests, performance tests, or other job-related screening procedures.
- (b) An applicant shall be required to provide any information and undergo any examinations necessary to demonstrate qualifications, provided the information or examination is job-related.
- (c) The appointing authority shall make the appointment conditional pending the passing of the necessary checks or tests as referenced in paragraph (a) above.
- (d) In the event any qualified person with a disability has applied for a position and requires reasonable accommodation in order to complete any test, examination, or other selection process, the City shall, upon request, evaluate the need for and consider such reasonable accommodation. The City is not required to provide any reasonable accommodation that is not requested within a reasonable time prior to the test, examination, or other selection procedure involved; that would represent an undue hardship to the City; or where the requested accommodation would impair or invalidate a test or other device that directly measures the ability to perform an essential function of the job.

3.4 Basis For Selection

- (a) Appointments to vacant positions shall be based on the applicant's demonstrated knowledge, skills and abilities, education and training, past work performance and experience; ability to perform the described work, including the potential to adapt to future changes; flexibility; commitment to public service and demonstrated work ethic; and other job-related qualifications, as ascertained through practical and objective indicators of performance, to the extent possible.

3.5 Basis for Disqualification

- (a) An applicant may be eliminated from consideration based on inherent management rights, including but not limited to such factors as the applicant:
 1. Does not possess the knowledge, skills, or abilities necessary to effectively perform the essential duties of the vacant position, with or without reasonable accommodation.

2. Has made a false statement of material fact on the application form or other documents or materials submitted in connection with the application and selection process, or in the course of any interview or other portion of the selection process.
 3. Has committed or attempted to commit a fraudulent act at any stage of the selection process.
 4. Is an individual not legally permitted to work in the United States.
- (b) An applicant may be eliminated from consideration upon other reasonable grounds relating to the position's required or preferred knowledge, skills, and abilities, or budget limits. Further, less qualified applicants may be eliminated from further consideration as the selection and review process proceeds and the pool of applicants under consideration is narrowed.
- (c) No City official or employee shall recommend, appoint, or vote for the appointment of a family member to a City position. Family members include parents, step-parents, grandparents, children of any age, step-children (whether dependent or not), grandchildren, spouse, domestic partner, or siblings (regardless of where these family members reside), anyone related by blood or marriage (aunts, uncles, nieces, nephews, cousins, and in-laws) and who is also residing in the same household as the Official or Employee.
- (d) If an applicant is hired and it is subsequently discovered that any of the above disqualifying criteria apply, the employee is subject to termination for dishonesty, incompetency, or other grounds per applicable law.

3.6 Promotion & Provisional Appointment

- (a) A promotion is advancing from one pay range to another higher pay range as the result of filling a vacant position with new and increased responsibilities. This is usually accomplished through civil service competitive examinations and processes per Merit Service Commission Rules for classified employees or by another process for unclassified positions. A promotion gives the employee a new anniversary date. Promotion is not from reclassification or adjustment of the same position and not from one step to another within the same range.
- (b) A provisional appointment refers to an employee that may be appointed provisionally to a position for 120 workdays pending an examination being given for a classified position. A provisional employee is in the unclassified service while serving in the position.
- (c) Whenever a vacancy occurs, the department/division head shall submit a request to the appointing authority. The appointing authority shall determine if the position is to be filled.
- (d) If approved to be filled and the position is in the classified service, the Merit System Commission develops an eligibility list for selecting a candidate.
- (e) If approved to be filled and the position is in unclassified service, the Human Resources Administrator recommends to the appointing authority and department/division head an appropriate procedure for filling the position.
- (f) In considering applicants for promotion, the appointing authority may consider all relevant factors, including but not limited to an employee's completion of any required probationary period, required training courses; skills; experience; education and training; past performance (including the employee's annual performance evaluation ratings); the employee's ability to perform the duties of the new position, including the ability to adapt to future changes; flexibility; commitment to public service and a demonstrated work ethic; and other job-related qualifications.

3.7 Transfer

- (a) Consistent with ORC Section 124.32, a lateral transfer is defined as a move from one department or one division to another, or within a department/division, when the employee retains an equivalent position and same pay range. If the transfer is made to a position of a lower pay range, it will be considered a demotion. When an employee is demoted to a lower range position, he/she shall be paid at a rate that is within the approved range for the lower range position. The City Manager shall determine the rate of pay. All permanent transfers are for at least one year and require appointing authority approval. A transferring employee must fully qualify for the job to which he/she is being transferred. A transferred employee shall retain all accumulated leave and other benefits.

3.8 Temporary Assignment ("Plus Pay")

- (a) A temporary assignment of 1,500 hours places an employee, deemed qualified, in a different position classification, which has different duties and responsibilities. A temporary assignment shall be used by the appointing authority to meet the operational needs of a department or division due to illness, emergencies, or special circumstances.
- (b) An employee temporarily assigned to a position in a similar or higher pay range for more than one workweek shall receive his/her regular weekly rate of pay plus an additional 5 percent, or the minimum rate of the appropriate range, whichever is higher, up to a maximum of 10 percent. This is at the City Manager's discretion and is to compensate for the temporary higher level job responsibilities. Such additional compensation shall after 40 continuous hours be retroactive to the start of the temporary assignment. See the Temporary Assignment and Pay Plus Form for computing the additional pay.
- (c) Temporary assignments to a higher-level position shall not be used to temporarily promote employees, nor may the temporary assignment be a new position on the department/division table of organization.
- (d) An employee temporarily assigned to a position with a lower rate of pay shall not be reduced in salary.

3.9 Reinstatement of Employees

- (a) Employees who resign without delinquency or misconduct on their part may be considered for reinstatement to the same or similar position within one year. The decision whether to reinstate an employee who has resigned from City employment shall be solely at the discretion of the appointing authority. An employee who separates due to injury or physical disability shall be reinstated to the same or similar position within two years from the date of separation, provided a physical examination shows the person has recovered from their injury or disability (ORC Section 124.32). Such employees shall be assigned an adjusted hire date, which reflects their lapse in City service, by changing the actual date of original hire to a later date based on the length of time not employed by the City.
- (b) Reinstatement provisions for police officers and firefighters are contained in ORC Sections 124.06 and 124.50.
- (c) Reinstated employees do not serve a probationary period upon reinstatement unless they did not pass the probationary period prior to separation or unless an agreement between the employee and the City states otherwise.

- (d) In determining the "years of service" for longevity purposes, the total length of full-time service with the City shall be used. If two or more partial years are involved, they will be added to make complete years. The period of time from resignation to reinstatement shall not be used in the calculations.
- (e) In determining the "years of service" for vacation purposes, the total length of full-time service with the City shall be used. If two or more partial years are involved, they will be added to make complete years. The period of time from resignation to reinstatement shall not be used in the calculations.
- (f) A reinstated employee, regardless of the length of the break in service, shall be credited with that sick leave credit earned, but not used, converted to vacation, or paid at resignation.
- (g) Absent a collective bargaining agreement to the contrary, a reinstated employee is eligible to compete for promotional positions based on his adjusted hire date.

3.10 Employee's Time of Service (Seniority)

- (a) It shall be the policy of the City to hire and promote upon the basis of merit, qualifications, and seniority. City seniority shall mean an employee's length of continuous full-time service with the City, based on the employee's most recent date of hire with the City. City seniority shall be the basis for such benefits as accumulation of sick leave, vacation leave, longevity bonuses, or other cumulative monetary benefits based on length of service. Classification seniority shall mean an employee's length of continuous full-time service in the employee's current position classification based on the original date of appointment in that classification. Classification seniority shall be the basis for vacation schedules, layoffs, and recall preference.
- (b) In the event employees have the same classification appointment date, a "seniority tie," the employee who first filed his or her application for the position based on date and time will be considered the senior employee. If no record exists of the date and time of application, the person whose last name is first in the alphabet will be considered the senior employee in that classification.
- (c) Layoff and Recall. For purposes of layoff and recall procedures, the full-time or part-time employee's time of continuous service, defined as continuous service with the City is considered by the appointing authority when the order of layoff within a classification is determined. A break in service occurs when an employee is terminated for any reason other than layoff, retires, is laid off for more than one year, or fails to return to work within 10 calendar days of a recall from layoff. If an employee is reinstated within one year of the termination date, continuous service will include all previous continuous service time but will not be credited for the time between the separation and the reinstatement.
- (d) Retirement. For purposes of determining retirement benefits, service time is defined by the provisions of the retirement system in which the employee participates and may include service time purchased by the employee or the employer pursuant to the laws and regulations governing the retirement system.
- (e) For all other purposes, other than those defined above, service time shall be defined as set forth in the provisions of the Ohio Revised Code, Chapter 124.

SECTION 4. EMPLOYMENT RELATIONSHIP

4.1 Classified and Unclassified Employment

- (a) All City employees are presumed to be classified as civil servants in the City Merit Service unless the position which they occupy has been excluded from the classified service as stated in the City Charter or by the City Ordinance.
- (b) After completion of a 6-month probationary period, or the probationary period designated in the classification specifications, classified employees may only be disciplined for cause by following the procedures set forth in the City's Ordinances and policies. Classified employees may not actively participate in partisan politics (see Political Activity Section). Not all City employees serve in the unclassified civil service by law, or by appointment to positions which have been excluded from the classified service. Such employees serve at the pleasure of the appointing authority, and may be removed at any time, with or without cause as long as the removal does not violate federal or state laws. Per City Charter Section 7.3, City positions or employees who serve at-will and are in the unclassified service include:
1. All officials elected by the people.
 2. All part-time and seasonal personnel.
 3. Chiefs and Deputy Chiefs.
 4. Directors and Deputy Directors.
 5. Clerks, Secretaries, and Receptionists.
 6. Unsworn Community Service Officer.
 7. Superintendents and Supervisors.
 8. Police Lieutenants appointed after January 1, 2009.
 9. All positions not specifically included by the City Charter in the classified service.
- (c) All other positions not specifically excluded by the City Charter, shall be considered in Classified Service.

4.2 Employee Status

- (a) All City employees are to be classified as full-time, part-time, temporary, or seasonal.
1. Full-time Probationary Employee - new full-time employee normally scheduled to work 40 hours per week and hired with the intention of becoming a regular employee after serving a probationary period.
 2. Full-time Regular Employee - an employee normally scheduled to work 40 hours per week, or some other work schedule such as Fire Division shifts and who has passed their probationary period.
 3. Part-time Employee - an employee who is normally scheduled to work 30 hours or less per week.
 4. Temporary Employee - an employee hired for a limited period of time, either full-time or part-time.

5. Seasonal Employee - an employee who works in a position that may only be performed during certain times of the year, whether full-time or part-time. Such employees may, in management's discretion, be reinstated during the next season, or the earliest possible period when there is a need for seasonal employment.
 6. Provisional Employee - a current employee appointed to temporarily fill a position vacancy created by the absence of a full-time regular employee. The provisional appointment shall not exceed 120 workdays.
- (b) Full-time regular employees are eligible for all benefits as provided by the City. Part-time employees accrue paid time off (PTO) hours.
 - (c) Temporary and seasonal employees are not entitled to any benefits unless otherwise specified in this Manual.
 - (d) Probationary employees serve at the will of the appointing authority and may be removed at any point in the probationary period. Part-time, temporary, and seasonal employees are always in probationary status.
 - (e) Employees who are required to handle City funds shall be bonded.
 - (f) All employees are eligible for Bureau of Workers' Compensation coverage.

4.3 Medical Examination, Drug Test, and In-Processing

- (a) After successful completion of the interview and/or evaluations, the applicant may receive a conditional appointment letter from the City Manager. He or she may then be scheduled for a post-employment offer (or pre-employment) medical examination depending on the City position and will be scheduled for a drug test by a City-contracted medical facility. Such examinations help to ensure that selected job applicants are physically able to perform the essential duties of the position, with or without reasonable accommodation. Any individual who fails a drug test as a pre-employment fitness for duty examination shall be advised that they will not be considered for employment in any position for at least twelve (12) months. No applicant shall be required to undergo a medical examination or drug test prior to a conditional offer of employment.
- (b) The drug test will determine if the applicant is illegally using a controlled substance. For the purposes of this Manual, and unless otherwise specified, Federal Law shall determine the legality of any particular controlled substance prohibited herein.
- (c) Certain City positions (to include the Police and Fire Divisions) may also require a physical ability test, a psychological examination, and/or a polygraph exam.
- (d) After these examination and evaluation requirements are passed, the applicant will be signed up for benefits, receive an initial orientation, and become an employee.
- (e) During employment, if there is just cause, the City Manager or designee may require an employee to have a physical and/or mental examination, or drug test to ensure the person is able to perform the duties of their position.

4.4 Probationary Period

- (a) Each newly hired or promoted full-time regular employee shall serve a probationary period. Probationary periods are normally for six (6) months, except for original appointments to the Fire and Police Divisions which shall have a probationary period of twelve (12) months. A longer probationary period may be imposed only with the approval of the appointing authority.
- (b) Supervisors use the probationary period to observe closely and evaluate the employee's performance and aptitude for the job. By means of a mid-probationary period assessment, the

supervisor determines and communicates to the employee pertinent information concerning his or her progress in the new position, problems and achievements at that point, and any need for improvement in order to complete the probationary period successfully. Likewise, throughout the probationary period the employee is encouraged to bring problems to the supervisor and discuss any needs or concerns with the goal of enhancing his or her performance. Supervisors recommend retention of those employees who meet acceptable work standards during the probationary period, and the removal of those employees who do not meet acceptable standards.

- (c) An employee may be terminated for failure to complete the original probationary period successfully at any point prior to the end of the probationary period. Employees serving at a promotional probationary period may be reduced to the classification and salary held prior to the promotion, if available, upon failure to complete the promotional probationary period successfully at any point prior to the end of the probationary period. The appointing authority shall review each case individually and evaluate the employee's fitness for the position and quality of work in determining whether the employee has successfully completed probation.
- (d) Reduction for failure to complete a promotional probationary period shall not be considered a disciplinary action and shall not serve to eliminate the employee for consideration for advancement to other positions. A promotional probationary reduction is not subject to appeal or the disciplinary or complaint procedures of this Manual.
- (e) The probationary period shall be based on calendar days from the date of appointment. Time on paid or unpaid leave shall not be counted toward the completion of the probationary period.
- (f) The hiring process is not finalized until the probationary period is successfully completed. It is the responsibility of the newly hired employee to show that he/she are a suitable candidate for on-going employment.
- (g) Employees serve at-will and remain subject to removal at any time during the probationary period. A probationary removal is not considered a disciplinary action, or subject to the right to appeal.

4.5 Employment of Relatives and Domestic Partners

- (a) No City official or employee shall recommend, appoint or vote on the appointment of a family member to a City position. Family members include parents, step-parents, grandparents, children of any age, step-children (whether dependent or not), grandchildren, spouse, domestic partner, or sibling (regardless of where these family members reside), anyone related by blood or marriage (aunts, uncles, nieces, nephews, cousins, and in-laws) and who is also residing in the same household as the official or employee.
- (b) City employees and officials may not discuss, recommend, authorize or use the authority or influence of their positions, formally or informally, to secure a job for a family member. This includes full-time, part-time, temporary, seasonal, contractual or permanent positions, and positions in the Classified or Unclassified Civil Service, or non-civil service positions.
- (c) No applicant shall be considered for a position which would be directly supervised by a family member based on the criteria of Ohio Ethics law. Ohio Revised Code §§ 102.03 and 2921.42 prohibits public employees from using their influence to benefit themselves or their family members. In recognition of these requirements, the Code of Ethics is established for all City officials and employees. This policy shall be reviewed, analyzed, and applied in accordance with advisory opinions and decisions of the Ohio Ethics Commission.

4.6 Employee Separation

- (a) Failure to give proper notification may result in ineligibility for re-employment.
- (b) Department/division heads will ensure all City property (uniforms, keys, identification card, purchase or credit cards, applicable passwords, swipe card, equipment, etc.) are returned and accounted for before the employee leaves City employment and/or receives their final paycheck.
- (c) The Employee Separation Form, and any equipment/property inventory form(s) should accompany the Employee Action Form.
- (d) Resignation
 - 1. Notice - an employee who plans to voluntarily resign shall formally notify their immediate supervisor in writing at least two (2) weeks in advance of the effective date of separation.
 - 2. Exit Interview - any employee who resigns is encouraged to have an exit interview with the City Manager or designee. The exit interview is an opportunity for the employee to offer constructive criticism and insights regarding their City work experience.
 - 3. Protocol - a written letter of resignation is required for purposes of processing the final payroll for the employee. A resignation becomes final once accepted by the appointing authority or designee.
- (e) Termination (Involuntary Separation)
 - 1. Employees who are terminated as a result of disciplinary action will be monitored as they depart City property.
 - 2. Department/division heads will ensure all property (uniforms, keys, identification card, purchase or credit cards, swipe card, equipment, etc.) are returned and accounted for before the employee leaves City property.
 - 3. The Employee Separation Form, and any equipment and property inventory form(s) should accompany the Employee Action Form.
 - 4. Employees who are involuntarily terminated will be ineligible to volunteer in any capacity.
- (f) Retirement
 - 1. City employees are required by law to participate in the Ohio Public Employees Retirement System (OPERS) or the Ohio Police and Fire Pension Fund (OPFPF). These programs are entirely independent of the federal Social Security System. Depending on the program, employees may receive pension benefits depending on their age and years of service credit, or if they have to retire due to a work-related injury or disability.
 - 2. The requirements and procedures governing the retirement of City employees are set forth in ORC Chapter 145 and OPERS or OPFPF rules and regulations. OPERS and OPFPF are the sole and final authorities on all matters regarding retirement eligibility and benefits, and employees are encouraged to contact these programs directly with questions or concerns about benefit levels, service credit, or eligibility issues.
 - 3. Information outlining OPERS or OPFPF benefits, and specific issues such as service credit, survivor's benefits, disability retirement, and refund of contributions are available in the Finance Department.

4. An employee who desires to retire must submit in writing a request to retire and specify an exact retirement date. The request must be submitted to the appointing authority or his designee, and employees are encouraged to give as much advance notice of retirement as possible. The employee must also complete the necessary OPERS or OPFPF retirement applications forms.

(g) Serious Injury or Death of an Employee

1. The serious injury or death of a City employee is a very unfortunate and traumatic situation that requires careful and compassionate consideration by City management and co-workers. To ensure the City has accurate personal emergency information for employees, each department/division shall maintain this necessary information, including next of kin information, home address (not post-office box), and telephone numbers. A detailed Job Injury and/or Death Notification Information package for employees is available in Human Resources. To fully inform his/her supervisors of important information in the event of a serious injury or death while at work. This information package should be returned to Human Resources in a large, sealed envelope, which will be secured in the Police Dispatch Center, and will only be opened by senior management in case of an emergency.
2. The serious injury or death of an employee shall be reported immediately to the City Manager's Office (the City Manager, his designee, or his secretary).
3. Official notice of the employee's serious injury or death will be made by the City Manager's Office, and the name of the employee will not be announced until the employee's next of kin is notified.
4. If the employee's death occurred at work, the City will investigate the circumstances of the death. The City Manager or his designee will provide information on the investigation results.
5. The injured or deceased employee's department/division head will secure the employee's personal possessions and return the possessions to the next of kin if these items are not part of the investigation.
6. The City Manager's Office and the deceased employee's department/division will assist the employee's family as necessary and appropriate.

SECTION 5. COMPENSATION & HOURS OF WORK

5.1 Compensation

- (a) New employees are hired at the appropriate pay range for their position, theoretically at the beginning step of their assigned salary range when the classification plan is current and up to date. If exceptional qualifications and experience warrant it, a new employee may begin at any step within the salary range.
- (b) City Council may approve across-the-board (cost-of-living) pay increases.
- (c) The Employee Action Form will be used to request all pay changes.

5.2 Annual Step Increases

- (a) Employees, who are not members of a collective bargaining unit, may be approved for annual step increases based upon satisfactory performance as documented on their annual performance evaluation and the recommendation of their department/division head. In certain circumstances of exceptional performance, the appointing authority may approve advancement of two steps within a salary range. Two-step salary increases will be based on documented exceptional performance as indicated on the employee's annual performance evaluation, and the recommendation of their supervisor, department/division head, and the final decision of the appointing authority. This two-step policy shall not pertain to Council salary range increases beyond the top step in a salary range.

5.3 Scheduled Hours & Overtime

- (a) The current weekly payroll period is from 12:01 a.m. on Sunday and continues for seven consecutive days to end at 12:00 a.m. the following Saturday. The department/division head shall establish the appropriate work schedule for their department/division in consideration of public service, workload, operational needs, and collective bargaining agreement. Employees may not adjust their work schedule without their supervisor's approval.
- (b) Approved lunch periods are not considered as work time; therefore, employees shall be relieved from work for that time period.
- (c) With the exception of employees exempt from overtime according to the Fair Labor Standards Act (FLSA), employees shall be entitled to overtime compensation at the rate of one and one-half (1 1/2) hours for time worked in excess of 40 hours in the designated work week.
- (d) Exempt Employees. Labor law exempts broad categories of management and administrative jobs from overtime coverage, compensation or comp time, because they are paid on an annual salary basis: executive, administrative, professional, and highly compensated computer employees.
 - 1. Exempt employees are paid a pre-determined annual salary on the basis of the nature and responsibility of their position. They are expected to work a minimum of 2,080 hours per year, including paid leave for vacation, holidays, injury, jury duty, sickness, and funeral. Recognizing that exempt employees perform their work to include attending meetings outside "8 to 5" office hours or on business trips, these employees perform their 2,080 hours in a flexible manner. Accordingly, hours of work of exempt employees may be subject to adjustment with the approval of the department/division head and/or City Manager. While exempt employees are permitted some flexibility in their schedules, they are expected to maintain regular office hours.
 - 2. The salary amount may not be reduced because of variation in work performed in any time period less than a year, and the salary may not be subject to partial-day docking of pay. The employee shall receive his or her full salary for any week in which he or she performs any work or is on paid leave status without regard to the number of days or hours worked.
 - 3. Supervisors of exempt employees are expected to track their employee's attendance.
- (e) The following employees are designated exempt employees:
 - Building and Zoning Administrator
 - City Engineer
 - City Manager
 - City Planner

Community Development Director
 Deputy Finance Director
 Deputy Fire Chief
 Deputy Police Chief
 Director of Parks & Recreation
 Finance Director
 Fire Chief
 Law Director
 Manager of Information Technology
 Maintenance Supervisor
 Police Chief
 Public Information Office
 Streets Superintendent
 Superintendent of Building Maintenance

Other positions as designated by an appointing authority of the City

- (f) Non-Exempt Employees and Overtime Compensation. All other City employees are designated non-exempt ("hourly") employees for wage purposes. For these employees, overtime compensation is permitted for an employee working in excess of 40 hours in one week. Employees shall be paid time and one-half their regular rate for overtime worked. Overtime will only be paid in cash for the work week it is earned. Comp time will not be awarded or accrue for working overtime. Business travel for non-exempt employees shall count as hours worked. Hours worked shall not include:
1. Meal periods where the employee is not required to perform any duties.
 2. Travel time to and from the employee's home.
 3. Time spent on travel away from home outside of regular working hours as a passenger on an airplane, train, boat, bus, or automobile is not considered as work time. Travel away from home is work time when it cuts across the workday of the employee. The time is counted as hours worked on regular working days and during corresponding hours on nonworking days.
 4. On-call or stand-by time where the employee is merely required to leave information as to where he or she can be reached.
 5. Paid or unpaid leaves of absence.
 6. Work performed contrary to the direction of the employee's supervisor.
- (g) Non-exempt employees shall not work overtime without the approval of their supervisor, except in emergency situations. No employee shall, without good cause, refuse a reasonable request of their supervisor to work overtime.
- (h) Scheduled overtime, which is subsequently canceled for any reason, shall not entitle the employee to overtime compensation. If an employee is absent on a scheduled overtime day, such employee shall not be entitled to additional compensation.
- (i) Non-exempt employees must record their actual start and stop time of work each day as directed by their department/division head or City Manager. The times recorded each day must

be accurate, though with the supervisor's approval, the employee may round the time to the nearest quarter-hour. Employees in this status, with the exception of Fire and Police Division safety personnel, shall use the time clock or other time recording system as follows:

1. At the beginning and end of the workday.
 2. At the beginning and end of the meal period.
 3. Any other time that an employee leaves and returns to their work location for personal reasons.
 4. Each employee shall use his or her own timecard or time sheet. Using another employee's card, recording another employee's time, or falsifying hours worked shall be considered a serious policy infraction subject to suspension, dismissal, and/or criminal prosecution.
- (j) An employee cannot volunteer to do the work that he already does, nor can he waive any of his rights to overtime pay under the FLSA. Therefore, the City is obligated to make sure that the overtime work is not being performed, or if it is being performed, that the employee is paid.
- (k) Whenever a non-exempt employee is called into work at a time other than his or her planned work schedule, thereby necessitating additional travel to and from work, he or she shall be guaranteed two (2) hours of work. However, any work required by the department/division head, prior to the start of the scheduled work shift and which continues into the employee's scheduled shift, is not covered by this minimum policy. Similarly, any work required by the department/division head that continues beyond the employee's scheduled shift is not covered by this minimum policy.
- (l) Whenever a non-exempt employee works an 8-hour day, and then takes an evening meal break in order to return for an evening meeting (after 6:00 p.m.), the employee shall be paid a minimum of one-hour overtime or the actual length of the meeting, whichever is greater in length.
- (m) During any given work week, a supervisor may alter an employee's schedule, hour for hour, to avoid the employee working in excess of the regular scheduled work week of 40 hours.
- (n) A part-time employee shall not serve in another part-time position at the City, if the combination of the part-time positions' hours exceeds 40 hours a week.
- (o) All employees working a full-work shift will, to the extent practicable, receive a meal period that is normally one unpaid hour.
- (p) Approved lunch or meal periods are not considered as work time; therefore, each employee shall be completely relieved, if possible, from work duties for that time period.
- (q) Lunch or meal periods which are uninterrupted by work are excluded from compensable time. Lunch or other meal periods that are interrupted by authorized calls to duty must be counted as compensable time, since the employee has not been relieved of all duties.
- (r) Employees who are completely relieved from work during their approved meal period are not required to request permission to leave their work premises during their scheduled meal period.
- (s) Each department/division head may specify whether formal break periods shall be used or an informal break policy allowing employees the discretion to use reasonable work breaks for purposes such as using the bathroom, purchasing refreshments, and other personal needs. No employee may abuse personal breaks for excessive time away from work, including for smoking.
- (t) Break periods are at the sole discretion of the supervisor and may be granted only to the extent practicable without interference with normal work operations.

- (u) Employees shall not waive/forfeit their meal or break periods to reduce their workday or work week.
- (v) City employees shall not serve as a volunteer for the same position in which they are employed full- time or part-time by the City. This section shall not preclude Public Safety officers from accepting special detail assignments with second employers.
- (w) Hours of Work for Part-Time Firefighters/Paramedics/EMTs. The Fire Chief or his designee will determine tours of duty. All additional hours of pay as provided herein shall be paid in one-half hour increments. All on-call personnel shall work the entire time for which they are compensated, unless excused by the Fire Chief or his designee. Additional calls received while on active duty shall not be considered as an additional call for the purposes of pay. In such cases, the initial call will be extended and pay for extra hours will apply. Personnel shall be paid weekly with the pay week starting at 0700 hours on Sunday and ending at 0659 hours on the following Sunday. All appropriate pay rates shall be based on the FLSA, Section 207, Subsection K, in that a firefighter/paramedic/EMT may work 212 hours in 28 consecutive days before overtime compensation is paid.
- (x) Ohio Minor Labor Law (ORC Chapter 4109).
 1. Minor means any person less than 18 years of age. Unless ORC Chapter 4109 indicates otherwise, every minor aged 14-17 must have a working permit. A minor employed more than 5 consecutive hours must receive a rest period of at least 30 minutes. No person under 16 years of age shall be employed:
 - During school hours except where specifically permitted under ORC Chapter 4109.
 - Before 7:00 am or after 9:00 pm from June 1st to September 1st or during any school holiday of 5 school days or more; or after 7:00 pm at any other time.
 - For more than 3 hours a day in any school day.
 - For more than 18 hours in any school week.
 - For more than 8 hours any day when school is not in session.
 - For more than 40 hours any week that school is not in session nor during school hours, unless employment is incidental to bona fide programs of vocational cooperative training, work study, or other work-oriented programs with the purpose of educating students, and the program meets standards established by the state board of education.
 2. No person 16 or 17 years of age who is required to attend school shall be employed:
 - Before 7:00 am on any day school is in session or 6:00 am if the person was not employed after 8:00 pm the previous night.
 - After 11:00 pm on any night preceding a day that school is in session.
 3. This is a summary of Ohio Minor Labor Laws and does not include all requirements. Refer to ORC Chapter 4109 for specific applicable requirements. A State of Ohio Minor Labor Laws poster can be found posted in each City facility for this purpose. For additional information, please refer to Human Resources.

5.4 Pay Period

- (a) Employees are paid weekly, every Friday, via direct deposit to the employee's designated bank or financial institution. The City shall not access employee financial accounts to make negative adjustments unless authorized by law to do so.

- (b) If a holiday occurs on a Friday on which a pay day falls, wages will be paid, if possible, on the preceding business day.
- (c) The department/division head or designee should try to answer questions regarding an employee's pay inquiries, before referring the matter to the Finance Director.

5.5 Payroll Deductions

- (a) Certain deductions are made from an employee's paycheck as required by law, in accordance with employee benefit plans, or as requested by the employee. These deductions are itemized on the employee's pay statement.
- (b) The Ohio Public Employees Retirement System (OPERS) or The Ohio Police and Fire Pension Fund (OPFPF)
 - 1. State law requires that employees contribute to the OPERS or the OPFPF, rather than Social Security. Membership in one of the pension programs is compulsory upon being employed.
- (c) Income Taxes:
 - 1. Federal, state, and city governments require that taxes be withheld from employee wages. The amount of tax to be withheld is determined from tables furnished by the appropriate government offices, according to the amount of salary and number of dependency exemptions. Employees are required to complete withholding tax certificates upon initial employment and to inform the Finance Department of any necessary changes.
- (d) Garnishments:
 - 1. This is a court ordered legal claim against a City employee's wages by a creditor for nonpayment of a debt and must be executed by the Finance Director. Employees may not be disciplined for garnishments when the employee has demonstrated a willingness and effort to resolve his or her financial problems. Repeated garnishments may be cause for disciplinary action.
- (e) Miscellaneous:
 - 1. These deductions may include Medicare, deferred compensation, child support, and other authorized withholding. The employer may refuse to make deductions not required by law, or that are below certain prescribed minimum amounts, or at irregular intervals, or for other cause that the employer deems not in the best interests of the City. All requests for payroll deductions must be arranged through the Finance Department.

5.6 Longevity Pay

- (a) Longevity pay shall be paid to all regular full-time, non-union employees who have served the City for ten (10) or more years. Longevity will be computed on December 31st of the year payment is made. The longevity payment will be made between the first (1st) and fifteenth (15th) of December, based on the following years of service and payment:

Years of Service	Hours of Pay
10 Years.....	10 Hours
15 Years.....	15 Hours
20 Years.....	25 Hours
25 Years.....	30 Hours

5.7 Administrative Leave With Or Without Pay

- (a) The appointing authority may, pending the outcome of a pre-disciplinary hearing or an investigation, place an employee on administrative leave with or without pay (ORC 124.388).
- (b) While on administrative leave, the employee will not come into his/her workplace. A department/division head planning such action shall coordinate this leave with the appointing authority.
- (c) An employee on such paid administrative leave shall not accrue paid leave hours, and will continue to be covered by health and life insurance policies. If the employee is reinstated to regular employment status, paid leave hours may be retroactively restored

5.8 Separation Pay

- (a) Upon separation from employment for any reason, an eligible employee shall be paid for all hours worked but unpaid, unused vacation hours subject to the Vacation, Personal Leave, and Paid Time Off Policy. Unused sick leave hours subject to the Sick Leave and Sick Leave Conversion Policy, and/or compensatory time earned but not yet used for non- exempt employees. Union employees need to review their contract as well.
- (b) Final payments upon separation will be made within 30 days of the separation.

5.9 Travel & Training Expense Reimbursement

- (a) City employees shall receive reimbursement for reasonable expenses incurred while traveling on official City business. This policy is intended to serve as a guide for the proper processing of various travel activities of City employees. Employees who travel on behalf of the City are expected to use good judgment and to make related expenditures in a prudent manner, consistent with both the highest ethical standards and the need to expend public funds in a frugal and economical manner.
- (b) Public officials and employees are prohibited from accumulating frequent flyer miles or other travel incentive awards when City pays for such business travel.
- (c) City employees are encouraged whenever possible to use their City's Purchasing Card to pay for travel and training expenses. If this is not possible the following methods can be used:
 - 1. Advance payment by City check to the vendor through the normal purchase order process.
 - 2. The employee may make direct payment and request reimbursement through the Travel Expense Report Form.
 - 3. The employee may arrange for the City to be directly billed by the vendor for payment.
- (d) Local Travel and Training Expenses. Travel and training expenses for less than 8 hours duration and do not involve overnight travel are to be handled in accordance with this section.
 - 1. Transportation. Whenever possible, an employee will request a City vehicle for travel to local seminars, meetings, and other City business. When a City vehicle is not available, an employee may use their personal vehicle and be reimbursed at the current Internal Revenue Service (IRS) rate. Local mileage for use of a personal vehicle should be turned in for reimbursement on a monthly basis using the Monthly Mileage Log – Personal Vehicle Form.

In order to operate a City vehicle for business purposes, a copy of the employee's driver's license shall be on file with Human Resources. If an employee is to be reimbursed for use of a personal vehicle, proof of insurance must be on file with the City as well.

Parking fees and tolls are reimbursable upon presentation of detailed receipts.

2. Registration. Fees for registration, including meals, for local meetings and seminars are reimbursable.
 3. Attendance at Meetings with Food Served or Other Work-Related Functions. Reasonable expenses incurred by employees when attending or holding breakfast, lunch, or dinner meetings necessary to the performance of their official duties, or for functions in which the employee or official was asked to appear as a representative of his or her office or otherwise in an official capacity, are reimbursable, provided that the employee attaches a statement to the reimbursement request detailing the business or official purpose of such meeting and a list of the persons in attendance. The appointing authority must approve the expenses for each separate meeting or function. These meetings do not fall under the per diem rate of this policy, and will be paid at actual cost when submitted with a request for payment, together with a statement as to the public or business purpose of said meeting. No reimbursement is available under this policy for any event, meeting, or function in connection with any political party or campaign, or any candidate for office.
- (e) Non-Local Travel and Training Expenses. Travel and training expenses for more than 8 hours duration and/or involve overnight travel are to be handled in accordance with this section.
1. Authorization. Prior to the expenditure of any funds for non-local travel and training, Request for Approval of Business Related Travel Form, must be completed and turned in for approval. Upon approval, a blanket purchase order for the estimated cost of travel should be processed for approval. Expenses for the travel can be processed once the purchase order has been approved.
 2. Registration. Registration fees for employees attending conferences and seminars are eligible expenses.
 3. Lodging. Lodging expenses are eligible expenses in accordance with these provisions: Employees shall use a room at the lowest reasonable available rate, including conference, seminar, or government rates, where available. Many conferences and seminars provide a listing of pre-arranged hotels for registrants to place in priority order and a hotel is assigned. The rates provided by the conference are acceptable under this policy. When a non-employee spouse or other guest accompanies an employee on business travel, only the stated single rate is an eligible expense.
 4. Meals. Employees will be paid a per diem allowance, which will cover meals and gratuities. The per diem will be based on the rate approved by the General Services Administration (GSA) for that location (see www.gsa.gov). When meals are included in the registration fee, the per diem will be reduced based on the following breakdown, 25% for breakfast, 25% for lunch and 50% for dinner. Please use the Request for Per Diem Payment Form.
 5. Transportation. Employees are expected to use the form of transportation that is the most economical in regards to actual cost and travel time. Travel by airplane, bus, train, or other common carriers shall be undertaken at the lowest rate reasonably available. Air travel must be at coach or economy rates.

As an alternative to commercial transportation, private/personal modes of transportation may be used provided the employee will not be reimbursed more than what the least expensive commercial mode of transportation would reasonably cost for the same trip. Travel time would need to be considered in the decision to allow the alternative form of transportation. The employee would be responsible to provide satisfactory proof of the cost of the commercial transportation to the City.

When an employee can obtain a significantly lower airfare by staying over an extra day, the employee may be reimbursed the cost of lodging and meals for that period of time if the aggregate cost of lodging and meals is less than the amount of the airfare saved.

Rental Car. There may be occasions when the use of a rental car is appropriate. If necessary, City employees will rent a mid-size or smaller car, and the justification must be recorded on the Request for Approval of Business-Related Travel Form for approval. If approval is given for use of a rental car, the employee will need to provide detailed receipts for all related expenses.

Receipts. Detailed receipts are required for all expenses except mileage for use of a personal vehicle (Monthly Mileage Log Form is required to record mileage for a personal vehicle) and meals under the per diem method.

Ineligible Expenses. The following items are examples of expenses that are not eligible for reimbursement:

Dry cleaning

Cosmetic needs

Personal car repairs

Alcoholic beverages

Expenses incurred by or for persons who are not City employees

Personal entertainment to include in-room movies

Internet access/connection

- (f) Deadline for Filing Expense Reports. Expense reports are to be submitted no later than 10 work days after the travel in question, or 10 workdays after the receipt of an invoice for expenses for such travel, whichever is later. Any request submitted after such period is not eligible for reimbursement.
- (g) Reimbursement to City for Expenses Paid Just Prior to Separation. The appointing authority may reject any request for out-of-town travel submitted by or on behalf of an employee who is scheduled to resign, retire, or otherwise separate from City service within 90 days of the requested travel.
- (h) Forms. All City employees shall use Forms 4.71, 4.72 and 4.73 when requesting travel and expense benefits.
- (i) Falsification of Information. Employees who falsify travel and training reimbursement claims are subject to discipline up to and including termination and possible prosecution for theft.

5.10 Tuition Prepayment or Reimbursement & Education Incentive

- (a) The City offers tuition prepayment or reimbursement to regular full-time employees after 12 months of full-time City service for higher education pursuits which are specifically related to a position's duties and responsibilities, and which increase the employee's ability to become more effective in their primary area of work or other area as may be approved by the City Manager.
- (b) Application and Qualification. Prior to beginning the course for which prepayment or reimbursement is being requested, the employee must submit a Tuition Payment Agreement Form to his or her supervisor. Since the City is primarily interested in paying for courses that result in a college degree, the employee needs to include a degree plan (listing of courses) with the request. The supervisor, the department/division head, and the appointing authority must approve this request. To ensure funding is available, the tuition request shall be made far

enough in advance so money can be made available in the department/division budget for that year; it is highly recommended requests be submitted prior to budgeting season. The discretion to grant or deny final approval lies solely with the City Manager. The appointing authority may consider the request under the following criteria:

1. The relevance of the course to the employee's job duties or those of a position within the office or department/division that the employee may reasonably hope to attain; provided that the appointing authority may, in his or her sole discretion, approve payment for core courses in a basic education requirement for a college degree program that does meet this relevance standard.
 2. The employee's performance, including performance evaluations and disciplinary actions.
 3. The City's special need for additional education or training among particular classifications, positions, or employees.
 4. The course is offered through an accredited college, university, technical institute, business school, or related educational school or institution.
 5. Courses taken during an employee's normal working hours or during time in which he or she is being paid by the City will not be eligible for reimbursement. Any request for the use of flexible work schedules may be considered by the appointing authority.
 6. The availability of tuition funds.
- (c) Amount of Payment. The City will pay the employee up to \$ 4,000 per year for tuition, enrollment fees, laboratory fees, and books for a maximum of 6 semester hours or 9 quarter hours per academic term, providing he or she receives a passing grade of "C" for undergraduate work, "B" for graduate work, or a grade of "pass" in a system that offers only "pass/fail" grades. Payment will be reduced proportionality for courses in which an employee is receiving financial assistance via scholarships, grants, or loans.
- (d) If the employee receives a lower than required grade, the employee shall reimburse the City for all the funds received for the course or courses. If necessary and in accordance with applicable law, the City may deduct the amount due from the employee's paycheck in order to pay for the course costs.
- (e) The City will not pay fees for course work beyond the attainment of the employee's next higher degree; such as bachelor's degree if employee has no degree. Payment for a master's degree would only be considered if the degree is necessary to perform the position's duties and responsibilities.
- (f) An employee who has received education funds must, as a condition for such payment, remain a City employee for a period of two years from the time the last class was taken. An employee who does not complete the work commitment prior to separating from City employment, whether through resignation, retirement, or termination, is required to return funds received under this tuition reimbursement program to the City. The amount of the funds to be returned shall be prorated to reflect the portion of the work obligation that the employee has accomplished prior to separation, and such funds may be withheld from remaining paychecks or other funds due to the employee.
- (g) The granting or denial of tuition reimbursement is a prerogative of management, and is not subject to the grievance procedure. The appointing authority may, upon notice to the employees affected, reduce the individual and/or aggregated limits on tuition reimbursement, limit the number of credit hours for which reimbursement may be sought, or limit the program to those employees or classifications where the learning needs are most critical to the City.

- (h) The City offers Education Incentive pay to employees who earn a degree from an accredited college that is directly related to his or her position. To earn the incentive pay, the employee shall have completed 24 months of service with the City prior to earning the degree. The Education Incentive pay shall remain the same amount for both non-union and union employees. This pay will be added to the employee's base rate per hour, based on this annual amount:
- 1) Associate's Degree: \$425 (\$0.20 per hour)
 - 2) Bachelor's Degree: \$850 (\$0.41 per hour)

SECTION 6. ATTENDANCE, TIME OFF, & LEAVES OF ABSENCE

6.1 Attendance, Absence, and Tardiness

- (a) The department/division heads or designee shall establish daily work schedules and maintain daily employee attendance records, including actual hours worked and the duration of any lunch or other meal break. The average hours of work for most regular full-time employees are forty (40) hours per week.
- (b) It is the responsibility of all department/division heads to ensure that accurate time and leave records are maintained within their department/division.
- (c) Regular attendance is an essential function of every employee's job, and every employee is responsible to be present on their jobs during the regularly scheduled hours of work. Both management and co-workers count on every employee to be at work. Except for illness or emergency (car accident, etc.), employees not on prior authorized leave are expected to be in attendance each workday. Any employee who is not able to report to work because of an emergency, illness, injury, inclement weather, or other extenuating circumstance shall inform the appropriate supervisor of the fact with as much advance notice as possible, but in no event not later than one-half hour prior to his/her scheduled starting time. Failure to properly inform the appropriate supervisor of absence from work may be subject to appropriate disciplinary action. Employees who work in those departments/divisions where time sheets, cards, or time clocks are used will be required to utilize them when checking in or out of work.
- (d) An absence is any scheduled work time missed by the employee, including tardiness. An authorized or excused absence occurs when an employee notifies his or her supervisor in advance of their absence via completion of the City Absence Report Form, or in an emergency, via telephone or in person.
- (e) An unauthorized absence occurs when an employee fails to show for work at the designated time and/or when an employee fails to notify his or her supervisor of their absence prior to the normally scheduled work time. Failure to follow procedures set forth for requesting and receiving approval for leave of absence or any absence during normal work hours shall constitute cause for disciplinary action, up to and including termination.
- (f) In department/divisions where work is performed on a 24-hour schedule, all absences from work shall be reported to the employee's immediate supervisor as far in advance as possible.

- (g) When an employee returns to work following an absence, the employee shall immediately report to his or her supervisor or designee. The employee shall complete the City Absence Report Form and provide the appropriate documentation to substantiate their absence. The form and documentation shall be reviewed by the department/division head to determine whether the absence shall be approved. If not approved, the leave may not be paid, and the employee may be disciplined.
- (h) If an employee fails to report to work or report his absence, they are subject to discipline, up to and including termination.
- (i) An employee absent from duty without authorization for three (3) consecutive work days will be deemed to have automatically terminated his or her employment as of the last day of active service, unless the employee can provide documentation to the satisfaction of the City Manager that circumstances beyond the employee's control prevented them from reporting to work.
- (j) Tardiness occurs when an employee reports to work after the scheduled starting time, returns late from a meal or other break period, or leaves early without permission. Whenever an employee is tardy, a non-exempt employee may be subject to a reduction in pay corresponding to the amount of time he or she was late, unless he or she offers to the supervisor a written reason for being late found acceptable by the supervisor. Employees shall not use sick leave to cover tardiness. In addition, employee tardiness may be subject to appropriate disciplinary action.

6.2 Holidays

- (a) All full-time employees will be entitled to 11 paid holidays as follows:

New Year's Day (January 1)

Martin Luther King Day (Third Monday in January)

Good Friday (Friday before Easter)

Memorial Day (Fourth Monday in May)

Independence Day

Labor Day (First Monday in September)

Thanksgiving Day (Fourth Thursday in November)

Day after Thanksgiving

Christmas Eve Day

Christmas Day

New Year's Eve Day

- (b) To be entitled to holiday pay, an employee must work his or her last scheduled workday before and first scheduled workday after the holiday unless on an approved vacation or personal day, FMLA leave, compensatory day off, funeral leave, paid administrative leave, or military leave.
- (c) A holiday which is observed on a regular workday occurring during an employee's vacation will not be counted as a day of vacation.
- (d) If a sick day occurs before or after a holiday, the employee must be presented with a certificate from a licensed physician (or medical provider) immediately upon return to work. Failure to produce such a requested statement may result in denial of sick leave pay and such disciplinary action as may be appropriate.

- (e) If the above holidays fall on a Sunday, the following Monday shall be observed as the holiday. If the above holidays fall on a Saturday, the preceding Friday shall be observed as the holiday.
- (f) Christmas Eve Day will be observed on the business day preceding the observance of Christmas Day. New Year's Eve Day will similarly be observed on the business day preceding the observance of New Year's Day.
- (g) When a non-exempt full-time employee is required to work on the day observed as a holiday, he shall be entitled to pay for such time actually worked at 1 ½ times his regular base rate of pay. Part-time and seasonal employees shall also be paid at 1 ½ times their regular rate of pay for time actually worked on a holiday.

6.3 Vacation

- (a) The City believes that it is in the best interest of the City and the individual for full-time employees to take vacation away from the job for the purposes of rest and recuperation.
- (b) New, non-union employees hired on or after January 1, 2002, shall receive 5 days (40 hours) of vacation credit after successfully passing their six month probationary period. After six months, an employee would continue to accrue vacation leave hours based on their weekly paid status.
- (c) Full-time, regular employees accrue vacation credit as follows:

1 through 4 years	10 days
After 5 years to 14 years	15 days
After 15 years of service	20 days
- (d) Vacation Accrual
 - 1. For non-union employees, up to 5 years of service for vacation accrual purposes may include full-time service in this City or in another Ohio public, political subdivision, such as cities, villages, townships, public school districts, health districts, special districts established by state law, or other appointing authorities for public employees established under Ohio law. It does not include service with the federal government, military service (except active duty service with the Ohio National Guard or other authorized Ohio militias), or service in any other state. Any employee claiming prior service for purposes of vacation accrual is responsible for obtaining verification of this prior service from his/her prior employing jurisdiction, by means of an original letter signed by an authorized official of the jurisdiction. Vacation accrual based upon prior service may only be granted to the employee prospectively from the date upon which the employee has provided such written verification from the prior employer.
 - 2. An employee who has retired in accordance with the provisions of any retirement plan of the State of Ohio and who is employed by the City, is not eligible to have any prior service counted for the purpose of vacation leave.
- (e) Vacation leave accrues while on paid status. No vacation credit is earned while an employee is on unpaid status. Employees are responsible for tracking the accuracy of their leave accrual and use.
- (f) Vacation leave shall be taken in units of not less than 1 hour.
- (g) Vacations are scheduled in advance and at management's discretion in accordance with workload requirements of the individual departments and divisions. For this reason, it is preferred that vacation requests be made at least one week in advance of the proposed starting date, and vacations of one week or more should be requested at least 30 days in advance. All requests for vacation approval must be submitted to the employee's immediate supervisor and are

subject to final approval or disapproval of the appointing authority or his designee. The supervisor shall grant or deny the requested vacation as soon as practical.

- (h) The department/division head may post a vacation calendar during the month of January in order for employees to make their vacation requests that year. Vacation requests made during the month of January shall be honored on the basis of the employee's classification seniority within the department or division. After January, no seniority rights to preferred dates shall exist.
- (i) Due to department/division operational requirements, management may block out periods of time as not available for vacation.
- (j) In no case may an employee take his or her vacation early, prior to the actual accrual of the vacation credit as provided in this section. In other words, no employee may be advanced vacation.
- (k) An employee's unused yearly vacation leave, to include the additional vacation hours, may be carried over into the next vacation year. However, no more than three years of unused vacation leave may be accumulated at any time. Upon department/division head recommendation, and based on circumstances beyond the control of the employee, the City Manager may approve a waiver to the three-year accumulation limit, and authorize payment in lieu of forfeiture. Except for resignation or retirement, this is the only time, an employee may be paid for accrued and unused vacation hours.
- (l) Any employee who resigns or retires shall be paid for any earned but unused vacation leave providing it does not exceed the maximum carry over balance.
- (m) In the case of death of an employee, the employee's accrued vacation leave will be converted to a lump sum payment payable to the employee's beneficiary or estate.
- (n) In addition to the above allotted vacation leave, Longevity Vacation Leave is awarded full-time employees on their anniversary for years of continuous City service:

4 years of City service	8 hours
8 years of City service	16 hours
12 years of City service	32 hours
16 years of City service	48 hours
20 years of City service	64 hours

6.4 Personal Leave

- (a) After one year of City service, a full-time employee shall be allowed 3 Personal Leave Days per year. Time off for personal business shall be taken in no less than one hour increments, at the discretion of the department/division head. On the first anniversary, the days are awarded and shall be used before the end of that calendar year. In later years, the days are awarded January 1st. Personal days have no cash value, cannot be cashed in, and cannot be carried over to the next calendar year. The City requires that employees use personal leave days before December 15th to avoid confusion with the year-end Finance system changeover.

6.5 Flex Time

- (a) Based on exempt employees working a flexible work schedule beyond the normal "8 to 5" office hours, these employees need to individually track the total hours they work each week. The hours worked over 40 per week are designated flex time hours.

1. If performance and workload permit, these hours can be used to adjust an employee's schedule with prior approval of the supervisor.
 2. Earned time can be accrued for outside of regular office hour expectations (required meetings, events, inspections, etc.)
 3. Employees coming in on their own time or modifying their schedule does not accrue as usable time.
 4. Flex time cannot be used for an entire day (must be less than eight (8) hours).
 5. Flex time must be used in half hour or more increments.
 6. Accrual for Flex time be capped at no more than twenty-four (24) hours.
 7. Exempt employees cannot be in the negative on flex time accrual and still use or request time.
 8. Exempt employees are required to track their on the O Drive and make it accessible for review by the City Manager's Office.
- (b) Flex time hours have no cash value, and employees have no right to use the time or be paid out when they separate.
- (c) For documentation purposes and the period of time employees have to "use or lose" accrued flex hours, the City uses the standard three-month quarter that begins in January each year plus two weeks beyond that quarter. For example, one quarter would be the months of April, May, and June. Any flex hours accumulated in those three months would have to be used or lost by July 15th.

6.6 Paid Time Off (PTO)

- (a) After six (6) months of continuous City service, part-time employees (not seasonal) will accrue paid time off (PTO) hours on a basis of .025 hours per one hour worked. PTO may be used for absences from work: sick leave, vacation, etc., and shall be taken in units of not less than 2 hours. Unless a part-time employee calls off sick or is prevented from working due to an emergency situation, the employee will request PTO at least six workdays in advance, except for part-time firefighters. PTO hours have no cash value, cannot be donated, and may not be cashed in when an employee separates from City employment. If a part-time employee becomes a full-time employee, the PTO hours may be transferred to vacation or sick leave hours on a one-for-one basis. Part-time employees who have already accrued sick leave will have their accrued sick leave hours converted to PTO hours at a 3-to-1 ratio. If a full-time employee becomes a part-time employee, accrued paid leaves are transferred to PTO hours on a one-for-one basis.
- (b) PTO hours are accrued and used while working only in part-time status. PTO hours cannot be earned by an employee who may be working one position in full-time status and one position in part-time status. PTO hours cannot be used while an employee is in seasonal status.
- (c) Employees shall request leave via the City Absence Report Form.

6.7 Funeral Leave

- (a) Full-time employees may be granted paid funeral leave with approval of the department/division head for a maximum of five (5) work days for the death of a spouse or child, to include stepchildren. In the event of a death of an immediate family member, other than spouse or child, the employee may be granted four work days of paid funeral leave. For purposes of this section the "immediate family" is defined as: mother, father, sister, brother, grandparent, grandchild,

stepparents, stepsiblings, mother-in-law, father-in-law, daughter-in-law, son-in-law, sister-in-law, brother-in-law, legal guardian, or other person who stands in place of the employee's parent.

- (b) Full-time employees may be granted two days of paid leave to be used when the death is that of any other legal relative including: grandparent-in-law, aunt, aunt-in-law, uncle, uncle-in-law, nieces, nephews, or cousins.
- (c) Funeral leave shall not be charged to sick leave. Sick leave may be used in excess of what is permitted under the above funeral leave. This extended leave is at the discretion of the department/division head.
- (d) Part-time employees shall be granted three (3) workdays for the death of a spouse or child and two (2) workdays for the death of a parent, stepparents, mother-in-law, or father-in-law.
- (e) The City reserves the right to request documentation of the death.
- (f) Employees shall use the City Absence Report Form to document funeral leave.

6.8 Jury Duty or Court Leave

- (a) The City shall pay court leave according to an employee's regular salary when an employee is summoned for any jury duty or subpoenaed as a witness by any court or other legal proceeding during the employee's regularly scheduled workday.
- (b) Employees shall honor any subpoena issued to them for testimony involving City business, including those from state or local agencies involving workers' compensation, unemployment compensation, Merit System Commission, and Personnel Appeals Board hearings.
- (c) All compensation received from the summoning agency for such duty shall be paid to the City unless such duty is performed outside of normal work hours.
- (d) The employee will be expected to report for work following jury duty, if a reasonable amount of time remains during his or her scheduled workday.
- (e) If an employee is called for jury duty or subpoenaed to testify in a court, agency, or other legal proceeding outside of his or her regularly scheduled working hours, the employee may retain all compensation for such court service.
- (f) If an employee is taking part in a court case not related to their City employment, he or she must use vacation leave, compensatory time off, or leave without pay for the period of absence.
- (g) Any of the above leaves shall be requested via the City Absence Report Form.
- (h) The City will have to evaluate, on a case-by-case basis, paying for extended leaves for jury duty beyond 30 workdays.
- (i) The City Manager may approve court leave for other reasons at his discretion.

6.9 Sick Leave

- (a) Sick leave is a benefit provided to employees to aid them in offsetting the financial burden of an illness. Employees are expected to be in attendance daily, and sick leave is only to be used in cases of illness or funeral purposes. Sick leave is a form of insurance, and is not intended to be extra days off. Just as an employee would only claim health insurance benefits when actually ill and needing treatment, an employee may only use sick leave when incapacitated by illness or as otherwise provided in this policy. An employee may request sick leave for absence resulting from illness as described below, provided he or she completes the City Absence Report Form as described in this Manual. The form shall be submitted immediately upon return to work or in

advance of doctor's appointment or medical appointment, exam, operation, etc. Sick leave may be requested only for the following reasons:

1. Illness or non-work related injury of the employee or a member of his or her immediate family: mother, father, brother, sister, child, or spouse.
 2. Exposure of an employee or a member of his or her immediate family to a contagious disease that would have the potential of seriously jeopardizing the health of the employee or the health of others.
 3. Death of a member of the employee's immediate family or extended family (family of spouse), in excess of what is permitted under the Funeral Leave Policy. This extended leave is at the discretion of the department/division head.
 4. Medical, dental, optical, or psychological examinations or treatment of employee or a member of his or her immediate family, requiring the employee's presence, provided that the employee should seek to schedule such examinations outside of work hours whenever possible.
 5. Any period of incapacity due to an employee's or employee spouse's pregnancy or for prenatal care, under the Family and Medical Leave Act.
 6. Under the Family Leave Policy due to the birth of the employee's son or daughter, or due to the placement of a child with the employee for adoption or foster care and in order to care for such son or daughter.
- (b) For a personal health condition of the employee or family member, which would qualify under the provision of the Family and Medical Leave Act (FMLA), an employee may be required to use those provisions, as described in the Family and Medical Leave Policy. This leave runs concurrently.
- (c) A physician's certificate will be required when an employee has been absent for three or more consecutive days. The physician's certificate must be signed by the treating physician and state the exact nature of the illness. This policy applies when an employee is absent to care for member of the immediate family.
- (d) If the employee is absent more than five workdays, the physician must also state when the employee is expected to return to work.
- (e) In regard to sick leave abuse, patterned use, or instances of repeated absence preceding or following a holiday, an employee shall be required to provide a physician's certificate stating the cause for the sick leave absence. This certificate shall be obtained at the employee's expense and on his/her own time. This requirement shall be in addition to other appropriate discipline that might be imposed for such improper use of sick leave.
- (f) The City Manager or designee shall have the right to require a satisfactory physician's certificate for absences fewer than three consecutive days if he or she concludes that this action serves the best interest of the City, or to verify the proper use of sick leave. The City may also require an employee to be examined by a physician selected by the City in order to verify the proper use of sick leave, and the City will pay for such an examination.
- (g) If an employee fails to present a required physician's statement or City Absence Request Form, the employee may not be paid for the sick leave and may be subject to disciplinary action. If the request is denied, and the employee has already been overpaid, such overpayment may be deducted from the employee's next paycheck in accordance with applicable law. A request may be denied by the department/division head based upon any investigation that discloses inconsistent facts about the proper use of sick leave.

- (h) An employee requesting sick leave shall inform his or her supervisor of the fact and the reason for the leave request at least 30 minutes prior to the scheduled shift, unless the employee is involved in an emergency situation, or the bargaining unit contract specifies a different time to call off sick. Employees shall notify their supervisor each day they will be absent, before their shift starts. Failure to comply with these notification procedures may result in denial of sick leave for the period of absence, and such disciplinary action as may be appropriate. The employee may be required to have a medical examination, nursing visit, or other inquiry that the City deems necessary. An employee who gets sick on the job and needs to leave should immediately notify the supervisor and request sick leave.
- (i) Sick Leave Accrual Prior to April 11, 1993. Full-time employees hired prior to April 11, 1993 shall accrue sick leave credit at the rate of 1.5 days per month (18 days per year). Employees hired prior to this date may accrue an unlimited amount of sick leave time.
- (j) Sick Leave Accrual After April 11, 1993. Full-time employees hired after April 11, 1993 shall accrue sick leave credit at the rate of 1.25 days per month (15 days per year). Employees hired after this date may accrue an unlimited amount of sick leave time.
- (k) Sick Leave Accrual After May 1, 2004. Full-time employees hired after May 1, 2004 shall accrue sick leave credit at the rate of 1.00 day per month (12 days per year). Employees hired after this date may accrue an unlimited amount of sick leave time.
- (l) Part-time employees will not accrue sick leave.
- (m) Sick leave accrual is based on an employee being on active pay status: hours worked, hours on vacation, hours on holiday leave, hours on personal leave, and hours on compensatory time off. Sick leave credit shall not accrue during any disciplinary suspension or other type of unpaid leave or layoff.
- (n) Sick leave shall be charged in increments of not less than one-half hour.
- (o) Employees absent on paid sick leave shall be paid at their regular rate of pay.
- (p) If an employee has exhausted all their sick leave credit, he or she shall use vacation leave and personal leave for sick leave purposes, in order to account for their 40-hour work week.
- (q) Employees who transfer from another public agency, or who are reappointed or reinstated, will be credited with the unused balance of accumulated sick leave, provided the time between separation, reappointment, or transfer does not exceed 10 years. The words "public agency" as used above include the State, counties, municipalities, all boards of education, libraries, townships, and other public appointing authorities within the State of Ohio. (ORC 124.38)

6.10 Wellness Incentive

- (a) Full-time, non-union employees shall receive a pro-rated cash bonus for the non-use of sick leave of any kind and/or including FMLA leave. This is based on the employee working a minimum of 2,080 paid hours during the calendar year. The bonuses shall be paid during the month of January, based on non-used sick leave hours in the previous year, with the incentive payment deducted on a pro rata hour for hour basis to the minimum of 16 hours for 24 hours of pay:
 - 0 hours sick leave used40 hours pay
 - 8 hours sick leave used32 hours pay
 - 16 hours sick leave used.....24 hours pay
- (b) Union employees should review their respective contracts.

6.11 Paid Leave Donation

- (a) Full-time City employees may voluntarily donate vacation, personal, or sick leave to full-time employees who have exhausted all sick leave and other paid leave as a result of a life-threatening injury or illness of an employee or a member of the employee's immediate family per ORC 124.291, governing state employees and donation of paid leave. All such donations are subject to the following provisions:
1. Before an employee is eligible to receive donated leave, the employee must have been absent for a period equal to at least 14 consecutive calendar days and have exhausted all paid sick leave, vacation leave, personal leave, or other available, paid leave.
 2. The employee's absence must result from a documented serious health condition or injury to the employee or a member of the employee's immediate family, as determined by the City Manager or a designee. The City Manager's determination as to whether or not an injury or illness is "life-threatening" within the meaning of this policy is in the employer's sole discretion and is final, and this determination is not subject to appeal under the complaint procedure or any other grievance procedure.
 3. The decision of individual employees whether or not to donate vacation leave to another employee must be free and voluntary, and no official, supervisor, or employee shall pressure, solicit, or coerce any employee, directly or indirectly, to donate leave to another employee. Any violation of this subsection shall be considered grounds for disciplinary action, up to and including termination.
 4. No employee shall donate more than 40 hours of paid leave to other employees in any one calendar year. No employee may donate sick leave unless he or she has a sick leave balance of at least 15 days (120 hours).
 5. No employee shall be eligible to receive more than 60 days (480 hours) of donated paid leave during the course of that employee's service with the City.
 6. The employee donating the paid leave via the Sick Leave Donation Form must provide written notice of the donation to the City Manager at least seven calendar days in advance of its use by the transferee employee, and such notice shall include both the identity of the employee to whom the leave is donated and a statement that the employee donating the leave is forever waiving his or her claim to such paid leave. Upon receipt of the notice, the City Manager shall authorize the sick leave balance of the receiving employee. The employee receiving the donation may not use the leave to cover any absence prior to seven days after the receipt of this notice.
 7. This policy applies only to non-bargaining unit employees, unless the program has been incorporated into the applicable collective bargaining agreement.
- (b) An employee who fraudulently obtains sick leave; who falsifies sick leave requests, documentation, or records; who misrepresents the grounds for a sick leave request; or who uses sick leave for improper purposes shall be subject to disciplinary action up to including termination. Further, an employee may be disciplined for excessive sick leave use in appropriate cases, whether or not the employee has exhausted all available paid sick leave, based on indications of inappropriate use of the leave or the inability of the employee to perform the essential functions of his or her position. Employees are expressly prohibited from engaging in either of the following during a paid or unpaid sick leave:
1. Paid employment of any kind, or
 2. Other activities, whether or not paid, that are inconsistent with the claimed inability to work or the claimed need to care for a seriously ill member of the immediate family.

- (c) To monitor the proper and effective use of sick leave and minimize the potential for sick leave abuse, division heads or their designee should periodically review employee attendance records in order to observe total time absent due to illness, as well as patterns of sick leave absence: same day of week; prior to or following days off, weekends, or holidays; or using sick leave as soon as it is earned.
- (d) Sick leave is a benefit to be used solely for injury, illness, and funeral purposes, and intentional misuse may be considered theft of public funds.
- (e) Altering a physician's certificate or falsification of a written, signed leave statement shall be grounds for discipline and/or legal prosecution.
- (f) This policy applies only to non-bargaining unit employees, unless the program has been incorporated into the applicable collective bargaining agreement.

6.12 Sick Leave Conversion

- (a) Full-time employees appointed prior to April 11, 1993, may exchange/convert any sick leave in excess of 90 days in the ratio of two unused sick leave days for one additional day of paid vacation, not to exceed a maximum of 10 days of sick leave exchanged for 5 additional days of vacation in any one calendar year. All conversions shall be based upon the number of sick leave credits earned as of January 1 of each year. Conversions will be made only during the month of January each year in order to facilitate vacation scheduling or according to your union contract if applicable. This conversion option is not available to employees appointed after April 11, 1993.
- (b) A full-time employee appointed prior to April 11, 1993, who resigns, retires, or otherwise terminates employment in good standing shall be paid for his or her accumulated sick leave as follows:
 1. Less than 10 years of City service, no payment.
 2. Over 10 years but less than 20 years of City service, one day for each two days of sick leave credit as of the last day of work.
 3. Over 20 years of City service, one day for each two days of sick leave credit earned during the first 20 years of service, and one day for each one day of sick leave credit earned after 20 years of service as of the last day of work.
- (c) A full-time employee appointed after April 11, 1993, who resigns, retires, or otherwise terminates employment in good standing shall be paid for his or her accumulated sick leave as follows:
 1. Less than 10 years of City service, no payment.
 2. Over 10 years but less than 20 years of City service, one day for each three days of sick leave credit up to 90 days total sick leave credit as of the last day of work.
 3. Over 20 years of City service, one day for each three days of sick leave credit earned up to 120 days total sick leave credit as of the last day of work.
- (d) At separation, the sick leave conversion payment shall be based on the employee's hourly rate of pay at the time of separation and shall eliminate all sick leave credit accrued by the employee.
- (e) Employees who die shall be considered to have terminated their employment as of the date of their death and shall be eligible for such sick leave conversion payment on the basis of the employee's regular hourly rate of pay at the time of death, at the conversion ratio of one day's pay for each two days of earned but unused sick leave. This amount shall be paid to the employee's beneficiary.

- (f) Sick leave conversion shall be requested via letter to the City Manager. Union employees should please review their respective contracts.

6.13 Family and Medical Leave

- (a) This section implements the Family and Medical Leave Act (FMLA) of 1993. Nothing in this section is intended to expand or provide rights in addition to those covered in the FMLA. All terms used in describing this policy have the meaning assigned to them by the FMLA and the federal regulation implementing the act.
- (b) Eligibility
1. The Family and Medical Leave Act covers employees who have worked for the City for at least 12 months, which need not be consecutive, and have accumulated at least 1,250 hours worked during the year preceding the leave request. Paid leave hours do not count as time actually worked.
- (c) Entitlement
1. An eligible employee is entitled to 12 work weeks (480 hours) of paid and/or unpaid leave (continuous or intermittent) in a 12-month period for:
 - The birth of a child or placement of a child with the employee for adoption or foster care.
 - To care for a child, spouse, or parent with a serious health condition.
 - A serious health condition that makes the employee unable to work.
 - Reasons related to a family member's service in the military, including:
 - Qualifying exigency leave- leave for certain reasons related to a family member's foreign deployment, and
 - Military caregiver leave- leave when a family member is a current servicemember or recent veteran with a serious injury or illness.
 2. If an employee experiences more than one of these events in a 12-month period, only a total of 480 hours of leave is available. The City will cover its share of the employee's health insurance premium during the unpaid leave, and will return the employee to their previous job or an equivalent position. When both spouses are employed by the City, their 480 hours will be combined for leave purposes involving birth or adoption/foster care of a child or to care for either of the employees' parents. If the leave is for serious health conditions of the employee or child, each employee is entitled to the full 12 weeks. An eligible employee who is the spouse, child, parent or next of kin of a covered servicemember with a serious injury or illness may take up to 26 workweeks of FMLA leave in a 12-month period to care for the servicemember. of a covered servicemember.
- (d) Medical Certification
1. The City requires that employees provide written medical certification to support their request for FMLA leave. The City may also require periodic status reports from an employee on FMLA leave to determine the employee's status and plans for returning to work. A City representative (not the employee's supervisor) and the City's health insurance carrier may contact the employee's health care provider to clarify or confirm information in an FMLA medical certification. The City may request an opinion from a second or third health care provider to validate an employee's medical certification. The City can also require periodic re-certification of an employee's medical condition. If the employee fails to provide the required

medical certification, the leave does not qualify as FMLA leave; therefore the employee is not entitled to continued medical coverage and the right to reinstatement at the end of the leave.

(e) Responsibilities

1. Employees are required to request FMLA leave, and if necessary, the City retains the right to require an employee to request family or medical leave under this policy. However, it is the City's responsibility to designate the start of FMLA leave, and such designation may be made without a request from the employee where permitted by the act. At such time, the Human Resources Administrator will provide the employee with a Department of Labor form letter, DOL WH-381 that details the FMLA leave rules.

f) Leave Computation

1. The rolling 12-month period in which an employee is entitled to 12 workweeks (480 hours) of paid and/or unpaid leave will be computed by measuring backwards from the first day of FMLA leave. The method of leave computation depends on whether the employee is on family leave or medical leave. The City may designate a period of absence as FMLA leave (for family or medical purposes) when an employee is receiving paid leave (such as sick, injury, vacation, personal, comp time, or workers' compensation), and count the leave as running concurrently for purposes of both the benefit plan and FMLA entitlement.

(g) Family Leave

1. Family leave is for birth, adoption or foster care purposes.

(h) Notification of Family Leave

1. It is the duty of the employee to notify their Department/Division Head at least 30 days before the need for the leave or, if advance notice is not possible, give notice as soon as possible. The City will not waive this notice requirement.

(i) Family Leave Time Available to Employee

1. An employee on family leave due to the birth of the employee's son or daughter or due to the placement of a son or daughter with the employee for adoption or foster care and in order to care for such son or daughter must use paid leave in the following order: sick leave must first be used, and then vacation and personal leave, and compensatory time. Family leave runs concurrently with the other paid leaves. If the combined paid leaves do not equal 480 hours, the employee may use the balance as unpaid FMLA leave. An employee's eligibility for family leave expires one year after the child's birth or placement. When both spouses are employed by the City, their 480 hours will be combined for family leave purposes.

(j) Utilizing Family Leave

1. Family Leave will be granted to an employee in hourly periods for the birth of a child and to care for the newborn child, and for the placement of a child for adoption or foster care, and to care for the newly placed child. Family Leave will be granted to a male employee upon the birth of his child and for the subsequent care of the child. Adoption or foster care leave will be granted for preparation and placement of a child into the employee's home.

(k) Medical Leave

1. Medical leave is leave for care of an employee's sick spouse, child, or parent with a serious health condition, and for an employee's personal serious health condition lasting more than three consecutive calendar days of incapacity and involving medical treatment by a health care provider, or overnight admission to a medical care facility. Some examples of a serious health condition include: heart attacks or other serious heart conditions, most cancers, strokes, injuries, and any period of incapacity due to pregnancy, or for prenatal care. Medical

leave will be granted to an employee in hourly periods for medical treatment. Medical leave will be granted to a female employee upon the certification of the employee's physician that she is no longer capable of adequately performing her job-related duties due to pregnancy.

(l) Notification of Medical Leave

1. It is the City's responsibility to designate the start of FMLA leave and whether it is paid or unpaid after obtaining information from the employee or the employee's spokesperson (e.g., spouse, parent, physician, etc.) if the employee is incapacitated. This written notification needs to be coordinated with Human Resources to ensure compliance with Department of Labor notification procedures.

(m) Medical Leave Time Available to Employee

1. An employee on medical leave must first exhaust paid leave in the following order: sick leave, and thereafter vacation, personal leave, and compensatory time. Medical leave runs concurrently with these paid leaves. If the combined paid leaves do not equal 480 hours, the employee may use the remaining balance as unpaid FMLA leave. When both spouses are employed by the City, their 480 hours will be combined for leave purposes.
2. When employees return to work in a light or restricted duty capacity, that time cannot count against FMLA leave.
3. The City may require fitness for duty evaluations for employees who take FMLA medical leave for a serious health condition, in order to evaluate the employee's ability to perform the essential functions of their position. Employees are encouraged to review and understand the purpose of family leave and medical leave, with the understanding that these leaves are not to be used for vacation. Such abuse will be subject to disciplinary action.
4. Supervisors shall track FMLA leave via the City Absence Report Form.

6.14 Military Leave

- (a) The City is committed to protecting the job rights of employees absent on military leave. In accordance with federal and state law, it is the City's policy that employees and prospective employees not be subjected to discrimination based on that person's military service. If an employee believes they have been subjected to discrimination in violation of this policy, the employee should immediately contact the Human Resource Administrator or designee.
- (b) Unless military necessity prevents it, or is otherwise impossible or unreasonable, an employee (or an appropriate officer of the uniformed service in which such service is performed) should provide advance written or verbal notice of the need for leave as soon as practicable to the Human Resource Administrator or designee. It is recommended the employee submit leave requests hereunder via submission of City Absence Report Form.
- (c) Permanent City employees who are members of the Ohio organized militia or members of other reserve components of the armed forces of the United States, including the Ohio National Guard, are entitled to leave of absence from their respective positions without loss of pay for the time they are performing service in the uniformed services, for periods of up to one month, for each federal fiscal year in which they are performing service in the uniformed services.
- (d) As used in this section:
 1. "Federal fiscal year" means the year beginning on the first day of October and ending on the thirtieth day of September.
 2. "Month" means twenty-two eight-hour work days or 176 hours, or for a public safety employee, seventeen twenty-four-hour days or 408 hours, within one federal fiscal year.

3. "Permanent City employee" means any person holding a position in public employment that requires working a regular schedule of twenty-six consecutive biweekly pay periods, or any other regular schedule of comparable consecutive pay periods, which is not limited to a specific season or duration. "Permanent City employee" does not include student help; intermittent, seasonal, or external interim employees; or individuals covered by personal services contracts.
 4. "Service in the uniformed services" means the performance of a duty, on a voluntary or involuntary basis, in a uniformed service, under competent authority, and includes active duty, active duty for training, initial active duty for training, inactive duty for training, full-time national guard duty, and performance of duty or training by a member of the Ohio organized militia pursuant to Chapter 5923 of the Revised Code. "Service in the uniformed services" also includes the period of time for which a person is absent from a position of employment for the purpose of an examination to determine the fitness of the person to perform any duty described in this division.
 5. "Uniformed services" means the armed forces, the Ohio organized militia when engaged in active duty for training, inactive duty training, or full-time national guard duty, the commissioned corps of the public health service, and any other category of persons designated by the president of the United States in time of war or emergency.
 6. "Public safety employee" means a permanent public employee who is employed as a fire fighter or emergency medical technician.
- (e) Except as otherwise provided in subsection (d) hereof, any permanent City employee who is entitled to the leave provided under subsection (a) hereof and who is called or ordered to the uniformed services for longer than a month, for each federal fiscal year in which the employee performed service in the uniformed services, because of an executive order issued by the President of the United States or an act of Congress, or because of an order to perform duty issued by the governor pursuant to section 5919.29 or 5923.21 of the Revised Code is entitled, during the period designated in the order or act, to a leave of absence and to be paid, during each monthly pay period of that leave of absence, the lesser of the following:
1. The difference between the permanent City employee's gross monthly wage or salary as a permanent City employee and the sum of the permanent City employee's gross uniformed pay and allowances received that month.
 2. Five hundred dollars (\$500.00).
- (f) No permanent City employee shall receive payments under subsection (c) hereof if the sum of the permanent City employee's gross uniformed pay and allowances received in a pay period exceeds the employee's gross wage or salary as a permanent City employee for that period or if the permanent City employee is receiving pay under subsection (a) hereof.
- (g) Permanent City employees whose employment is governed by a collective bargaining agreement with provision for the performance of service in the uniformed services shall abide by the terms of that collective bargaining agreement with respect to performance of that service.
- (h) Upon an employee's prompt application for reinstatement (as defined below), an employee will be reinstated to employment in the following manner depending upon the employee's period of military service:

1. Less than 91 days of military service – the position of employment the person would have been employed if the continuous employment of such person with the City had not been interrupted by such service, the duties of which the person is qualified to perform; or the position of employment which the person was employed on the date of commencement of service in the uniformed services, only if the person is not qualified to perform the duties of the position referred to above after reasonable efforts by the City to qualify the person.
 2. More than 90 days and less than 5 years of military service – the position of employment the person would have been employed if the continuous employment of such person with the City had not been interrupted by such service, or a position of like seniority, status, and pay, the duties of which the person is qualified to perform; or the position of employment which the person was employed on the date of commencement of service in the uniformed services, or a position of like seniority, status, and pay, only if the person is not qualified to perform the duties of the position referred to above after reasonable efforts by the City to qualify the person.
 3. Employee with a service-connected disability - if after reasonable accommodation efforts by the City, an employee with a service-connected disability is not qualified for employment in the position in which the person would have been employed if the continuous employment of such person with the City had not been interrupted by such service:
 - In any other position which is equivalent in seniority, status, and pay, the duties of which the person is qualified to perform or would become qualified to perform with reasonable efforts by the City; or
 - If not employed under (c) above, in a position which is the nearest approximation to a position referred to in (c) above in terms of seniority, status, and pay consistent with circumstances of such person's case.
- (i) An employee who has engaged in military service must, to be entitled to the reinstatement rights set forth above, submit an application for reinstatement to the Human Resource Administrator or designee, according to the following schedule:
1. If service is less than 31 days - the employee must report for reinstatement not later than the beginning of the first full regularly scheduled work period on the first full calendar day following completion of service and the expiration of eight hours after a time for safe transportation from the place of service to the employee's residence; or as soon as possible after the expiration of the above referenced eight hour period if reporting within the period specified above is impossible or unreasonable through no fault of the person.
 2. If service is for more than 30 days but less than 181 days - the employee must apply for reinstatement not later than 14 days following the completion of service; or if submitting such application within such period is impossible or unreasonable through no fault of the person, the next first full calendar day when submission of such application becomes possible.
 3. If service is over 180 days - the employee must apply for reinstatement no later than 90 days following the completion of service.
 4. If the employee is hospitalized or recovering from a service-connected injury - the employee must apply for reinstatement no later than two years following completion of service. Such two-year period will be extended by the minimum time required to accommodate the circumstances beyond such person's control which make reporting within the two-year period impossible or unreasonable.

5. If the person fails to report to work or to apply for reinstatement within the required time limits, the person is subject to the City's rules governing unexcused absences.
- (j) In addition to the employee's failure to apply for reinstatement in a timely manner, an employee is not entitled to reinstatement as described above if any of the following conditions exist:
 1. The City's circumstances have changed so as to make reinstatement impossible or unreasonable.
 2. Reinstatement would pose an undue hardship upon the City.
 3. The employee's employment prior to the military service was merely for a brief, nonrecurrent period and there was no reasonable expectation that the employment would have continued indefinitely or for a significant period.
 4. The employee did not receive an honorable discharge from military service.
- (k) Employees reemployed following military leave will receive seniority and other benefits determined by seniority which the employee had at the beginning of the military leave, plus any additional seniority and benefits the employee would have attained had the individual remained continuously employed. Additionally, upon reinstatement, a covered employee will not be discharged from employment, except for cause
 1. Within one year after the date of such reemployment, if the person's period of service before the reemployment was more than 180 days; or
 2. Within 180 days after the date of such reemployment, if the person's period of service before the reemployment was more than 30 days but less than 181 days.
- (l) The Human Resource Administrator or designee, will, upon the employee's reapplication for employment, request the employee provide military discharge documentation to establish the timeliness of the application for reinstatement, the duration of the military service, and the honorable discharge from the military service, if applicable.
- (m) A person whose employment with the City is interrupted by a period of service in the uniformed services will be permitted, upon request of that person, to use during such period of service any vacation, annual, or similar leave with pay accrued by the person before commencement of such service. The City will not require such person to use vacation, annual, or similar leave during such period of service.
- (n) The City will comply with applicable state and federal laws regarding pension contributions for employees on military leave.
- (o) If a matter pertaining to military leave is not specifically addressed in this Section 7.13, then the applicable federal or state law will govern.

6.15 Leave Without Pay

- (a) This section explains reasons for leave not permitted by other policies.
- (b) The appointing authority may grant a leave of absence without pay to a regular full-time or part-time employee for a maximum duration of six months for an extraordinary personal reason. Such a leave may not be renewed or extended beyond six months.
- (c) Leave without pay may be granted for a maximum period of one year for purposes of education, training, or specialized experience which would be of benefit to the City service by improved performance at any level; or for voluntary service in any government- sponsored program.
- (d) The decision whether to grant a leave of absence without pay lies in the sole discretion of the appointing authority, and is not subject to any complaint or appeal process.

- (e) Except for emergencies, the employee should submit a request for leave without pay to the appointing authority at least 60 days prior to the commencement date of the requested leave so that appropriate plans may be made for assignments and coverage during the employee's absence, if the leave is granted.
- (f) The City cannot guarantee that the employee will return to the position they formerly occupied, or to a similar position if the employee's position no longer exists. If upon completion of the leave, the employee's position is no longer available, the separated employee may be considered for other vacancies if available, provided that he or she is qualified for the vacant position.
- (g) An employee may return to work before the scheduled expiration of the leave if requested by the employee, subject to approval of the appointing authority and the availability of the position. If an employee fails to return to work at the expiration of an approved unpaid leave of absence date, the employee, absent extenuating circumstances, shall be considered to have resigned from the position.
- (h) An employee who receives leave of absence without pay under this provision does not earn sick or vacation leave credit, holidays, longevity bonus credit, or service credit, nor is he or she eligible for City paid health care and life insurance. The employee will receive notice of COBRA eligibility to purchase healthcare insurance.
- (i) All leaves of absence shall be requested via the City Absence Report Form.
- (j) If it is determined that an employee is abusing the leave of absence and not actually using the leave for the specified purpose, the City Manager may cancel the leave and direct the employee to report for work.
- (k) Any employee who is on a leave of absence without pay and accepts employment elsewhere is considered to have voluntarily separated from his or her City employment.

6.16 Public Safety Full-Time, Non-Union Probationary Employee Benefits

- (a) The intent of this Section is to delineate the employment benefits which non-union probationary public safety employees will receive. Probationary non-union public safety employees are not bargaining unit members and are therefore not entitled to receive any other employment rights or benefits other than those enumerated in this Manual.
- (b) Fire: All full-time non-union probationary firefighters will receive the same employment benefits and leaves enumerated in the most current collective bargaining agreement as received by union employees with respect to:
 1. Personal Leave;
 2. Holiday Pay;
 3. Earned Days Off;
 4. Uniform Allowance;
 5. Funeral Leave;
 6. Sick Leave;
 7. Leaves of Absence; and
 8. Compensatory Time.

- (c) With respect to Vacation Leave, full-time non-union probationary firefighters will receive 60 hours of vacation upon completing 6 months of continuous service with the City. This 6-month time period may be adjusted due to leaves of absence at the discretion of the Fire Chief. Thereafter, vacation will accrue at the rate of 2.31 hours per week.
- (d) With regard to wages, full-time non-union probationary firefighters will be compensated at the regular rate in the same manner as full-time non-probationary firefighters.
- (e) All full-time non-union probationary firefighters shall serve a 12-month probationary period. A longer probationary period, not to exceed 3 years from the date of hire, may be imposed at the discretion of the Fire Chief with approval from the appointing authority.
- (f) All other benefits and employment matters not addressed in this subsection shall be subject to this Manual for full-time non-union probationary firefighters.
- (g) Police: All full-time non-union probationary police employees will receive the same employment benefits and leaves enumerated in the most current collective bargaining agreement as received by union employees with respect to:
 - 1. Personal Leave;
 - 2. Holiday Pay;
 - 3. Earned Days Off;
 - 4. Funeral Leave;
 - 5. Sick Leave;
 - 6. Leaves of Absence;
 - 7. Compensatory Time; and
 - 8. Shift Differential.
- (h) With respect to Vacation Leave, full-time non-union probationary police employees will receive 40 hours of vacation upon completing 6 months of continuous service with the City. This 6-month time period may be adjusted due to leaves of absence at the discretion of the Police Chief. Thereafter, vacation will accrue at the rate of 1.54 hours per week.
- (i) All full-time non-union probationary police employees shall serve a 12-month probationary period. A longer probationary period may be imposed at the discretion of the Police Chief with approval from the appointing authority.
- (j) All other benefits and employment matters not addressed in this subsection shall be subject to this Manual for full-time non-union probationary police employees.

SECTION 7. BENEFITS

7.1 Insurance and Employee Assistance Benefits

- (a) The City offers the following healthcare and life insurance benefits to each full - time employee:
- (b) After the first of the month following the employee's start date, the City provides group healthcare insurance plans as applicable.
- (c) Healthcare insurance for an individual separating from the City is available until the end of the calendar month from which the employee has separated.

- (d) Employee group life insurance coverage, which begins the first day of the month after the first day of work and ends immediately upon separation from City employment.
- (e) Employees are responsible for providing Human Resources with all changes that affect their insurance policies: mailing address, telephone number, marital status, dependent names, court orders for dependent coverage, beneficiary names, etc. Employees may be obligated to pay health insurance claims if information is not accurate.
- (f) Upon retirement, an employee may purchase life insurance from the City's group life insurance carrier.
- (g) The employee's insurance rights and obligations are subject to the appropriate insurance plans, as amended.

7.2 Employee Assistance Program (EAP)

- (a) The Employee Assistance Program (EAP) offers confidential assistance and professional counseling to all employees and their family members for such issues as family relations, alcohol or drug dependency/abuse, stress management/conflict resolution, and other emotional problems. This service is provided at no cost to employees.

7.3 Cancer Expert Now

- (a) If you or your family member have been diagnosed with cancer, Cancer Expert Now virtually connects you with a world-class physician who can offer guidance about your diagnosis. It is available free of charge to the employee, spouse or domestic partner, children, parents, and parents-in-law.

7.4 Health Care Insurance Following Separation (COBRA)

- (a) If an employee's health care insurance coverage stops due to end of employment for reasons other than gross misconduct, the employee may be eligible to continue group coverage under provisions of federal law, known as the Consolidated Omnibus Budget Reconciliation Act of 1986 or COBRA. Coverage for the eligible employee and his or her eligible dependents may continue following termination of employment if the employee pays the required total monthly premium. This continuation of coverage applies only to medical, vision, and dental insurance.
- (b) COBRA health care requires that individuals covered by employer group health plans be given an option to continue group coverage for up to 18 months or up to 36 months under certain conditions.
- (c) Additional information regarding the eligibility for medical, dental, and vision insurance coverage following separation is available from Human Resources.
- (d) If a qualifying event occurs that results in loss of insurance coverage, the employee will receive notice of eligibility.

7.5 OPERS Retirement Plan

- (a) This state pension plan is administered by an independent state agency. While the City has basic information, specific questions should be addressed to OPERS at 1-800-222-7377 or 614-466-2085.
 1. For employees hired after December 31, 1999, the employee is required to contribute 10% (starting in 2008) of their gross pay, which is deducted each pay period. This amount is matched by a contribution of 14.00% (starting in 2008) from the City.

2. Beginning January 1, 2003, OPERS offers three retirement plans for public employees with less than 5 years of total service credit as of December 31, 2002, and new employees hired on or after January 1, 2003. Eligible employees have 180 days from when they begin employment to select which retirement plan they want to participate in – this change in plans affects all full-time, part-time, and seasonal employees who work or have worked for the City or other Ohio government or educational organizations. OPERS sends information packages to all the eligible employees, and conducts information seminars throughout the year. Employees can receive additional assistance by calling OPERS or go to www.opers.org.
3. Former City employees who do not want to continue their OPERS retirement plan participation may fill out a Pension Plan Refund Application form at the Municipal Building receptionist counter.
4. OPERS Conversion Plan (City Resolution 6391-08). Employees enrolled in OPERS with 25 or more years of full-time Ohio public service (OPERS, STRS, SERS, and/or OP&F) may cash out accumulated vacation and sick leave hours one time each year during December. The 25 or more years' credit may be for years served or purchased. If an employee decides to participate in this program, he or she will need to notify their division head and the Finance Director prior to the coordination process for the next year's budget (before August 1st each year) so money can be allocated in the budget. An eligible employee may participate in this program by identifying their planned month and year of retirement and then coordinate cashing out the allowed vacation and sick leave during their remaining years of City employment. Please contact Finance to enroll in this program.
 - Vacation Hours Conversion. An eligible employee may cash out vacation hours, at one day (8 hours) of vacation leave for one day (8 hours) of pay (1 for 1), with vacation cash out limited to the maximum amount of vacation leave and vacation longevity days an employee earns in a one-year period.
 - Sick Leave Hours Conversion. An eligible employee may be paid out for sick leave hours at the same exchange rate allowed at separation, in accordance with their collective bargaining agreement or the Manual. The payout is limited to the maximum amount of sick leave days an employee earns in a one-year period.

SECTION 8. WORKPLACE GUIDELINES

8.1 Appearance & Uniforms

- (a) In accordance with the following City policy, the department/division head shall prescribe the appropriate dress standards, grooming, and hygiene that present a positive City image.
- (b) Employees shall wear clothing appropriate to their job setting, with emphasis given to clothing that is conducive to safe and effective performance of required job duties. Except for office workers who generally wear civilian clothing, all other employees shall wear uniforms that clearly identify them as City employees.

Please note that skirt length should be no higher than 2 inches above the knee. While the City allows Municipal Building employees to dress in a more casual fashion on Friday, employees are still expected to present a neat appearance and are not permitted to wear jeans (denim), athletic clothing, t-shirts, sweatshirts, shorts, athletic shoes, "flip-flop" sandals, ripped or disheveled clothes, or similarly inappropriate clothing. This does not apply to Municipal Building employees who are transiting the building to accomplish work outside or in other City locations.

- (c) Because the Municipal Building is the focal point for City government, administrative employees in that building will promote a positive business environment by their professional appearance and customer service.
- (d) Piercing and Tattoos. Piercings and body art/tattoos will be regulated in the workplace.
 - 1. Piercings Exceptions: Some may be required to be covered/removed for professional appearance, proper hygiene and safety.
 - 2. Body Art/Tattoos Exceptions: Hand, face, neck tattoos, or ones considered provocative, offensive or misrepresent the City are not permitted.
- (e) The City will pay for required employee uniforms. While uniforms can become dirty or damaged in the course of work, employees are required to keep their uniforms clean, neat, and in good repair for the start of their shift. Accordingly, employees shall not alter their uniform appearance such as cutting off the shirt sleeves.
- (f) City issued uniforms shall only be worn while on official City business.
- (g) City employees shall not wear clothing (shirts, pants, hats, etc.) that advertises beer, liquor, tobacco, or other products that are of a questionable nature in the workplace, or other clothes that may be considered provocative, offensive, or misrepresent the City. Women's skirt length shall be no higher than two inches above the knee.
- (h) Certain uniform items and necessary equipment shall be furnished as required by collective bargaining agreements or other City policies. The Police Chief, Police Lieutenants, Fire Chief, Deputy Fire Chiefs, Street Superintendent, and Building and Parks Maintenance Superintendent shall be furnished these required items upon appointment and shall be entitled to the uniform and equipment allowances established by the union contracts within their division.
- (i) Part-time Firefighter/Paramedics/EMTs shall be paid a uniform allowance based upon actual hours worked up to a maximum of \$500 every six months, at the rate of \$0.40 cents per hour worked on platoon duty. This allowance shall be paid by check in two equal installments: the first payroll in January and first payroll in July. The allowance shall be post paid January through June, and July through December paid in January. Personnel shall maintain a serviceable current uniform.
- (j) The City has a Central Safety Committee with representatives from each division and labor union. This committee serves as focal point for risk management, safety, and health coordination and planning. The City Manager has also appointed two Accident Prevention Coordinators to facilitate and coordinate safety information, processes, and training.
- (k) Any employee who does not meet the standards of this policy will be required to take corrective action, which may include leaving the premises. Non-exempt employees will not be compensated for any work time missed because of failure to comply with this policy. Failure to present a professional appearance may also be cause for disciplinary action.

8.2 Outside Employment

- (a) Under no circumstances shall a full-time City employee have other employment which conflicts with the policies, objectives, interests, or operations of the City or their department/division. No employee shall become indebted to a second employer whose interests might be in conflict with those of the City or his or her department/division.
- (b) Employment conflicts, as set forth in this policy, are defined as a situation in which a second job impairs the employee's ability to perform the duties of his or her position with the City.
- (c) Full-time employment by the City shall be considered the employee's primary occupation, taking precedence over all other occupations.
- (d) Outside employment, or "moonlighting," is a concern to the City only if it adversely affects the job performance of the employee's City job. Two types of conflicts which may arise are:
 - 1. Time Conflict. Defined as when work hours required of a "secondary job" directly conflict with the scheduled work hours of the employee's City job; or when the demands of a secondary job prohibit adequate rest, thereby adversely affecting the quantity or quality of the employee's job performance with the City.
 - 2. Interest Conflict. Defined as when an employee engages in "outside employment" that tends to compromise his or her judgment, actions, or job performance with the City, or that otherwise creates a conflict of interest under applicable ethics law, or that creates the appearance or perception of a conflict of interest.
- (e) If the City Manager determines that an employee's outside employment is adversely affecting the employee's job performance, the City Manager may direct that the employee refrain from such activity. If the employee's continued outside employment either creates a conflict of interest or time conflict as defined elsewhere, the City Manager may impose appropriate action, up to and including termination.
- (f) No employee shall use City owned equipment or uniforms to perform non-City employment, except with the permission of the City Manager.
- (g) To ensure compliance with this policy, employees shall request, in writing, permission from their division head to work elsewhere before they accept such outside employment. At the time permission for such outside employment is given, or at any time thereafter, the employee shall, at the request of their division head, execute the Authorization For Release Of Employment Records Form, which the City may forward to the outside employer at any time to obtain information in order to verify the employee is in compliance with all relevant provisions of this Manual.
- (h) Any questions regarding the legal compatibility of two offices or positions in the public service should be addressed to the City Manager.

8.3 Employee Work Areas and Conversations

- (a) Employee Work Areas, Offices, and Desks
 - 1. In order to maintain security and to operate efficiently, City management must reserve the right to have complete access to all City work areas, property, offices, and equipment. Accordingly, employees who store personal items in City-owned desks, lockers, cabinets, storage areas, or vehicles do so at their own risk, and should have no expectation of privacy for items maintained in these areas. The City reserves the right to have access to any City owned or leased property, including employee desks, lockers, cabinets, storage areas, or City owned or leased vehicles used by employees for any business purpose, including

search and retrieval of items found therein. This is true even if locks are provided or the employee uses his or her own lock.

2. No employee may intrude into an employee's or official's personal work area, including desks, workstations, and lockers, without a legitimate business purpose and without the prior approval of an appropriate management representative. This does not extend to items in plain view observed while the employee is in the area for a legitimate business purpose, but employees are cautioned that unauthorized looking through the papers, e- mail, or effects of others is prohibited.
3. The City maintains surveillance cameras inside and outside various facilities that video record activities in those work areas.

(b) Audio, Video Recording, and Photos

1. The appointing authority must expressly approve any audio- or video-recording in advance, unless the monitoring is directed by court order, such as a duly issued warrant. No employee, including supervisors and managers, may surreptitiously or intentionally audio-record or video-record conversations involving employees, officials, customers, or other persons. Employees who engage in such unauthorized recording are subject to disciplinary action and prosecution. This provision does not prohibit audio- or video- recording as part of a duly authorized investigation of allegations of employee misconduct or criminal violations where otherwise permitted by law.
2. The employer may choose to monitor and tape-record employee conversations with customers as part of a program of quality assurance and control only if the employees are previously notified that such monitoring may occur. Customers are so notified, either through a recorded disclaimer or other explicit notification.
3. Employees shall not use cameras, to include phone cameras, for picture/video recording in or on City facilities unless the other employees involved are asked and agree to have their picture taken.
4. All telephone calls to the Police Dispatch Center are recorded.

(c) Bulletin Boards

1. City Bulletin Boards are for official business purposes. Department/division heads shall ensure these bulletin boards are maintained accordingly.

8.4 Personnel and Related Files

- (a) The City shall maintain official personnel files on all employees as well as payroll and medical files. Such files shall include but may not be limited to individual employment data; payroll information; work time schedules; records of additions or deductions paid; application forms and resumes; evaluations; records pertaining to hiring, promotion, demotion, transfer, layoff, termination, or other personnel actions; and records of leave usage.
- (b) Under current Ohio public records law, the City cannot assure confidentiality of these records, which are considered public records with some exceptions. Employees will be notified when a public request is made to view their records. All such requests will be made to the Clerk of Council and coordinated with the Human Resources Administrator, City Manager, and/or Finance Director, as appropriate.
- (c) Per HIPAA, the City must keep all medical information, such as records of medical examinations, physician's statements, or other information regarding medical conditions of the employee in a separate file, and such medical records do not constitute public records and may not be disclosed except as otherwise provided by law.

- (d) An employee shall have a right to reasonable inspection of his or her official personnel file and may make copies of any document in his or her file.
- (e) Employees must advise their department/division head, City Manager, Finance Director, or Human Resources Administrator, as appropriate, of any change in name, home and mailing address, marital status, telephone number, number of exemptions claimed for tax purposes, legal authorization to work in the United States, or military status.

8.5 HIPPA Privacy

- (a) The City of Moraine (the “City”) sponsors one or more group plans that provide medical benefits (the “Plan”). As a result of administering the Plan, the City may possess protected health information (“PHI”) of beneficiaries of the Plan. Further, City departments/divisions may come into possession of PHI as a result of the services provided by the department/division (i.e. the Fire Division’s EMS services). PHI is defined as:
 - 1) Information that is created or received by the City and/or the Plan and relates to the past, present, or future physical or medical health or condition of a participant; or the past, present or future payment for the provisions of health care to a participant; and that identifies the participant or for which there is a reasonable basis to believe the information can be used to identify the participant. PHI includes information of persons living or deceased.
- (b) The Health Insurance Portability and Accountability Act of 1996, Public Law 104-191 (the “HIPAA Statute”), and its related “Privacy Rule” (45 C.F.R. Part 164 Subparts A and E) and “Security Rule” (45 C.F.R. Part 164 Subpart C) and the Breach Notification Rules (45 C.F.R Part 164 Subpart D) as well as any guidance issued by the Federal Department of Health and Human Services (including, without limitation, the Office of Civil Rights) (collectively, the Privacy Rule, Security Rule and HIPAA Statute are, hereinafter, referred to as “HIPAA”), all as amended by the Health Information Technology for Economic and Clinical Health Act (the “HITECH Statute”) and any regulations promulgated thereunder (collectively, the “HITECH Rules,” and together with the HITECH Statute, referred to hereinafter simply as “HITECH”), as well as any other applicable federal or state laws concerning the privacy, security, integrity and availability of health information restrict the City’s and the Plan’s use and disclosure of PHI.
- (c) It is the City’s and the Plan’s policy to comply fully with all applicable HIPAA and HITECH requirements. To that end, all members of the City’s workforce who have access to PHI must comply with HIPAA and HITECH. Members of the City’s workforce are defined for this policy only as employees, volunteers, trainees and other individuals who work under the direct control of the City or under a City contract.
- (d) It is the City’s policy that no member of the City’s workforce will intimidate, threaten, coerce, discriminate against or take any retaliatory action against any individual for exercising their rights, filing a complaint, participating in an investigation or opposing any improper practice under HIPAA or HITECH. In addition, no one will, under any circumstances, be asked to waive their rights under HIPAA or HITECH.
- (e) Other City departments/divisions (i.e. Fire, Police, Law) may formulate policies and procedures which do not conflict with this policy and are more comprehensive and detailed regarding department/division functions and compliance with HIPAA and HITECH.
- (f) The City Manager hereby delegates the appropriate responsibility and authority to administer this policy to the department/division heads.

8.6 Chain of Command

- (a) The chain of command is the vertical channel through which the supervisory responsibilities pass. Each employee has an immediate supervisor at the lowest level of their organization, the beginning of the chain of command, and each employee shall be familiar with their appropriate chain of command that runs from their department/division head to the City Manager.
- (b) All communications pertaining to the operation of the City or its departments and divisions shall follow the vertical path of the chain of command. All employees will notify their immediate supervisor if they wish to discuss City business with anyone in the chain of command.
- (c) No employee will publicly criticize or ridicule instructions, orders, or directions they have received or make statements that undermine the City or effectiveness of the organization.
- (d) No employee will ridicule others or make statements to another employee with reckless disregard for the truth or falsity of the statement.
- (e) Any employee found in violation of this policy shall be subject to disciplinary action up to and including termination.

8.7. Layoff & Recall

- (a) The City Manager may lay off City employees whenever a reduction in force is necessary due to:
 - 1. Lack of work.
 - 2. Lack of funds.
 - 3. Reasons of economy or efficiency.
- (b) The City Manager will follow the procedures outlined in this Manual to determine the order of layoff. Such procedures include consideration of each employee's classification assignment, appointment type, and continuous service time. The City Manager will notify the City Council of the reasons for the layoffs.
- (c) Changing hours of work is not considered a layoff, and layoffs shall not be considered disciplinary actions.
- (d) Notification of Layoff or Displacement. An employee subject to layoff shall be notified, in writing, of the action at least 14 days prior to the effective date. The written notice shall include the effective date of layoff, the employee's retention points, information as to displacement rights and how to exercise those rights, the reason for the layoff, appeal rights, the right to obtain a copy of the layoff regulations, and the out-processing procedures. Employees must notify the City Manager in writing of their intention to exercise their displacement rights ("bumping") within 5 calendar days of notification, or any right to displace is waived.
- (e) Definitions. The following definitions shall be applied to the procedures set forth in this policy:
 - 1. Classification. The official title assigned to a position occupied by one or more employees. A classification may comprise a group of positions that differ in some respects but are nonetheless sufficiently similar to be assigned to a single classification.
 - 2. Classification Series. Those classifications which are similar in duties and functions, and which form a natural career progression, and which are described by similar or identical terms except for a designation of the level by numerical or other designation.
 - 3. Full-time Employee. An employee who is scheduled to work a minimum of 40 hours.

4. Job Abolishment. The permanent deletion of a position from the City Table of Organization because of a lack of continued need for a position, as a result of a reorganization for the efficient operation of the City, for reasons of economy, or because of a lack of work. A lack of work is deemed to be permanent if the lack of work is expected to last more than one (1) year.
 5. Lack of Funds. There is a current or projected deficiency in the funds available for salaries, wages, and fringe benefits for City employees. This also applies to a position being kept in table of organization but is not funded.
 6. Lack of Work. There is a current or projected decrease in the work available for employees in a classification used by the City.
 7. Length of Service. The continuous, uninterrupted City service of the employee, where no separation from employment has occurred. For purposes of this definition, any separation from employment lasting a period of thirty (30) days or less shall not be deemed a separation; nor shall personal or educational leaves of absence be deemed a separation. If an employee was separated but was reinstated by specific action of the appointing authority within one year, the service time prior to the separation will be included in the employee's length of service.
 8. Part-time Employee. An employee who is normally scheduled to work 30 hours a week or less.
 9. Pay Range. The minimum and maximum wage rate or salary within the salary schedule to which an employee's position or classification is assigned.
 10. Position. The group of job duties that an individual employee is expected to perform. A classification may include a group of closely related positions.
 11. Retention Points. The system of establishing points for length of service in order to establish the order of layoff within a classification.
 12. Seasonal Employee. An employee who is called in to work for a limited period at a certain season or time of the year.
- (f) Method. When the City Manager concludes that there is reason to reduce staffing levels, he or she shall determine the number of positions and the classification in which layoffs will occur. The City Manager shall also prepare a layoff list with the retention points of all employees who have been appointed to the classification(s) selected for the layoff. Such a list should be posted in a conspicuous location, for employee inspection, at the time layoff notices are delivered.
- (g) Retention Points. Retention points are based on length of continuous City service. Full-time employees receive one (1) point for each completed weekly pay period of continuous service. Part-time employees receive one-half (0.5) point for each completed biweekly pay period of continuous service in other than full-time status. Retention points for continuous length of service shall be added to a base of 100 points to determine total retention points for each employee.
- (h) Order of Layoff.
1. The City Manager will lay off employees in the following appointment types before full-time regular employees in the affected classifications(s): temporary employees, seasonal employees, part-time employees, and full-time probationary employees. For the purpose of the order of layoff, employees serving probationary periods as a result of a promotion shall be construed to have completed their probationary period.

2. The City Manager shall prepare layoff lists separating employees into the above appointment types and listing employees in descending retention point order. The lists shall indicate which employees are to be laid off. In the case of ties in retention points, the most recent hire date shall determine the employee to be laid off. If hire dates are the same, the City Manager shall decide the order of layoff.
- (i) Displacement (Bumping). Laid off employees may be permitted to displace (“bump”) employees in the next lower classification and successively lower classifications within the classification series when:
1. The lower classification is within the same classification series, and
 2. The employee has more retention points than an employee in the lower classification.
 3. Employees may not displace to a higher classification, nor may they displace to a higher appointment type (e.g., seasonal employees may not displace part-time employees, nor may part-time employees displace full-time employees). Further, employees may not displace into positions which require specialized skills or training which they do not possess. Displacement of employees with fewer retention points in successively lower classifications in the same classification series shall continue until the last employee in the lowest classification in the series has been reached and, if necessary, laid off.
- (j) Displacement Under Job Abolishment. Whenever the City Manager eliminates one or more positions through job abolishment, employees may displace into an available vacancy as determined by the City Manager. If no vacancy is available, the employee may displace other employees as follows:
1. If the City Manager determines that a vacancy is in the classification of layoff, the employee shall first displace to that vacancy, regardless of retention points within the classification.
 2. If the City Manager determines that a vacancy is available in a lower-level classification, or in successively lower-level classification in the same classification series, the employee shall displace to that vacancy before he or she displaces another employee, provided that he or she has the fewest retention points in the classification of layoff.
 3. If there is no vacancy in the same classification or a lower-level classification in the same classification series, the employee whose position has been abolished may displace (bump) an employee with fewer retention points in a lower-level classification in the same series. This succession of displacement shall continue until the last employee in the lowest-level classification in the series has been reached and, if necessary, laid off.
 4. An employee shall not be required to displace lesser appointment types under this provision, unless the employee has the fewest retention points in the classification series with that appointment type.
- (k) Pay Rate Following Displacement. Whenever an employee displaces to a lower classification as a result of layoff or job abolishment, the employee shall be paid according to the same rate of pay or range assigned to the lower classification in the classification series into which the employee was displaced.
- (l) Reinstatement Rights. The City Manager will establish a recall list, and employees shall be eligible for reinstatement for one year following layoff. Employees shall be offered positions that become available at their classification level and lower classification levels within the same classification series, in order of their retention points. The recall list shall be prepared for all laid off or displaced employees, and shall be in the inverse order of layoff by classification (e.g., the employee with the most retention points in a classification shall be first on the recall list). An employee may only be recalled to a position if the employee is qualified to perform the duties

of that position at the time of the recall. The recall list will be posted in a public and conspicuous place.

- (m) Each employee eligible to be recalled from layoff shall be notified of the offer of reinstatement by certified mail.
- (n) A laid off employee is responsible for keeping the City Manager informed of their current address. Failure to do so may result in the inability to notify the laid off employee of his or her eligibility for reinstatement.
- (o) Each recalled employee shall be allowed 10 calendar days from the date of receipt of the certified letter to return to work. Exceptions to this policy must be approved by the City Manager.
- (p) Any employee declining reinstatement to the same classification from which the layoff or displacement occurred shall be removed from eligibility for further recall.
- (q) If not removed previously, the name of any laid off or displaced employee shall be removed from eligibility for recall one calendar year after the employee was first laid off or displaced from his or her original classification.

SECTION 9. CODE OF CONDUCT

9.1 Ethics

- (a) City employees are expected to uphold the highest ethical standards to serve the public safely, skillfully, and effectively. Each City employee will receive and acknowledge receipt of the State Ethics Laws at in-processing. This is to ensure that their actions as public employees are governed by applicable laws and regulations, the public interest, and are never influenced by private interests or gain.
- (b) City employees are public servants whose compensation is paid by the taxpayers. Accordingly, City employees shall:
 - 1. Avoid any conflict of interest, or the appearance of any conflict of interest.
 - 2. Accept no private compensation or reward for performing their duties.
 - 3. Serve all members of the public with honesty, diligence, respect, equity, courtesy, and fairness.
 - 4. Not disclose or use confidential City information in performing his or her duties, for private gain.
 - 5. Not accept items, meals, or gifts of \$20.00 or greater, that are deemed to be anything of value that is of such character as to manifest a substantial and improper influence upon him or her with respect to his or her duties. The frequent acceptance of gifts from a single source may violate this policy even if no single gift exceeds \$20.00. Any organization or individual proposing to make a gift with a value of \$20.00 or greater shall make the gift to the City government, not to an individual City employee or official.
 - 6. Not accept employment with or provide services for any firm or company which is engaged in providing services or products for the City or with such firm or company that is required to obtain approval from any City Council, board, or commission. It is absolutely necessary that an employee give priority to his/her job with the City. If outside work is accepted, it shall not be undertaken without the advanced knowledge of the employee's supervisors, the

department/division head, and the City Manager. Any failure to report for duty because of outside work may result in disciplinary action.

7. Not accept employment whereby such employment would or may bring disrespect or compromise the employee's City position.
 8. Not become self-employed in any activity during normal City working hours or use City facilities to conduct such activity.
- (c) Employees who have any doubt regarding possible ethics violations should consult with the Human Resources Administrator and City Manager.

9.2 Confidentiality

- (a) Each City employee holds a position of trust in the matter of access to confidential information. Every employee is expected to recognize the responsibilities entrusted to him or her in preserving the security and confidentiality of this information, and is required to abide by the following policy:
1. An employee must not exhibit or divulge the contents of any record to any person, except in the conduct of his or her work assignments, or in accordance with Ohio law and City policies concerning release of information.
 2. An employee must not remove, or cause to be removed, copies of any record or report from any file from the office where it is kept, except in the performance of his or her duties or with the express, prior approval of management.
 3. An employee must not seek to benefit personally, or permit others to benefit personally, from any confidential information that has become available by virtue of his or her work assignment.
 4. An employee must not knowingly include or cause to be included in any record or report a false, inaccurate, or misleading entry.
- (b) Employees are further expected to refrain from participating in any discussion or gossip about a person, or his or her individual circumstances, that might be perceived by others to be information obtained from City records or otherwise in the scope of employment with the City. Discussion of any such information, even if not technically a breach of confidentiality, may create the perception of impropriety, which is to be conscientiously avoided.
- (c) The Health Insurance Portability and Accountability Act (HIPAA) requires the City keep all employee personal health information, to include injury claims, medical exams, drug tests, and other medical information confidential. This means that only the City Manager, Finance, and Human Resources personnel should have medical records/information on City employees. Obviously, supervisors and co-workers may become aware of health and medical situations, and may receive related paperwork as events occur, but this information needs to be sent to Finance or Human Resources for retention. Following this basic guidance will ensure we comply with HIPAA.
- (d) Violation of any portion of this policy will result in disciplinary action such as reprimand, suspension, or termination, in accordance with the City Disciplinary Policy.

9.3 Influencing

- (a) City employees shall not attempt to influence City Council or other City Boards and Commissioners for personal gain, personal employment consideration, to affect the employment status of others, or to exert the influence of prominent citizens, persons holding public office, or persons connected with political or religious organizations in any manner affecting either their own status or that of others within or without the City organization.

9.4 Whistle Blowing

- (a) If any City employee becomes aware, in the course of their employment, of the violation of a federal statute, state statute, City Ordinance, rule, or regulation, or the misuse of public resources, the employee shall notify his immediate supervisor of the violation or misuse.
- (b) If an employee has evidence and reasonably believes their immediate supervisor is engaged in wrongdoing, in part or entirely, the employee shall notify the next responsible City official in the employee's chain of command, either his/her department/division head or the City Manager.
- (c) No employee shall be disciplined for notifying his immediate supervisor, department/division head, or the City Manager of any violation or misuse as long as the notification was in good faith.

9.5 Auditor of State Fraud Reporting System

- (a) The Ohio Auditor of State's office maintains a system for the reporting of fraud, including misuse of public money by any official or office. The system allows all Ohio citizens, including public employees, the opportunity to make anonymous complaints through a toll-free number, the Auditor of State's website, a mobile app, by email, or through the United States mail.
- (b) Auditor of State's fraud contact information
1. Telephone: 1-866-FRAUD OH (1-866-372-8364)
 2. US Mail: Ohio Auditor of State's office
Special Investigations Unit
88 East Broad Street
P.O. Box 1140
Columbus, OH 43215
 3. Website: www.ohioauditor.gov - on the home page, click on "learn more" under Reporting Fraud
 4. Email your tip: fraudohio.ohio@ohioauditor.gov
 5. Mobile App: See download the app:
 - For Apple users: Visit the Apple App Store via your mobile device or Apple computer and search for Ohio Stops Fraud. This app is available for iOS7 users who own the iPhone 4 or later models.
 - For Android users: Visit the Google Play Store via your mobile device or computer and search for Ohio Stops Fraud.
- (c) Whistleblower Protection
1. No officer or employee shall take any disciplinary action against an employee for making any report or filing a complaint, including, but not limited to, any of the following:
 - Removing or suspending the employee from employment.

- Withholding from the employee salary increases or employee benefits to which the employee is otherwise entitled.
 - Transferring or reassigning the employee.
 - Denying the employee promotion that otherwise would have been received.
 - Reducing the employee in pay or position.
2. An employee shall make a reasonable effort to determine the accuracy of any information reported. The employee is subject to disciplinary action, including suspension or removal for purposely, knowingly, or recklessly reporting false information.
 3. If any disciplinary or retaliatory action is taken against a classified or unclassified employee as a result of the employee's having filed a report or complaint under division (c) of this section, the employee's sole and exclusive remedy, notwithstanding any other provision of law, is to file an appeal with the state personnel board of review within thirty days after receiving actual notice of the appointing authority's action.
- (d) For more information on fraud reporting and whistleblower protection refer to Ohio Revised Code 117.103 and 124.341.

9.6 Political Activity

- (a) The City encourages its employees to exercise their constitutional right to vote, ORC Section 3599.06. Employees can cast their votes in elections before or after working hours, unless otherwise specified in a collective bargaining agreement. With permission of his or her supervisor, an employee may work before or after working hours or at lunch time to make up work time used for voting.
- (b) Employees in the classified service are prohibited by ORC Section 124.57 from directly or indirectly engaging in political activity. Political activity and politics refer to partisan activities, campaigns, and elections involving primaries, partisan ballots, or partisan candidates.
- (c) The following is a non-exhaustive list of examples of prohibited political activities for employees in the classified civil service:
 1. Candidacy for public office in a partisan election.
 2. Candidacy for public office in a nonpartisan general election if the nomination to candidacy was obtained in a partisan primary or through the circulation of nominating petitions identified with a political party.
 3. Filing of petitions meeting statutory requirements for partisan candidacy to elective office.
 4. Circulation of official nominating petitions for any candidate participating in a partisan election.
 5. Service in an elected or appointed office in any partisan political organization.
 6. Acceptance of a party-sponsored appointment to any office normally filled by partisan election.
 7. Campaigning by writing for publications, by distributing political material, or by writing or making speeches on behalf of a candidate for partisan elective office, when such activities are directed toward party success.
 8. Solicitation, either directly or indirectly, of any assessment, contribution or subscription, either monetary or in-kind, for any political party or political candidate.
 9. Solicitation of the sale, or actual sale, of political party tickets.

- 10. Partisan activities at the election polls, such as solicitation of votes.
 - 11. Participation in political caucus of a partisan nature.
 - 12. Participation in a political action committee which supports partisan activity.
- (d) An employee in the classified service who engages in any of the activities listed throughout this policy is subject to disciplinary action, up to and including termination.
 - (e) Employees in the unclassified service, who serve at the pleasure of the appointing authority, are not prohibited from engaging in political activity unless specifically precluded by federal or state constitutional statutory provisions.
 - (f) Notwithstanding the general prohibition against partisan activity or appointment to partisan office, ORC Section 124.57 does specifically permit classified employees to serve as a precinct election official for a primary, special, or general election, and the employee may, with the prior approval of the appointing authority, use vacation leave, compensatory time off, or unpaid leave so to serve.
 - (g) Service in an appointed or elected position is prohibited when such position is subordinate to or in any way a check upon a position concurrently occupied by a classified or unclassified employee, or when it is physically impossible for one person to discharge the duties of both positions, or if some specific constitutional or statutory bar exists prohibiting a person from serving both positions.
 - 1. An employee participating in the conversion program must maintain a minimum balance of two weeks of vacation leave (80 hours) and six weeks of sick leave (240 hours). After beginning this program, an employee shall not receive sick leave donations, and cannot convert sick leave hours to vacation hours. Participating employees will sign an agreement that they have received and understand the terms of this voluntary OPERS Conversion Plan, and that their participation is not grievable.
 - 2. Deductions from the Early Payoff. The early payout is earnable income, and deductions will be made for income tax, school tax, and Medicare (if necessary).
 - (h) Other Considerations. The cash out of sick leave for the purpose of this program shall not affect eligibility for the annual Wellness Incentive. Employees need to verify their total years of public service with Finance to make sure they are eligible for participation.

SECTION 10. EMPLOYEE CONDUCT

10.1 Drug - Free Workplace

- (a) The purpose of this program is to aid in the prevention of accidents and injuries from the misuse of alcohol and controlled substances by City employees. The citizens of Moraine have the expectation that they are living in a safe environment, and City employees have the expectation that they are working in a safe environment.
- (b) All City employees are involved with safety in one aspect or another, in day-to-day operations and decision making: crime prevention, law enforcement, emergency medical service, fire prevention and suppression, building and park maintenance, parks and recreation programs, driving all types of City vehicles, equipment and machine maintenance and use, chemical use and storage, accurate accounting of City finances and resources, and management and training of City personnel.
- (c) This program allows the City to participate in the Ohio Bureau of Worker's Compensation Substance Use Prevention and Recovery Program and lower our premiums through discounts.

- (d) CDL holders participate in their own program, which randomly tests these employees for 50 percent of their positions for controlled substances and for 10 percent of their positions for alcohol.
- (e) Definitions. For purposes of this policy, the following definitions shall apply:
1. Controlled substance includes any illegal drug and any prescription drug.
 2. The term illegal drug means drugs and controlled substances, the possession or use of which is unlawful, pursuant to Federal, State, and local laws and regulations.
 3. Abuse includes use of illegal drugs as well as use of prescribed drugs not legally obtained or not being used for prescribed purposes, in a prescribed manner, or in the prescribed quantity; and excessive use of alcohol.
 4. The term 'while at work' refers to the entire duration from when the employee starts working or is expected to be prepared for work until the moment they leave the workplace.
 5. A medical review officer (MRO) is a licensed physician who is trained, knowledgeable, and experienced about controlled substance abuse; collection, testing, and evaluating methods and procedures.
 6. A substance abuse professional (SAP) is an individual qualified to provide evaluation and treatment to a person who tests positive for controlled substance abuse in accordance with the requirements of 49 Code of Federal Regulations Part 40 – Procedures for Transportation Workplace Drug and Alcohol Testing Programs.
 7. EAP is the Employee Assistance Program that is a confidential program available to all employees for assistance with personal issues or problems, wither for the employee or members of their immediate family. EAP services are paid for by the City.
 8. A 10-panel, pre-employment drug screen includes these controlled substances:

Marijuana	Opiates
Cocaine	Phencyclidine (PCP)
Methadone	Amphetamines
Benzodiazepines	Quaaludes
Propoxyphene	Barbiturates

9. A 5-panel drug screen includes these controlled substances:

Marijuana	Opiates
Cocaine	Phencyclidine (PCP)
Amphetamines	

10. Personal resources are programs available to employees either at work or elsewhere that can be used for counseling and assistance, medical treatment, and/or rehabilitation. Full-time City employees have EAP and healthcare insurance plans available to them as personal resources.

(f) Drug-Free Workplace Program (DFWP)

1. Annual employee DFWP awareness, policy, and procedures training will be conducted for all employees, and a separate session for supervisors.
2. This DFWP prohibits any alcohol misuse that could affect the performance of an employee on the job. This includes use on the job, use during the four hours before reporting or returning to work, having prohibited concentrations of alcohol in the employee's system while at work, or use during four hours following an accident or until employee undergoes a post-accident alcohol test.
3. This DFWP prohibits any controlled substance (drug) use without a licensed physician's written prescription.
4. Employees or applicants shall be subject to the following tests: pre-employment, post-accident, reasonable suspicion, random, return-to-duty, and follow-up during a rehabilitation program.
5. Alcohol and substance abuse sample collection and testing will be performed at Premier Occupational Health using breath-testing devices approved by the National Highway Traffic Safety Administration (NHTSA), and controlled substance testing will be conducted by a Department of Health and Human Services (DHHS) certified testing laboratory. These procedures protect the employee and the integrity of the testing processes, and safeguard the validity of the test results. All testing results are reviewed by the MRO before the results are reported. The City and Premier Occupational Health, or another certified facility chosen by the City, will use the testing methods and procedures as described in the City's Drug and Alcohol Testing Policy and Procedures Manual Sections II C., D., and E.
6. The testing procedures use an evidential breath-testing device for alcohol testing and urine specimen collection for controlled substance testing; however, a non-evidential breath testing device or urine specimen collection may be used for post-accident alcohol testing. All alcohol testing methods use the same threshold level for determining test results.
7. Before performing an alcohol or controlled substances test under this policy, the City will notify each employee that the alcohol or controlled substances test is required under this policy.

(g) Required Tests

1. Pre-employment testing. Prior to an applicant beginning work for the City, after being offered a position, he/she shall undergo testing for alcohol and drugs (10-panel). Any individual who fails these tests will not be considered for employment in any position for at least 12 months.
2. Post-accident testing. Post-accident alcohol or drug testing shall be required after all City accidents, not just vehicle accidents that occur while a person is at work. An accident is an unplanned, unexpected, or unintended event that occurs on City property, or during the conduct of City business, or during working hours, or which involves City-supplied vehicles used in conducting City business, or within the scope of City business, and results in any of the following: a fatality of anyone involved in the accident, or City employee bodily injury requiring medical treatment, or one or more motor vehicles have disabling damage requiring the vehicle to be transported away from the scene.
3. Medical treatment means treatment received from a person licensed to provide medical treatment, such as a doctor, nurse, paramedic, or EMT. If medical treatment is required, then the injury is a reportable BWC injury requiring the immediate filing of a First Report of Injury. Medical treatment does not include first aid, such as a band-aid on a small cut, various creams for sunburn, ice on a strain or contusion, etc.

4. Following an accident that requires medical treatment and testing, a City supervisor will ensure the injured employee receives immediate medical treatment, and the required alcohol and drug tests are administered with 8 hours for alcohol and within 32 hours for drugs.
5. An employee who is subject to post-accident testing is required to remain available for such tests, and the employee shall refrain from consuming alcohol for up to 8 hours following the accident, or until he or she submits to an alcohol test, whichever occurs first.
6. If any delay in testing is due to an employee's failure to follow required procedures, or other employee misconduct, such behavior may be considered an obstruction of the testing process, and refusal to submit to a test.
7. If the required tests are not administered within the time limits above, a written record must be maintained citing the reasons for the delay or the failure to administer the tests.
8. Nothing in these rules should be construed as to require the delay of necessary medical attention for injured people following an accident or prohibit an employee from leaving the scene of an accident for the period necessary to obtain assistance in responding to the accident, or to obtain necessary emergency medical care.
9. Any breath, blood, or urine test for alcohol or controlled substances, conducted by safety or medical officials following an accident shall be considered a post-accident test provided the tests were administered within the required times following the accident, and the requirements and the results of the tests are obtained by the City.
10. Rebuttable Presumption Law. Section 4123.54 of the Ohio Revised Code requires notice of rebuttable presumption. Rebuttable presumption means that an employee may dispute or prove untrue the presumption (or belief) that alcohol or a controlled substance not prescribed by the employee's physician is the main reason of the work related injury. The burden of proof is on the employee to prove that the presence of alcohol or a controlled substance was not the cause of the work-related injury. An employee who tests positive or refuses to submit to chemical testing may be disqualified for compensation and benefits under the Workers' Compensation Act.
11. After Normal Business Hours Testing. If an alcohol or drug test is required after Premier Occupational Health business hours, 7:30 a.m. to 5:00 p.m., Monday through Friday, then supervisors need to call for on-call testing: 937-208-6887.
12. All new employees need to sign the Acknowledgement of Drug-Free Workplace Program Policy and Procedures Form, after receiving and reading the DFWP information. The DFWP Policy and Procedures are reviewed with new employees at in-processing. Any questions regarding this policy should be directed to the Human Resources Administrator.
13. Reasonable suspicion testing. If a supervisor has reasonable suspicion to believe that an employee may have violated the City's DFWP, the City may require the employee to undergo tests for the use of alcohol and/or drugs. Employees are required to submit to testing based on "specific, contemporaneous, articulable observations concerning the appearance, behavior, speech or body odors of the employee." Reasonable suspicion testing is authorized during, just preceding, or immediately at the end of the workday. The employee shall submit to the drug or alcohol testing.

14. Random testing. As a minimum, 5 percent of the annual, average number of City employees, full and part-time, will undergo alcohol testing. The minimum annual percentage rate for drug testing is 25 percent of the average number of full and part-time City employees. The selection of employees for random alcohol and drug testing shall be made by a scientifically valid method provided by an independent testing facility. Under the selection process used, each employee shall have an equal chance of being tested each time quarterly random selections are made.
15. Return to duty testing. After an employee fails to pass an alcohol or drug test, the employee shall undergo evaluation by a SAP and will be required to undergo and pass another test before the employee is permitted to return to duty.
16. Follow-up testing. Employees who are reinstated after problems associated with alcohol and/or drug misuse shall be subject to unannounced follow-up testing as directed by a substance abuse professional, with a minimum of six tests during the first twelve months after the return to duty date.

(h) Policy and Procedures.

1. The unlawful manufacture, distribution, dispensing, possession, or use of an illegal or controlled substance is prohibited.
2. The use of an illegal or controlled substance or alcohol prior to beginning work or during meal or break periods is prohibited.
3. Any illegal use or abuse of a legal drug is prohibited.
4. An employee is prohibited from working under the influence of alcohol or drugs that have a history of impairment.
5. An employee who must use a prescribed medication during work hours that may impair his or her safety or the safety of others or may otherwise impair the employee's ability to perform work shall so notify the supervisor or department/division head. The department/division head may then, at his discretion: (1) place the employee on sick leave, (2) reassign the employee to duties posing no such risk, or (3) have the employee's ability to work without impairment evaluated by the employee's physician or a physician selected and paid for by the City.
6. No employee shall report to work or remain at work if the employee tests positive for alcohol or if the MRO determines a drug test is positive.
7. An employee who has been convicted of a violation of a criminal alcohol or drug statute shall notify his or her department/division head no later than five days after such conviction. The City may impose appropriate disciplinary action, up to and including termination for the first offense.
8. A series of drug and alcohol tests are available to determine employee compliance with this DFWP.
9. If an employee refuses to participate in this program by refusing to take a required test, it is a violation of this policy and the employee is subject to discipline, up to and including termination.
10. If an employee voluntarily admits to his or her supervisor that they have violated this policy, prior to being notified that they need to take an alcohol or drug test, the employee will be required to take an evaluation and rehabilitation program as described below, using their own resources, before they can return to work. This self-initiated process will not count as a positive test.

11. If an employee fails to pass a drug or alcohol test, it is a violation of this policy, and the consequences are described below.

(i) Consequences For Violating DFWP Policy and Procedures.

1. Each employee who has engaged in conduct prohibited by this policy shall be advised by the City of the resources available to the employee in evaluating and resolving problems associated with the misuse of alcohol and use of controlled substances. These resources include the EAP and healthcare insurance plan that are available to full-time employees as personal resources.
2. For Alcohol Testing. Following the initial test that shows the blood alcohol content was at 0.02 percent or greater, a confirmatory alcohol test must be done within 15 to 20 minutes. If the confirming test shows a blood alcohol content of 0.04 percent or higher, the test is considered positive. The employee must be removed from, and cannot return to work until, at a minimum:
 - The employee undergoes and completes, using personal resources, evaluation, and when necessary, rehabilitation within ninety (90) days of the initial positive test;
 - A SAP determines and signs a statement the Return to Work Certificate Form, that the employee successfully complied the required rehabilitation and is fully able to return to work;
 - The employee undergoes return to duty testing, using personal resources, administered by a City designated testing facility with an alcohol concentration test result of less than 0.02 percent; and
 - The employee undergoes, using personal resources, follow-up testing after the return to work as directed by the SAP at a City designated testing facility, with a minimum of 6 tests in the first 12 months following the return to work.
 - An employee with an alcohol concentration of 0.02 percent or greater, but less than 0.04 percent, will not be permitted to return to work for a minimum of 24 hours and must undergo a return-to-work test from a City designated testing facility with an alcohol concentration test result of less than 0.02 percent within 48 hours of the initial test. For purposes of this alcohol testing, an alcohol concentration less than 0.04 percent shall not be deemed a positive test resulting in an automatic violation of this policy. In this case, full-time employees shall be placed on sick leave, some other form of paid leave other than injury leave, or administrative leave without pay if they have exhausted their paid leaves.
3. For Drug Testing.
 - Following a determination that an employee has misused controlled substances, as determined through testing, the employee will be removed from work until at a minimum:
 - The employee undergoes and completes, using personal resources, evaluation and, when necessary, rehabilitation within ninety (90) calendar days of the initial positive test.
 - A SAP determines that the employee successfully complied with any required rehabilitation, that the SAP completes a statement, Return to Work Certificate Form, indicating the employee is fully able to return to his/her job, and the employee, using personal resources, takes a return-to-work test with a verified negative test result at a City designated testing facility.

- The employee, using personal resources, undergoes follow-up testing administered by a City designated testing facility after the return to work as directed by the SAP, with a minimum of 6 tests in the first 12 months following the return to work.
 - Employees shall use sick, vacation, and personal leave; accrued comp time; or PTO while they are not at work during the above evaluation and rehabilitation programs. If an employee has exhausted all of his or her paid leaves, the employee may be placed on unpaid leave of absence.
 - Any employee governed by this policy who tests positive for alcohol and/or controlled substances after returning to work following an initial positive alcohol and/or controlled substance test shall be immediately terminated.
- (j) Review and Questions. All employees are required to sign the Acknowledgement of Drug-Free Workplace Program Policy and Procedures Form. Any questions regarding this policy should be directed to the Human Resources Administrator.

10.2 Harassment

- (a) The City is committed to maintaining a professional and collegial work environment in which all individuals are treated with respect and dignity. The desired standard of Moraine employee behavior is one of cooperation and respect for each other, despite any differences in race, color, sex (including gender identity, sexual orientation and pregnancy), age, national origin, genetic information, ethnicity, religion, disability, veteran/military status, or political affiliation. Harassment interferes with this desired environment because it is unwelcome and unwanted verbal or nonverbal (physical) conduct which threatens, intimidates, or insults another person, where such conduct has the effect of creating an offensive, intimidating, or hostile environment, or interferes with or adversely affects a person's work performance. The City will not tolerate harassment. Examples of conduct prohibited by this policy include using racial or ethnic slurs or offensive stereotypes and making jokes about these characteristics.
- (b) This policy covers all employees of the City, and Human Resources will review this policy with each employee when he or she in-processes to begin their City employment. The City will not tolerate harassment, whether engaged in by fellow employees or by supervisors, or by non-employees who conduct business with the City. All employees are prohibited from engaging in the harassment of any other employee or other person in the course of their employment or at City- sponsored functions.
- (c) Harassment does not include the conduct or actions of supervisors intended to provide employee discipline or correct problems through verbal warnings, written reprimands, performance evaluations, or other supervisory actions intended to promote positive performance.
- (d) Sexual Harassment. Sexual harassment includes unwelcome and unwanted sexual advances, requests for sexual favors, and other verbal or physical advances of a sexual nature. The City prohibits sexual harassment. It is City policy to fully support enforcement of state and federal anti-discrimination laws which provide that sexual harassment is prohibited where (1) submission to or rejection of such conduct by an individual is used as the basis for employment decisions (hiring, evaluating, promoting) affecting such individuals; or (2) such conduct has the purpose or effect of interfering with an individual's work performance or creating an intimidating, hostile, or offensive working environment.

- (e) Sexual harassment includes both unwelcome physical conduct (such as touching, blocking, staring, making or displaying sexual drawings, etc.) and unwelcome verbal conduct (such as sexual propositions, slurs, insults, jokes, etc.). Examples of sexual harassment include, but are not limited to, unwanted sexual advances; demands for sexual favors in exchange for favorable treatment or continued employment; flirtations, or advances; verbal abuse of a sexual nature; graphic, verbal commentary about an individual's body, sexual prowess or sexual deficiencies; leering; whistling; pinching; assault; coerced sexual acts; suggestive insulting, obscene comments, or gestures; and display in the workplace of sexually suggestive objects or images. An employee's conduct will be unwelcome and in violation of the policy when the employee knows, should know, or when a reasonable person would know it is unwelcome to the person subjected to it.
- (f) Hostile Environment. As to the hostile environment type of harassment, the same principles that apply to sexual harassment based on gender apply to harassment that is offensive on the basis of a person's protected status as referenced in paragraph (a). In each case, the issue is whether the conduct was unwelcome, was it abusive to the person affected by it, or was it severe enough to create a work environment that a reasonable person finds abusive or gross. To determine whether the behavior is severe enough to create a hostile environment depends on various factors: the frequency of the unwanted conduct, severity of the conduct (whether the conduct was physically threatening or humiliating, or a simple offensive remark), did the conduct unreasonably interfere with work performance, the psychological effect on the employee, and whether the harasser was a supervisor in the division.
- (g) Fraternization. Consensual romantic and sexual relationships between City employees are not expressly forbidden, but such relationships are considered very unwise because factors such as real or perceived power of one person over another may override claims of consent at a later date.
- (h) The City will investigate all allegations of harassment and encourages reporting of all incidents as soon as possible, regardless of who the offender may be. It is the right of all employees to seek, at any time, redress by the Ohio Civil Rights Commission, the Equal Employment Opportunity Commission, or through a court of law. However, employees are encouraged to exhaust the City's administrative remedies before consulting outside agencies.
- (i) Supervisor Responsibility. City supervisors are responsible for the elimination of any and all forms of harassment and intimidation of which they are aware. In any case in which the supervisor is witness to or confronted with a situation of harassment, the supervisor shall immediately notify the offending party that the harassment is not appropriate and will not be tolerated. In such a situation, ultimate disciplinary action will await completion of an investigation. A supervisor is required to report harassment cases to his or her department/division head, who in turn, is required to report the matter to the Human Resources Administrator. Such reports to superiors and to the Human Resources Administrator are to be made regardless of how knowledge of the case was acquired. The Human Resources Administrator will notify the City Manager of the complaint.
- (j) Responsibility to Report/Complaint Procedure.
1. All employees of the City are responsible for ensuring that discriminatory or harassing conduct does not occur in any form in the workplace.
 2. An employee, if they feel comfortable doing so, who experiences conduct believed to constitute harassment, should consider verbally telling the offending employee to stop the offensive behavior.

3. If for any reason, a person being harassed does not wish to approach the offender directly, or if such action proves unproductive, then the employee should immediately report the conduct to a supervisor, department/division head, the Human Resources Administrator, or the City Manager. If the accused offender is the City Manager, then the employee may contact the Law Director. If the report is made to a supervisor or department/division head outside the Human Resources department, the individual receiving the report shall promptly notify the Human Resources Administrator or the City Manager. No retaliation or other adverse action will be taken against any employee for making, in good faith, a complaint or report of harassment or discrimination or for assisting in the investigation of any such complaint or report. Any suspected retaliation or intimidation should be reported immediately to one of the persons described above. Deliberate false accusations made in bad faith will result in disciplinary action, up to and including dismissal.
 4. Upon the employee's request, the City may authorize its designee to counsel the alleged harasser or arrange for mediation between the victim and the alleged harasser.
 5. In some cases, the Human Resources Administrator, after consulting with the City Manager, will collaborate with the Law Department to investigate and submit to the City Manager a report setting forth the facts of the case and recommended action.
 6. All complaints will be handled in a timely and confidential manner. Anyone involved should not discuss the subject outside the investigation in order that the confidentiality of the employee who files a complaint will be protected and to encourage the reporting of any incidents of harassment, as well as to protect the reputation of any employee wrongfully charged with harassment. Confidentiality cannot be guaranteed, however, as applicable state and federal sunshine and freedom of information laws may make it impossible to maintain confidentiality.
 7. Investigation of a complaint will normally include conferring with the parties involved and any named or apparent witnesses.
 8. The results of the investigation and the nature of the disciplinary action will be communicated by the City Manager's Office or Law Department to both the complainant and the offender as well as the affected department/division head or City Council, if appropriate. Either party may appeal the decision through the normal grievance procedure if it is felt the findings were incorrect or the disciplinary action inappropriate.
- (k) Sanctions for Harassment. Individuals found to have engaged in misconduct constituting harassment shall be disciplined. The City's response as a minimum will include a written reprimand. Additional action may include referral to counseling, withholding of a step increase or promotion, reassignment, suspension without pay, or termination.
- (l) The Human Resources Administrator shall maintain written records of each harassment complaint and how it was investigated and resolved.
- (m) The City has developed this policy to ensure that all employees can work in an environment free from all forms of harassment. This policy will immediately be disseminated to all employees and the City will provide it to all new employees in the future. All supervisory personnel are responsible for implementation of this policy. Failure to do so will be considered a failure to fulfill all of the obligations of their position. When a supervisor fails to act as required by this policy, that supervisor may be exposing him or herself to personal liability for the consequences of such inaction.
- (n) The City will conduct informational sessions concerning this policy, to ensure that all employees understand the City's commitment to elimination of harassment and are familiar with the policy and of the remedies available to them.

- (o) Employees and supervisors shall use the Review of City's Harassment Policy Form, to annually review this policy, preferably during an employee's annual performance evaluation.
- (p) Information or questions concerning this policy may be obtained from the Human Resources Administrator.

10.3 Expenditure Of City Funds

- (a) City employees will not purchase items without department/division head approval and without an approved purchase order.
- (b) All City purchases will be documented by receipt, invoice, contract, or other written form.
- (c) Department/division heads are responsible for controlling their respective budgets and ensuring that line items are not over expended, for making necessary budget adjustments, and for effectively carrying out approved programs. Failure to comply with department/division head directions or policy is a violation of this policy.
- (d) Any violation of (a), (b), or (c) will be treated as failure to account for public funds, with the appropriate disciplinary action up to and including termination.

10.4 Use of City Equipment & Property

- (a) When City equipment and property (tools, supplies, telephones, computers, uniforms, purchasing cards, official files, and other items) are provided to employees, it is the responsibility of supervisors and employees to see that the equipment is properly used, maintained, and returned to the City when the employee separates. Departments and divisions shall keep accurate records of City equipment and property issued to employees. See sample City Equipment and Property Form for recording equipment and/or property.
- (b) Misuse, neglect, theft, and abuse of City equipment is prohibited. Accidents involving misuse (or abuse) of equipment shall be cause for disciplinary action. If an employee loses tools or damages equipment, he or she may be required to pay for those items lost or damaged, at the discretion of the department/division head with City Manager's approval.
- (c) When using the telephone or computer e-mail, employees are expected to communicate in a professional manner that will reflect positively upon them and the City. Employees should be aware that e-mail messages, whether transmitted through the internal network or via the Internet, are generally public records subject to disclosure in the same manner as any other memorandum, letter, or document.
- (d) Excessive personal use of telephones, or long distance telephone calls for other than business or emergency purposes without prior supervisory approval, may result in disciplinary action.
- (e) City computers (software and hardware) are only for business use. Employees will not change the basic computer configuration or install non-City software on their computers without proper approval. Employees shall not store personal data on City computers and shall not place passwords or other access limitations on the computer unless the Manager of Information Technology or the City Manager authorizes such limitations, and upon request, the employee shall promptly disclose the password to enable access. Excessive personal use of computer e-mail for other than business purposes may result in disciplinary action.
- (f) Employees may not share with others their assigned passwords for computers, Internet, or internal network access or other authentication devices, except as directed by their supervisors or as required by the Manager of Information Technology. An employee who believes that others have learned a password or who otherwise believes that the security of the City's

computers or networks have been compromised in any way, must immediately notify his or her supervisor and the Manager of Information Technology.

- (g) City employees will limit their use of Internet for personal purposes, so as not to interfere with their work responsibilities. Employees will not download software from the Internet, nor will they circulate external e-mail messages via the internal e-mail system unless the message relates to City business. Circulation in the workplace of indecent material or material that ridicules or offends a reasonable person on the basis of sexual content or race, color, religion, ethnic or national origin, age, disability, or any other personal characteristic not related to job performance, is strictly prohibited. Employees who originate, forward, or circulate such material in the workplace are subject to discipline, up to and including termination.
- (h) Employees may not use either the internal network or the Internet in a way that violates the law or the property rights of others, including infringement of copyrights, trademarks, licenses, other protected property rights, or disseminating or receiving proprietary data, trade secrets, or other confidential information of the City or any other person or company. This prohibition is particularly important when downloading programs or copying other information from the Internet. If material is copyrighted or otherwise protected, you must request the permission of the owner before downloading or copying that information.
- (i) Employees may not use City computers or connections to the internal network or the Internet to gain unauthorized access to any computer system (known as "hacking"), to create or spread computer viruses, or to otherwise disrupt or damage the computers or data of the City or any other person, office, or company.
- (j) All messages created, sent, or retrieved over the Internet or electronic mail are the property of the City, and should be considered public information. The City reserves the right to access and monitor all messages and files on the computer system as deemed necessary and appropriate. Internet and electronic mail are public communications and are not private. All communications including text and images can be disclosed to law enforcement or other third parties without prior consent of the sender or the receiver.
- (k) To prevent the introduction of viruses into the City computer system, employees will not open e-mail from unknown sources.
- (l) City bulletin boards are for official business purposes. Department/division heads shall ensure these bulletin boards are maintained accordingly.
- (m) Certain City employees may be required as a condition of employment to furnish their personally owned tools for use in performing their required job duties and responsibilities.
- (n) Employees authorized to use City purchasing cards will sign a purchasing card agreement that explains their purchasing card responsibilities. Failure to comply with this agreement may result in loss of their purchasing privileges and disciplinary action.
- (o) Prior to separation from City service, employees shall return all City equipment and property issued or provided to them, and this information will be enclosed with the Employee Separation Form.

10.5 City Vehicles

(a) Use of City Vehicles Or Use Of Personal Vehicle For Business Purposes.

1. Use of City-owned motor vehicles shall be strictly controlled by the City Manager and shall be restricted only for business purposes. The City Manager may authorize an employee to drive a City vehicle to and from home.

2. Employees operating a motor vehicle must have a valid motor vehicle operator's license, chauffeur's license, or commercial driver's license (CDL), appropriate to the vehicle. Employees shall notify their department/division head if any of their operator licenses are suspended, revoked, or expired, and the employee is required to drive a City vehicle or use their personal vehicle for business purposes.
3. Department/division heads will check the accuracy of driver's licenses for all newly hired employees. The City may periodically check the validity of employee's driver's licenses and their driving records. An employee who has an unacceptable driving record will not be permitted to drive a City vehicle or use their personal vehicle for business purposes until notified by their division/department head.
4. Employees are required to use privately owned vehicles or public transportation for purposes of getting to and from work. City vehicles are not to be used for this purpose unless explicitly authorized by the City Manager.
5. Any employee who operates a City vehicle shall exercise caution, responsibility, and adhere to all laws and safety regulations including use of seat belts for driver and passengers and speed limits. Reckless, careless, or other inappropriate operation of vehicles may result in disciplinary action, to include paying the City for the vehicle damage if the employee is at-fault.
6. Emergency road services, towing, and repair charges which occur while away from the City, and are directly chargeable to the operator of the vehicle, are reimbursable to the City employee, provided the employee provides appropriate receipts.
7. Traffic fines and arrests for illegal or improper use of automobiles are the sole responsibility of the employee, and may subject the employee to disciplinary action.
8. Any accident involving City vehicles must be immediately reported to the Police Division having jurisdiction at the accident scene and to the department/division head. Damaged vehicles should not be removed from the accident scene until the police arrive to investigate the accident. If the damage is minor, and the vehicles can be safely moved to the side of the road in order to relieve traffic congestion, the vehicles can be moved to the side of the road.
9. Minor employees (16- and 17-year-olds) are NOT allowed to drive full-size City vehicles: cars, pick-up trucks, etc. Minor employees may drive smaller vehicles: golf carts, gators, etc., ONLY inside City parks, but not on regular highways or roads.
10. For insurance purposes, the City maintains insurance coverage on bodily injury and property damage to other vehicles and their occupants.
11. Employees who use a personal vehicle for business purposes must have proof of liability insurance in the amount of \$100,000 per person and \$300,000 per accident.

(b) Individual Assignment of City Vehicles.

1. Permanent assignment of a City vehicle to an individual including the right to regularly drive a vehicle home after work can only be authorized by the City Manager and shall be done in writing.
2. A list of employees who are authorized to regularly drive a City vehicle home after normal work hours shall be maintained by the City Manager. Each department or division shall provide the City Manager with current information identifying the specific vehicles permanently assigned. Additional permanent assignment of vehicles shall be requested by the department or division head in writing, outlining the specific justifications for such request.

3. Each City vehicle is normally assigned either to one or to a very limited number of persons. Although this assignment establishes responsibility for maintenance of the vehicle, it does not limit its usage. Therefore, an employee who is not assigned to a vehicle but who finds himself in occasional need of transportation to carry out duties may locate an appropriate available vehicle and obtain keys from the person to whom the vehicle is assigned.

(c) Responsibility for City Vehicles.

1. The City garage shall have responsibility for maintaining the City's fleet in conjunction with the designated person within each division. A complete inventory shall be maintained on the City's fleet, including a repair and maintenance log necessary for the proper monitoring of the use, cost and proper performance of such vehicle.
2. The repair and maintenance of all City vehicles shall be the responsibility and authority of the City garage. The City garage shall repair and maintain all City vehicles in a cost effective manner and shall monitor the performance of vehicles and advise the appropriate division representative of those vehicles that perform below standard.
3. Each department or division head shall be responsible for efficiently managing vehicles assigned to their department or division. The acquisition and continuation of City vehicles by departments shall primarily be a management and budgeting decision, made in accordance with an evaluation of departmental needs.

10.6 Employment- Related Reference Checks

- (a) All requests for a reference for a current or former employee, whether in writing or by telephone, must be referred to the Human Resources Administrator. No other employee, except the City Manager's office shall respond to any request for a reference or other information regarding the work performance of a current or former employee, except as authorized by the City Manager.
- (b) No reference shall be given for a current or former City employee unless the person who is the subject of the reference request executes the following release or its substantial equivalent:
 - 1) I hereby authorize the City, its officials, employees, and agents, or any other person, to provide [Name of Employer Requesting Reference] and any other person or entity any and all information and opinions about me, and I release the City, its officials, employees, agents, and all other persons and entities from any legal liability whatsoever for furnishing such information or opinions.
- (c) Candidates for employment with the City for whom the City is checking references should be asked to sign the following release:
 - 1) I authorize any person, school, current or past employer, and any other person or entity to provide the City [Name of Office or Department/Division] with any and all information and opinions about me, and I release all such persons and entities from any legal liability whatsoever for furnishing such information or opinions.
- (d) In order to avoid unnecessary risks of liability, all questions regarding the scope of any release or response to a reference request should be reviewed with the Human Resources Administrator.
- (e) This provision does not apply to requests for personal references not relating to employment with the City, but such requests must be directed to and responded to at the employee's home address and telephone number.

10.7 Solicitation & Distribution

(a) Definitions. For purposes of this policy, the following definitions apply:

1. City. – The City of Moraine.
2. Distribution. – An act of distributing goods, materials, or written materials.
3. Employee. – Any person employed by the City in any status.
4. Employer. – The appointing authority, department/division head, or any designee having direct authority over City employees.
5. Non-Work Area. – Any area on or off City premises not designated as a work area.
6. Non-Work Time. – Any time during an employee's work day when the employee is totally relieved of work duties, such as break time and lunch or meal time. Whether an employee is in paid or unpaid status during these times is non-relevant to the designation of non-work time.
7. Solicitation. – An act of requesting an individual to purchase or accept goods, materials, or services, or a plea for a financial contribution.
8. Vendor. – Any individual or group engaged in or desiring to engage in the supply of goods, materials, or services to the City and its employees, which goods, materials, or services are utilized in the conduct of public business.
9. Work Area. – Any office, building, or physical location where official City business is transacted, or operations of the City are being conducted. This includes any public or private area where employees are engaged in work activities.
10. Work Time. – All the time when an employee's duties require that he or she be engaged in work tasks, but does not include an employee's own time, such as meal periods, scheduled breaks, and time before or after a work shift.

(b) This policy is designed to protect the interests of the citizens of Moraine by ensuring that only official City business is transacted in City work areas during employee work time.

1. Non-employee Solicitation and Distribution. There shall be no solicitation or distribution by non-employees at any time on City premises, including offices leased or operated by the City or in any work area. This policy does not apply to vendors as defined in subsection (a) of this section.
2. Employee No-Solicitation Rule. There shall be no solicitation by any employee during working time. Employees may solicit other employees during non-working times in non-working areas.
3. Employee No-Distribution Rule. There shall be no distribution during working time or in non-working time in work areas. Employees may distribute or receive goods and written materials during non-working time and in non-working areas.
4. Employer's Responsibility. It is the responsibility of the City Manager or designee to determine rules for the enforcement of this policy, and to define work time and non-working time and work areas and non-work areas.
5. Exceptions. The only exception to this policy is solicitation and distribution for City sponsored events, which are related to the business functions of the agency, or employer-approved programs related to employee health, fitness, and wellness.

10.8 City Gambling Policy

- (a) City Gambling Definition. Gambling is establishing, facilitating, promoting, or operating a game of chance that is conducted for profit. A game of chance is a game whose outcome is randomly determined through some medium or device such as playing cards, dice, roulette wheels, or numbered balls; or placing bets on sports events. In such a game, participants bet or wage money based on the hope of winning something in return. If someone other than the winner of the game is receiving money for establishing, facilitating, promoting, or operating a game of chance, then the game is being conducted for profit – and it is a Group III Offense.
- (b) In consideration of the various laws on gambling, the City definition of gambling, and employee morale, the City permits office sports pools. Such a pool will not be conducted for profit and will not in any way interfere with the position duties and responsibilities of employees. Sports pools will not be conducted during work hours and will not be used in City equipment such as computers and printers. Sports pools do not include placing bets on horse races or sports events, or group purchasing of lottery tickets. Department/division heads shall halt such office pools when they determine the office pools interfere with City operations.

SECTION 11. EMPLOYEE PERFORMANCE

11.1 Training

- (a) Training Program Evaluation. The appointing authority or designee may periodically examine current and proposed training programs in order to ensure their relevance to both the individual employee and organizational training needs.
- (b) On-the-Job Training (OJT). On-the-job training prepares an employee to effectively perform the responsibilities required of his or her position. It allows the employee to learn his or her job duties, correct procedures, and expected performance levels, under the immediate direction of an experienced worker. The conduct of such training is the responsibility of supervisors under the direction of the appointing authority.
- (c) Employees may be required to attend job-related training programs, courses, workshops, and seminars. If the appointing authority requires such training, the City shall pay for the fees and expenses of the training.

11.2 Employee Recognition

- (a) The City recognizes meritorious service through various programs. It is the responsibility of each department/division to oversee these programs and make recommendations to the City Manager.
- (b) Service Pins. These pins are awarded to employees based on the number of years of paid City service. Temporary, seasonal, and part-time years of service count toward this award, and it is based on accumulated (not continuous) years.
 - 1. Time served in the military, while away from City service, does not count toward accumulated City time.
 - 2. Service pins are presented for 5, 10, 15, 20, 25, 30, 35, and 40 years of service.
- (c) Employee Recognition. Any such award or acknowledgement that recognizes a full-time or part-time employee who consistently achieves excellence in his or her performance within their years of service.

- (d) Retirement Awards. Regular, full-time employees who retire from the City may be recognized by an appropriate award.

11.3 Citizen or Employee Complaint Policy

- (a) The purpose of this Complaint Policy is to ensure that the integrity of the City is maintained through an internal system where objectivity, fairness, and justice are assured by intensive and impartial investigation to:
1. Clear the innocent,
 2. Establish guilt of wrongdoers,
 3. Facilitate prompt and just disciplinary action,
 4. Identify defective policy, procedures, training, or equipment.
- (b) Emphasis will be placed on the positive approach rather than the negative or the punitive aspects of discipline. This policy is based on the premise that discipline is a function of administration, and a well-managed work force is one that voluntarily and ungrudgingly conforms to all City policies and regulations.
1. Employees shall be advised of expected job behavior, and the types of conduct the City has determined to be unacceptable behavior.
 2. Immediate attention shall be given to infractions. Written complaints shall be filed in a timely manner. No complaint will be accepted for more than 30 days following the alleged event unless the circumstances prevented timelier reporting.
 3. Each offense shall be dealt with as objectively as possible.
- (c) Responsibility. A complaint, or otherwise driven investigations of violations shall be first the responsibility of the department or division head of the staff member involved. Should the complaint involve an employee under the direct supervision of the City Manager, or if the City Manager determines the nature of the complaint would be most effectively handled by the City Manager, or his designated representative, then the City Manager may direct or conduct the investigation.
- (d) Violations and Complaints. All alleged or suspected violations of Law, Ordinances, or City Rules, Regulations and Orders will be investigated. This shall include complaints reported to supervisory or administrative personnel by citizens, or other City employees, and those violations observed by supervisors and co-workers.
1. Supervisors shall have the responsibility of investigating alleged violations or employee misconduct by members subordinate to their position.
 2. Supervisors do not need to seek higher authority to initiate investigations when the employee's violation is within the scope of their own responsibility and authority, except when the nature of the complaint would require investigation by the Office of the City Manager.
 3. Supervisors shall have the authority to issue verbal reprimands, written reprimands, and to place an employee on paid leave of absence if the situation warrants immediate response per the City Manager.
 4. Allegations that upon initial investigation appear to be substantiated by fact, and if true, would constitute a criminal act under law, will be investigated by the Police Division in consultation with the Office of the City Manager.

5. The Office of the City Manager retains the right to investigate any area of behavior that may threaten the integrity or effectiveness of the City.
6. If the complaint involves only the citizen's contention that he or she is innocent of a charge placed against him by a police officer, then the citizen shall be advised to seek judicial redress through established court procedures.
7. If a complainant refuses to sign the affidavit or other paperwork stating the alleged violation, the complainant shall forfeit his rights to an investigation. However, the City Manager shall, if the allegations are of such a nature that further investigation is warranted, investigate the allegations.

(e) Procedures.

1. All formal written complaints must be investigated. This includes:
 - Those violations reported to supervisors by City employees and Citizens (including prisoners) in person, by telephone, or by correspondence.
 - Those violations observed or suspected by supervisors or co-workers.
 - Any alleged or suspected breach of integrity or case of immoral conduct from whatever source it may be reported or developed.
 - Any situation where an employee has been injured or killed by the willful or deliberate act of another person.
 - Any situation in which a citizen has been injured or killed by an employee, whether on duty or not.
 - Assisting any employee of the City by investigating cases of personal harassment, threats, false accusations, or contrived situations that may be harmful to him/her.
2. Incidents excluded:
 - Personal written complaints filed more than 30 days after alleged incident, with the following exceptions, when the act complained of is a criminal violation in which case the criminal statute of limitations will prevail, or the complainant can show good cause for not making the complaint earlier.
3. Initial fact finding into investigations will be the responsibility of the immediate supervisor of the employee. If the employee's supervisor is not on duty at the time the complaint is received, the designated supervisor will record the initial complaint information and forward that report to the proper supervisor. If after initial fact finding, it appears the investigation may be criminal in nature, or due to its complexity, the investigation will be immediately reviewed with the office of the City Manager for further guidance.
4. Each supervisor will assume the duties and obligations of his position in the investigation of all areas of employee behavior under his/her purview in an effort to discover inefficiency or misconduct at its earliest stage. He/she does not need to seek higher authority to initiate investigations when the complaint is within the scope of his/her own authority and responsibility, except when the complexity of the case requires City Manager assistance.
5. When there are indications that the person complained against has been drinking and/or is under the influence of drugs, the person will immediately be required to submit to visual observation, the results of which will be formally recorded, and followed by a test for alcohol or drug use, if necessary. The lapse of time expressed in minutes between the initial report or observations of the person's condition and the intoxication tests will be accurately recorded.

6. When the investigation is completed, the final report will be submitted initially to the City Manager and will conclude with the classification of the case into one of the following categories:
 - Unfounded – allegation is false or not factual.
 - Exonerated – incident occurred and was lawful and/or proper.
 - Not Substantiated – insufficient evidence either to prove or disprove the allegation.
 - Sustained – the allegation is supported by sufficient evidence to justify a reasonable conclusion of a violation of law or City policy and regulations.
7. When the investigating person determines that the allegation is sustained, the level of the offense must then be considered. The examples of Group I, II, and III offenses, described in the Discipline and Grievances Section of this Manual, are characteristic of offenses or infractions that have been historically judged to be of such nature so as to warrant those penalties established for each group. The offenses included are to be used as examples and are not meant to be an exhaustive list of possible offenses or disciplines.
8. Once the investigation is complete, the investigation shall be submitted as a formal report. Within this report, the investigator shall identify the allegation, the finding (unfounded, exonerated, not substantiated, or sustained), and any recommendations for changes in policy, procedure, training, or equipment.
9. The employee is entitled to grieve the findings of the investigating supervisor and/or the City Manager. All grievances will follow the appropriate protocol.
10. The City Manager recognizes that administering impartial and appropriate discipline is an important purpose of his office, and that the initiation of disciplinary action should be at the lowest level of supervision.
11. The City Manager's Office will:
 - Maintain a file of all current investigations.
 - Critically examine all areas of employee functions wherein may lie a threat to the integrity of effectiveness of the City.
 - Make an investigation at the request of any City employee who feels threatened in any manner. Such persons are authorized to report his or her situation directly to the City Manager without reporting to their supervisors.
 - Give assistance to investigators engaged in a disciplinary investigation.
 - Direct the preparation of all documents necessary for disciplinary proceedings.
12. On direct receipt of an alleged violation, the City Manager may refer it to the supervisor of the accused directly or may make an independent investigation of the complaint.
13. The City Manager may review all disciplinary investigation reports received or prepared by the supervisors for the:
 - Thoroughness and promptness of the investigation and the completeness of the reports in order to discover failures or deficiencies in the investigation or the reports that in turn deserve disciplinary investigation.
 - Need for providing advice, suggestions, or assistance to the person in charge of the investigation.
 - Desirability of taking charge of the investigation.

- Suitability of the classification of the case.
- (f) Investigations conducted by personnel other than supervisors will conform to the foregoing provisions of this order as they are applicable.
- (g) Confidential Nature of Investigation and Files. Mistaken or even deliberately false reports and accusations may be made against employees. In some instances, the most conscientious and hard-working employee will be the subject of such reports.
1. In order to ensure the integrity of the City, it is necessary to investigate completely and thoroughly all reports and accusations from all sources. This must be done. At the same time, the reputations and good names of innocent employees must be protected. This is important to morale, and to the effectiveness of the City operations. All investigations will be regarded as confidential, and the City Manager will retain the investigation files.
- (h) Administrative Leave With Pay. Any supervisor may impose administrative leave with pay for a member or employee when it appears that such action is in the best interest of the division.
1. Any person placed on leave shall be instructed to contact the Office of the City Manager at 9:00 a.m. on the next business day, unless circumstances dictate a different course of action, and is approved by the City Manager.
 2. The supervisor imposing or recommending the suspension will report at the same time, unless circumstances dictate a different course of action.
 3. Final City authority and responsibility rests with the City Manager. The City Manager retains the prerogative and right to sanction or withhold any disciplinary action until such time as any appeal has been concluded.
- (i) Employee's Rights During an Internal Investigation.
1. Requirements of Formal Complaints Against Employees.
 - Formal complaint statements must be in writing and signed by the person filing the complaint. Complaints in affidavit form are not required, but all signed letters will be verified to ensure that they are not fictitious.
 - The person making the complaint must have firsthand knowledge of the incident.
 - A written and signed letter from a supervisor, or from another employee who is aware of the facts, may fulfill the requirements of a complaint.
 2. Employee Required to Answer Questions.
 - An employee can be required to answer questions relating to his/her duties, and he/she can be disciplined, up to and including termination, for refusal to answer such questions.
 - Any required statements can be used against the employee in a disciplinary action or in an administrative proceeding. However, these required statements would be inadmissible in subsequent criminal action.
 - Voluntary statements or confessions made by an employee while being questioned would be admissible in criminal proceedings.
 - When an employee is ordered to submit to a polygraph examination, the information gained cannot be used against the employee in any subsequent criminal proceedings. Disciplinary action, including termination, may be taken against the employee if he/she refuses to take the polygraph examination or fails to answer truthfully.

- Polygraph questions asked during the course of the examination will pertain only to the investigation and said questions will be narrow in scope.

3. Counsel at Internal Investigations.

- An employee will be permitted to have counsel present during an interview pertaining to an investigation of a non-criminal matter.
- An employee will be permitted to have counsel present during any interview pertaining to an investigation of a criminal matter.
- For the purposes of this section, counsel will refer to legal counsel or union representation.

4. Special Tests.

- An accused employee may request a breath test, a urine test, a psychological test, or a polygraph test if he/she believes such a test would be beneficial to his/her defense. Also, the City may require such tests upon the direction of the City Manager.
- A supervisor is required to direct an employee to submit to a breath or urine test when the supervisor has reasonable suspicion that the employee is under the influence of alcohol or drugs while at work.

5. Confidentiality of Reports.

- All complaint investigation reports are confidential and will not be released to unauthorized persons.

6. Interview Rights.

- All interviews will be conducted while the employee is on duty, if possible.
- The interviews will be held at the Municipal Building, or at a location designated by the City Manager.
- During the interview, there will be one individual designated as the interviewer, and only he/she will ask the interviewee(s) questions.
- Prior to answering any questions, signing a statement or participating in an identification lineup, the accused employee shall be informed of the allegations made against him/her and (when applicable) receive a copy of the original complaint and a statement of charges.
- The accused employee being interviewed shall not be subjected to offensive language or threatened with transfer, disciplinary action, or termination. No promise or reward shall be made as an inducement to answer any questions.
- Once an employee has been officially charged, all further interviews or interrogations shall be electronically recorded. Any interruptions will be duly noted and any relevant discussions transpiring during breaks will be summarized, transcribed on the tape recorder, and verified for accuracy by the accused.

SECTION 12. PERFORMANCE MANAGEMENT

12.1 General Performance Expectations

(a) Expectations

1. All employees shall maintain sufficient competency to properly perform the duties of their position. Employees of the City shall perform their duties in a manner which will maintain the highest standards of safety and effectiveness in carrying out the mission, objectives, and functions of the City.

(b) Public Service

1. The City takes pride in providing courteous and effective service to our residents, businesses, and visitors. We depend on all of our employees to maintain this high level of public service. The City welcomes comments – positive or negative – on our performance of these services. Some people may want to discuss an issue or complaint in person. In that case, employees should refer the person to their division head, or have the person fill out the Community Action Form. Citizens, businesses, and visitors can provide their comments online via the City web site using the Community Action Form or complete the form at the City Municipal Building. This form will be routed to the appropriate department/division for a response, with a copy provided to the City Manager's Office. If someone needs a personal response to their submitted form, he or she will need to provide their name and phone number. If a formal written complaint is necessary, it will be investigated in accordance with the Citizen or Employee Complaint Policy.

(b) Effective Employee Performance

1. The City's ability to provide courteous and effective public service depends directly on individual employee performance, the ability of department/division employees to work together, and then divisions cooperating to complete City projects. The City motto, Progress Through Unity, sums up our commitment to a safe, service-oriented City. The City performance expectations for employees start with their position description and then other City and department/division guidance, such as this Manual. Supervisors and managers are responsible for building on this basic guidance to develop staff and divisions that are capable of accomplishing the City's services.
2. It is inevitable that disagreements or other problems will occur between co-workers. When these situations occur, employees are encouraged to talk to their supervisors to try to resolve the matter before the situation becomes worse. A personal discussion or if necessary, mediation through a neutral source such as the Human Resources Administrator or Employee Assistance Program can also be helpful. If a formal written complaint is necessary, it will be investigated in accordance with the Complaint Policy.

(c) Unsatisfactory Performance- includes, but is not limited to, the following behavior:

1. Lack of knowledge of City policy and procedures.
2. Unwillingness or inability to perform assigned tasks.
3. Failure to conform to established work standards.
4. Failure to take appropriate action that is within the employee's responsibility.
5. Absence without leave.
6. Excessive tardiness or absenteeism.
7. Repeated poor performance evaluations.

8. Written record of repeated infractions of rules, regulations, directions, or orders.
9. Lack of energy in performance of duties.
10. Carelessness in performance of duties.
11. Violation of safety rules and procedures.
12. Damage to City property or equipment due to negligence.
13. Injury to co-workers or public due to negligence.
14. Failure to assist citizens in a courteous manner within established City policy.
15. Gambling in any form by City employees during work hours or on City property at any time.
16. Failure to maintain effective working relationships with other employees.

12.2 Performance Evaluation

- (a) A written performance evaluation provides supervisors with an effective mechanism to measure and communicate positive and negative aspects of job performance to their employees. It provides the employee with documented, constructive feedback concerning current job performance. A documented performance evaluation serves as a basis for important management decisions regarding training needs, assignments, pay increase, promotion, and retention of employees. The work performance of each regular employee shall be evaluated in accordance with these established procedures.
- (b) Employees serving initial or promotional probationary periods normally receive two probationary evaluations during their period of probation. The first evaluation is completed halfway through the probationary period; the second evaluation is completed no later than 10 days prior to the completion of the probationary period. Should a newly hired employee be given a probationary removal before the end of his or her probationary period, a final evaluation is made at the time of the removal. In such case, the reason for removal is simply stated "employment terminated during probationary period."
 1. Each City employee is normally evaluated annually within 30 days after the anniversary date of his or her employment or position appointment (if transferred or promoted), or at some common date if so established.
 2. Prior to writing the performance evaluation, the supervisor should discuss the performance period with the employee in order to gather facts for use in the evaluation.
 3. The employee's supervisor completes the performance evaluation form and reviews it with the department/division head or City Manager as appropriate. The department/division head will sign the form after he or she has reviewed it, and before the evaluation is discussed with the employee.
 4. Each employee receives a copy of his or her performance evaluation. The supervisor or other designated representative shall discuss the evaluation with the employee and counsel the employee regarding both the employee's strengths and weaknesses. The performance evaluation should be objective by relating it to the employee's position description duties and responsibilities and other assigned (and documented) tasks that occurred during the period.
 5. Performance goals should be established and documented by both supervisor and employee, in order to give all concerned a clear expectation of their duties and responsibilities, plus improve performance in areas that may have been weak during the evaluation period.
 6. After the employee signs the evaluation form, the original is sent to the Human Resources Administrator for final approval with the City Manager.

7. If after six (6) months in an annual evaluation period, an employee transfers to another department or division, a performance evaluation should be written to cover that period of time.
8. An appointing authority may establish a special, alternate schedule for evaluations if necessary.
9. Regular full-time employees dissatisfied with their performance evaluations may seek reconsideration through use of the grievance procedure. Such appeal rights shall not apply to employees serving in a probationary period.
10. No employee shall receive a step increase if he or she has received an overall rating of "unsatisfactory" in the most recent performance evaluation, provided that such evaluation has been completed in a timely manner.
11. If necessary, the department/division head shall submit a step increase request on the Employee Action Form with the performance evaluation in order to adjust the employee's salary.
12. Supervisors and employees shall also use Review of City's Harassment Policy Form, to review this policy at the time of the performance evaluation.

SECTION 13. DISCIPLINE AND GRIEVANCES

13.1 Disciplinary Principles & Applicable Law

- (a) The City believes that a clearly written discipline policy will minimize potential misunderstandings among employees in disciplinary matters. Accordingly, certain basic principles must consistently be applied in order to effectively and fairly correct unsatisfactory performance. Suspensions, demotions, and terminations of all employees shall be implemented by the City Manager as the appointing authority.
- (b) Counseling employees which can simply be talking to each other is an excellent means to mentor, teach, relieve stress, and reduce the occurrence of potential disciplinary situations. In the manager-subordinate relationship, counseling should not be considered a type of disciplinary action, but rather a means to improve communication.
- (c) An employee may be disciplined for incompetency, inefficiency, dishonesty, alcohol/drug abuse, immoral conduct, insubordination, neglect of duty, conviction of criminal charges, misfeasance (improper performance), malfeasance (misconduct), or nonfeasance (failure to act), violation of City policies and procedures, discourteous treatment of the public or other employees, willful failure to pay just debts, any failure of good behavior, or an accumulation of minor infractions. Employees are responsible for notifying their division head if they are arrested for a misdemeanor or felony criminal offense. The above list is non-exhaustive.
- (d) Degrees of Discipline. The City subscribes to the basic tenets of supervisors documenting and administering progressive, corrective discipline. The standards set forth herein are designed to be guidelines and the City reserves the right to employ an appropriate level of discipline in each and every case. The following may be considered in determining appropriate discipline:
 1. Seriousness of incident (infraction)
 2. Circumstances surrounding the incident (infraction)
 3. Employee's past disciplinary record
 4. Employee's past work performance

5. Overall negative impact of incident on the organization
 6. Probability of future similar infractions
 7. Other pertinent factors
- (e) The following types of disciplinary action may be administered, in accordance with the provisions of this regulation and subject to applicable collective bargaining agreements:
1. Verbal Reprimand. This is a reprimand to discuss the cause of an infraction/incident, what was done improperly, and recommendations to hopefully prevent further incidents. See the Record of Verbal Warning Form.
 2. Written Reprimand. This disciplinary action is designed to address violations of a minor nature, such as inability to work harmoniously with other employees and infractions of minor safety rules. See the Record of Written Reprimand Form.
 3. Suspension Without Pay. This disciplinary action should be used for repeat violations of a minor and/or intermediate nature, for significant violations, such as abuse of leave, fighting or unauthorized use of City property, and for initial infractions of important or major safety rules. Suspensions shall be for no less than one day (eight hours). Three-day (24 hours) suspensions and ten-day suspensions should follow principles of corrective discipline. Suspensions of greater than 10 days should normally not be used except for extenuating circumstances. A 10-day suspension would typically be followed by termination for the next related disciplinary incident. See the Record of Suspension Form.
 4. Demotion to Lower Classification. This disciplinary action is most appropriate when the employee has demonstrated an inability to perform the responsibilities and duties of the position. Failure to pass probation in a promotional position is not a disciplinary event.
 5. Termination. This disciplinary action is appropriate for chronic violations of an intermediate nature, multiple violations of a significant nature, infractions of major safety rules, initial violations of a major nature, such as failure to report to work for three consecutive days, harassment, theft of City property, or reporting for work under the influence of drugs or alcohol, and in circumstances where progressive discipline has failed to correct the behavior requiring discipline.
- (f) Disciplinary action should be started at the immediate supervisory level, if the problem is first noted at that level. Written reprimands will be reviewed by the department/division head prior to being given to the employee. Department/division heads will recommend disciplinary action to the City Manager for suspension without pay, demotion to a lower classification, and termination of an employee. Serious or questionable disciplinary cases should be discussed with the City Manager prior to making a recommendation.
- (g) Under Ohio civil service law, employees in the classified service who have successfully completed their probationary periods have tenure during good behavior, and may be reduced in pay or position, suspended, or removed from employment only for incompetency, inefficiency, unsatisfactory performance, dishonesty, drunkenness, immoral conduct, insubordination, discourteous treatment of the public, neglect of duty, violations of such sections Ohio Revised Code, any other failure of good behavior, or any other acts of misfeasance, malfeasance, or nonfeasance in office. (ORC 124.34) In other words, classified employees who have successfully completed their probationary period may only be disciplined for just cause.
- (h) Unclassified employees serve at the pleasure of the appointing authority, and may be removed at any time, with or without cause.

- (i) A copy of all disciplinary actions shall be sent to the Human Resources Administrator for inclusion in the employee's personnel file.
- (j) An employee may request, through his or her division head, the expungement (removal) of a disciplinary action from his or her personnel file based on the time periods indicated below and if no related disciplinary offense has occurred:
 - 1. Verbal reprimand, after 6 months.
 - 2. Written reprimand, after 1 year.
 - 3. Suspension, after 2 years.
- (k) The City has adopted the following groups of offenses to generally describe disciplinary action for unsatisfactory performance of duty, and how such performance affects the City. The examples are characteristic of those offenses, historically judged to be of such nature to warrant those disciplinary actions established for the group. The examples are not all-inclusive, and the City reserves the right to determine appropriate disciplinary action.
- (l) GROUP I Offenses cause a temporary or minor negative impact on City productivity, effectiveness, and/or morale. Some examples of Group I Offenses are:
 - 1. Discourteous treatment of the public or a co-worker.
 - 2. Failure to "report off" work for any absence.
 - 3. Failure to commence duties at the beginning of the work period, returning late from a break period, or leaving work prior to the end of the work period, i.e. being tardy.
 - 4. Leaving the job or work area during the regular working hours without authorization.
 - 5. Making preparations to leave work without specific prior authorization before the lunch period, or for any official break time, or before the specified quitting time.
 - 6. Leaving post of continuous operations position prior to being relieved by employees of incoming shift.
 - 7. Neglect or carelessness in signing in or out.
 - 8. Unauthorized absence from work, to include sick leave abuse.
 - 9. Creating or contributing to unsanitary or unsafe conditions or poor housekeeping.
 - 10. Distracting the attention of others, unnecessary shouting demonstration or otherwise causing disruption on the job.
 - 11. Malicious mischief, horseplay, wrestling, or other undesirable conduct, including use of profane or abusive language.
 - 12. Failure to cooperate with other employees as required by job duties.
 - 13. Failure to use reasonable care of City property or equipment.
 - 14. Use or possession of another employee's working equipment without authorization.
 - 15. Neglect or carelessness in observance of official safety rules or disregard of common safety practices.
 - 16. Failure to observe department/division rules, regulations, and policies.
 - 17. Obliging the City for any expenses, service or performance without authorization.
 - 18. Failure to report accidents, injury or equipment damage.
 - 19. Disregarding job duties by neglect of work or reading for pleasure during working hours.

- 20. Unsatisfactory work or failure to maintain required standard or performance.
- 21. Unauthorized use of telephones for other than business purposes.
- 22. Excessive or repeated garnishments.
- 23. Violation of departmental uniform regulations.

(j) Potential GROUP I Disciplinary Actions:

- First Offense:.....Verbal Reprimand
- Second Offense:.....Written Reprimand
- Third Offense:.....3-day suspension without pay
- Fourth Offense:10-day suspension
- Fifth Offense:.....Termination

(k) Disciplinary Action for Excessive Tardiness As a Group I Offense:

- Three (3) unexcused tardies in a 365-day period:.....Verbal Reprimand
- Four (4) unexcused tardies in a 365-day period:.....Written Reprimand
- Five (5) unexcused tardies in a 365-day period:1 to 3-day suspension without pay
- Over five (5) unexcused tardies in a 365-day period:.....5 to 10-day suspension without pay
- Continued tardiness after two suspensions for tardiness:.....Termination

(l) Group II Offenses may be defined as those infractions which are of a more serious nature than the Group I Offenses and which, in turn, cause a more serious and longer lasting disruption to the Organization in terms of decreased organizational productivity, efficiency and/or morale. Group II Offenses, if left undisciplined by proper authority, can cause a serious and longer lasting minor impact against the organization than the Group I Offenses.

- 1. Sleeping during working hours.
- 2. Reporting for work or working while unfit for duty.
- 3. Unlawful gambling during work hours.
- 4. Unauthorized use of City property or equipment.
- 5. Performing private work on City time.
- 6. Willful failure of non-exempt employees to electronically punch or swipe in or out as required.
- 7. Failure to report for overtime work without good reason after being scheduled to work according to Overtime Policy.
- 8. Willful failure to make required reports.
- 9. Solicitation on City premises without authorization.
- 10. The making or publishing of false, vicious, or malicious statements concerning employees, supervisors, the City, or its operations.
- 11. Giving false testimony during a complaint or grievance investigation or hearing, or pre-disciplinary conference.
- 12. Unauthorized posting or removal of notices or signs from bulletin boards.
- 13. Distributing or posting written or printed matter of any description on City premises unless authorized.

14. Unauthorized presence on City property.
15. Willful disregard of department/division rules.
16. Threatening, using abusive language, intimidating, coercing, or interfering with other employees.
17. Unauthorized political activity.
18. Willful violation of the appropriate chain of command.

(m) Potential GROUP II Disciplinary Actions:

First Offense: Verbal reprimand and 2- or 3-day suspension without pay
 Second Offense: 10-day suspension without pay
 Third Offense: Termination

(n) GROUP III Offenses may be defined as those infractions that are of a very serious or possibly a criminal nature, and which cause a critical disruption to the organization in terms of decreased productivity, efficiency and/or morale. Group III Offenses if left undisciplined by proper authority, may cause long lasting and serious impact against the organization.

1. Wanton or willful neglect in the performance of assigned duties or in the care, use, or custody of any City property or equipment. Abuse or deliberate destruction in any manner of the City property, tools, equipment, or the property of employees.
2. Falsifying testimony when accidents are being investigated, falsifying or assisting in falsifying or destroying City records, including work performance reports; or giving false information or withholding pertinent information called for in making application for employment.
3. Making false claims or misrepresentation in an attempt to obtain any City benefit.
4. Gambling during working hours.
5. Stealing or similar conduct, including destroying, damaging, or concealing of any property of the City or other employees.
6. The use, possession, or sale of illegal drugs.
7. Fighting or attempting injury to other employees, supervisors, or persons.
8. Carrying or in possession of firearms on City property at any time without proper authorization.
9. Knowingly concealing a communicable disease such as TB, which may endanger other employees or the public.
10. Misuse or removal of City records or information without prior authorization.
11. Instigating, leading, or participating in any walkout, strike, sit-down, stand-in, slowdown, refusal to return to work at the scheduled time for the scheduled shift, or other concerted curtailment, restriction or interference with work in or about the City's work stations except as provided by Law.
12. Dishonesty or any dishonest action. Some examples of what is meant by "dishonesty" or dishonest action" are: theft, pilfering, opening desks assigned to other employees without authorization, theft and pilfering through lunch boxes, tool kits, or other property of the City or other employees without authorization; inserting slugs in vending machines without paying the proper charge therein; making false statements to secure an excused absence or to justify an absence or tardiness; making or causing to be made, inaccurate or false reports

concerning any absence from work. The foregoing are examples only and do not limit the terms “dishonesty” or “dishonest action”.

13. Insubordination by refusing to perform assigned work or to comply with written or verbal instruction of the supervisors.
14. Punching, signing, altering, or using other employees’ timecards, swipe cards, time sheets or unauthorized altering of own timecard or sheet.
15. Conduct violating morality or common decency, such as sexual harassment.

(o) Potential GROUP III Disciplinary Actions:

1. First Offense: 10-day suspension to termination

13.2 Pre-Disciplinary Conference Procedures

- (a) All recommendations for suspensions without pay, demotions, or terminations of any full-time employee shall be subject to a pre-disciplinary conference before a neutral, third-party City administrator appointed by the appointing authority. There is no requirement that the conference be conducted by an administrator outside the City’s department or division in question, although the conference should not be conducted by a person who is either a key witness to the events in question or who conducted the investigation of the allegations. The Human Resources Administrator will make the necessary conference arrangements.
- (b) This conference shall occur prior to any disciplinary action being invoked. At this pre-disciplinary conference, the employee is entitled to:
 1. Notice of the charges against him or her.
 2. An explanation of the evidence supporting the charges.
 3. An opportunity to respond before the decision is made. The conference shall be informal. However, the employee will have the right to be represented at the conference and to present witnesses on his or her behalf. The employee’s department/division head or designee and the Law Director or his designee may attend.
- (c) Prior to the pre-disciplinary conference, the appointing authority will send a written notice to the employee informing him or her of the opportunity for the conference. The notice shall also contain a written summary of the charges to be considered at the conference. The pre-disciplinary conference is for the employee’s benefit, to allow the employee to supplement the investigation record with his or her response to the allegations before a decision is made on any disciplinary action. Accordingly, the employee may waive the pre-disciplinary conference if he or she chooses, in which case he or she should deliver a written notice of the waiver to the appointing authority in advance of the hearing. Failure of the employee or his or her representative to appear at the appointed time of the conference will be deemed a waiver of the pre-disciplinary conference, and the appointing authority will proceed to a decision based on the available information.
- (d) Any objection to the designation of a pre-disciplinary presiding officer must be made prior to the time set for the hearing. The final decision as to who will conduct the pre-disciplinary conference lies exclusively with the appointing authority.
- (e) The employee may choose to be represented by counsel at the pre-disciplinary conference, provided that he or she notifies the appointing authority at least 24 hours prior to the scheduled time for the conference. Any requests for a continuance to obtain an attorney may be granted or denied in the sole discretion of the presiding officer. If the employee and his or her counsel are not prepared to proceed at the appointed time for the pre-disciplinary conference, this may be

deemed a waiver of the hearing. Counsel for the employee in such conferences should be reminded that these proceedings are for the purposes set forth in this Section (a).

- (f) Within five workdays of the pre-disciplinary conference, the neutral administrator shall submit written findings to the appointing authority. Such findings shall be limited to the issue of whether a basis exists for discipline to be imposed. The appointing authority is not bound by the conclusions of the presiding officer, and may adopt, reject, or modify this report or its findings. The appointing authority will implement the appropriate disciplinary action and will issue the final decision to the employee in writing.

13.3 Appeals From Disciplinary Actions

- (a) Disciplinary action of suspension, demotion, or termination may be appealed through the grievance procedure as outlined in the Discipline and Grievances Section.
- (b) An employee who has completed the required probationary period in the classified service may appeal a removal, reduction in pay or position, job abolishment, layoffs, assignment or reassignment to a new classification, transfer, a reduction in rank, a suspension of more than three (3) working days, or a termination by filing a written notice of appeal with the Merit Service Commission within ten (10) calendar days from the official notification is filed with the Commission. Members of bargaining units must appeal under the terms established by their respective Collective Bargaining Agreement(s) which may exclude the Commission from the appeal process. The Commission shall proceed within thirty (30) calendar days from receipt of such notice of appeal to hear such appeal. The Commission will give written notice to the appointing authority, the Director of Law, the employee and his/her attorney, if known, of the time and place of the hearing. The action of the Commission is final.
- (c) Unclassified employees serve at the will of the appointing authority and may be disciplined up to and including termination without cause.

13.4 Grievance Procedure

- (a) The City recognizes that within any organization there will be occasional differences among its employees regarding interpretations of rules or other problems stemming from conditions of employment. Hopefully, these differences can be discussed and resolved within a department/division. If not, the grievance procedure serves to promote good employee-management relations by minimizing and adjusting appropriate grievances, which if left unnoticed or unattended, can have very serious results both to employee effectiveness and ultimately City services.
- (b) When a grievance involves a legal issue which the respondent (individual hearing the grievance) cannot address, the grievance shall be forwarded to the City Manager and Law Director for an opinion before proceeding. All time limits set forth in this procedure shall be suspended until a response from the Law Director is received.
- (c) Nothing in this policy is intended to deny any employee to have redress to their legal rights, including the right to appeal to the Ohio Civil Rights Commission, the Equal Employment Opportunity Commission, or any court of competent jurisdiction. However, once an employee elects as his or her remedy (or resolution) the provisions of procedures of any of the above named commissions or courts, with respect to any employee grievance or appeal from disciplinary action, he or she is denied the remedy of the grievance procedure provided herein.
- (d) The time limits established in this procedure may be extended by written, mutual agreement of the involved parties.

- (e) A grievant may have a representative of his or her choosing present at any step of the procedure, except Step 1. The expense of any representative shall be borne by the party using them. Both parties may call witnesses.
- (f) Grievances will be filed on the City's Grievance Form. This form plus any attachments shall serve as the official documentation of the grievance. The original of this form shall continue through the grievance procedure. Copies of the original form may be made as appropriate.
- (g) In order to provide employees with an orderly process by which to seek resolution of such differences, the City has established the following grievance procedure:

STEP 1 Any employee having a complaint regarding an alleged breach of rights under civil service law or this Manual, or other City policies or procedures must first discuss his or her complaint informally with the immediate supervisor. If the issue is not resolved in this informal discussion, the employee must put the complaint in writing and present it to the immediate supervisor within seven calendar days from the date on which the employee knew or should have known of the alleged violation. The grievance form will also state the employee's requested remedy or resolution to the grievance. Within seven calendar days from the date the complainant first submitted the complaint in writing, the supervisor shall generally attempt to resolve the matter.

STEP 2 If the complaint is not resolved in Step 1, the employee may pursue the matter by presenting the written complaint to the department/division head or designee within seven calendar days of the reply received in Step 1. The department/division head or designee may, if he or she deems it advisable, meet with those concerned and otherwise attempt to resolve the matter. The department/division head or designee shall respond within seven calendar days of receiving the grievance.

STEP 3 If the complaint is not resolved at Step 2, the employee may pursue the matter by presenting the written complaint to the appointing authority or designee within seven (7) calendar days of the reply received in Step 2. The appointing authority or designee shall meet with the complainant concerned and attempt to resolve the matter. The City Manager or designee will respond within seven calendar days of receiving the grievance.

- (h) Complaints not taken to the next step by the employee within the specified time limits (including any written extension thereof) shall be considered to have been resolved on the basis of the decision at the previous step. The department/division head and the Human Resources Administrator shall track the grievance throughout the procedure.
- (i) Discussions at each step should be informal and try to lead to a factual assessment of the situation, in order to find out what led to the problem, and how it can be resolved.
- (j) Responses should be based on factual conclusions, and references should be made to City policies or legal considerations on which the decision is based, when possible.

SECTION 14. WORKPLACE SAFETY

14.1 Safety and Health

- (a) Employee safety and health are primary City concerns shared by both supervisory and non-supervisory personnel. All employees are required by ORC 4167.01 to comply with the Ohio Public Employment Risk Reduction Program (PERRP), which uses OSHA safety standards and rules for workplace risk management. Failure to adhere to these policies and procedures may result in disciplinary action up to and including termination. Basic safety and health information

for all employees is provided via the City Safety and Health Program Manual on the City computer system and in each division administrative office. City divisions also have safety standards and rules for specific equipment use.

- (b) It is the supervisor's responsibility to ensure employees are trained in the proper operation and safety procedures before the employee uses equipment or operates a City vehicle. All equipment, vehicle, and safety procedures training shall be documented by the supervisor or an authorized/certified trainer and such documentation kept in the department/division files for as long as the employee serves with the City.
- (c) All employees are responsible for the safe operation of equipment and vehicles, to ensure that the City's workplaces are safe, correct unsafe work conditions or practices, properly use prescribed safety equipment, and enforce safety rules and regulations.
- (d) All employees shall report the existence of any hazardous condition or unsafe work practice observed in the workplace to their supervisor using City Hazard Report Form. If the division has its own designated safety officer, the employee or supervisor may directly notify the division safety officer. The supervisor shall coordinate the appropriate corrective action with the department/division head. Equipment or vehicle accidents shall be reported on the Equipment or Vehicle Accident Report Form.
- (e) All employees who drive City vehicles or personal vehicles on City business shall maintain the appropriate speed limits and traffic regulations and wear safety belts at all times.
- (f) Supervisors may send employees home and advise them to seek medical attention, if in the supervisor's discretion, the employee appears to be too tired or ill to continue working safely. Missed work hours shall be charged to sick leave. An employee who is sent home due to fatigue or illness shall not return to work for at least 8 hours or their next regularly scheduled work shift, whichever occurs later.
- (g) Any accident occurring during work hours, whether or not it appears that injuries were incurred, shall be reported to the immediate supervisor at once and then the department/division head at the earliest opportunity. If the employee's condition requires medical treatment, the supervisor or department/division head must also notify the Managed Care Organization (MCO) no later than the end of that work shift, and send a BWC First Report of Injury (FROI) Form to the MCO via facsimile within twenty- four (24) hours of the occurrence. Upon completion of the above notification requirements, the supervisor or the department/division head must complete the supervisor's section of the City's Employee's Injury/Incident Report Form as soon as possible, to properly document the event for compliance with both BWC and PERRP reporting requirements. All accident, injury, and hazard paperwork will be given to the Human Resources Administrator within twenty-four (24) hours.
- (h) If necessary, a post-accident drug test may be required. See the Drug-Free Workplace Policy Section.
- (i) A review board may investigate accidents, injuries, and hazards. This board will also evaluate and recommend safety procedures to prevent future occurrences, as well as recommending disciplinary action if necessary. The board will consist of the City Manager, Human Resources Administrator, department/division head, and other City management whose expertise may be required.
- (j) The City has a Central Safety Committee with representatives from each division and labor union. This committee serves as focal point for risk management, safety, and health coordination and planning. The City Manager has also appointed two Accident Prevention Coordinators to facilitate and coordinate safety information, processes, and training.

- (k) Accidents and injuries to members of the public shall be reported using the Non-Employee Accident/Injury Report Form.

14.2 On-the-Job Injury and Workers' Compensation

- (a) State law provides that every City employee is eligible for Workers' Compensation for injuries or occupational illness arising out of or in the course of his/her employment. The Human Resources Administrator administers the City's Workers' Compensation program and certifies or rejects Workers' Compensation claims. Some of the guidelines are:
1. If an employee is injured during the course of employment with the City, he/she must immediately stop working and notify the supervisor and complete all required paperwork at the earliest practical opportunity. The supervisor normally notifies the department/division head and Human Resources Administrator and ensures that an injury report is promptly completed. The City Injury Report Form must be completed, regardless of the apparent seriousness of the injury or whether medical attention is required. If medical treatment is sought, the supervisor also notifies the managed care organization (MCO) by completing the first two sections of the First Report of Injury Form and faxing the form to the MCO. The MCO must receive this report via facsimile as soon as possible in order to initiate workers' compensation benefits. Both the City Injury Report and MCO First Report of Injury should be forwarded to the Human Resources Administrator no later than 24 hours after the injury. Unless there is an emergency situation, the City does not expect an employee to work through an injury, but to stop and have their supervisor assess their ability to continue working.
 2. Should an employee's injury require medical attention, the supervisor provides the employee with the Injured Worker's Information Kit and gives the employee the MCO identification card to be used at the treatment facility. It is the employee's responsibility to notify the caregiver that the injury is work-related and to provide the caregiver with the employer/MCO information on the identification card, so that the necessary workers' compensation paperwork can be initiated. In the event the employee is physically incapable of notifying the caregiver of the nature of the injury, his or her supervisor shall do so. Employees and supervisors are encouraged to use medical services designated by the MCO in order to ensure prompt treatment and to control costs.
 3. The department/division head or designee shall conduct an investigation of the injury incident as soon as possible.
 4. Employees are responsible for keeping their supervisor informed of their injury recovery status, absences, and their expected date of return to work.
 5. Any documents received from the injured employee, his or her physician, hospital, the MCO, or Bureau of Workers' Compensation must be immediately forwarded to the Human Resources Administrator.
 6. Employees who are injured in the line of duty and must leave work before completing their work period shall be paid at their regular rate of pay for the balance of time left in their scheduled workday.
 7. During injury leave, the City will pay the employee his or her regular salary for the first 90 calendar days after the date of the injury. Thereafter, if the employee remains injured and unable to return to work, the employee may receive Workers' Compensation pay.

14.3 Injury Leave

- (a) In the event an employee is injured while on duty and is unable to perform either his regularly assigned duties or those light or transitional duties which may be assigned by the department/division head and approved by the treating doctor, the injured employee may receive injury leave. Such leave may be provided if the employee notifies his supervisor within twenty-four (24) hours following the time of the injury unless the employee is hospitalized. The notification shall include a doctor's statement of the injury description, employee work limitations, and the expected date of return to full or transitional duty. If the employee is hospitalized immediately following the injury, he shall submit the doctor's statement within 5 days after being released from the hospital.
- (b) Upon injury notification, the employee's supervisor will immediately ensure that the employee receives appropriate medical attention, assess the cause of the injury, and identify any hazardous conditions to be corrected. He will also determine that the injury or occupational illness occurred while on duty, and whether the injury is the result of self-infliction, "horseplay," negligence, or drug or alcohol use. The supervisor will certify that the BWC First Report of Injury (FROI) and Employee Injury/Incident Report Form are accurate. See the On-the-Job Injury and Workers' Compensation Section for a description of the injury reporting process.
- (c) The employee will use sick leave until BWC certifies/allows the claim, then the injured employee may be paid for up to 90 calendar days of injury leave after that date. If the employee is absent from work more than 5 workdays as a result of BWC certified injury claim, the 5 days shall be returned to the employee's sick leave balance and counted as injury leave. While on injury leave, the employee will be placed concurrently on medical leave, according to the Family and Medical Leave Act (which provides up to 60 workdays of leave) provisions in Section 5.7. The City will pay an injured employee a maximum of 90 calendar days of injury leave in a 12-month period of time regardless of the number of compensable, work-related injuries.
- (d) Thereafter, beginning with the 91st calendar day of absence due to an injury and having a BWC allowed injury claim, the injured employee is eligible to receive BWC temporary total compensation, and the employee may at his or her option, elect to receive supplemental City compensation to bring the pay rate to his or her base rate. Such supplemental City compensation shall be charged against the employee's accumulated sick leave as long as the employee receives temporary total compensation.
- (e) BWC pays temporary total compensation based on medical evidence from the attending physician. These periodic physician reports ensure the continuous payment of temporary total compensation. BWC will refer injured employees for an examination at various times to determine whether they still qualify for compensation, rehabilitation, and if they are receiving the proper medical treatment. BWC determines whether to continue or cease temporary total compensation based on evidence of the employee's maximum medical improvement (MMI). MMI occurs when an individual's medical condition stabilizes to the point where the injured worker's physical or mental condition will not change, despite continued medical treatment and/or rehabilitation.
- (f) If BWC makes a medical determination that the injured employee has reached MMI, is not physically or mentally able to accomplish the full duties of their City position, and will no longer receive temporary total compensation, the employee may elect to use any remaining accumulated paid leave while expeditiously applying for a disability retirement. The City will require the employee to resign as soon as a decision is made by the appropriate pension agency, or the employee has exhausted his/her accumulated paid leave options.

- (g) An employee returning from injury leave must have a doctor's medical release to return to work. To secure this release, the employee must present a statement from the doctor giving the injury description, date of return of work, and certifying that the employee is able to return to work without any restrictions, or with restrictions and possibly perform light duty (see the Light Duty Policy Section). The City Manager or his designee may approve an employee returning to work.
- (h) An employee returning to work from injury leave, who has not used the 90 days of injury leave, and who needs to return to their doctor for required follow-up or continuing treatment as a result of the injury, shall be paid injury leave for these doctor visits as long as a doctor's statement is provided to the City for these visits.
- (i) While on paid City injury leave, all benefits (including seniority) shall be maintained, except as otherwise provided in these rules and regulations.
- (j) The City Manager may require the employee to be examined by a physician of the City's choosing, at City cost, to determine the employee's continuation of an approved injury leave, or to resolve any issue about an employee's return to work. This may involve the employee accomplishing a physical ability test or a psychological examination. If an employee refuses to submit to a medical examination, or if the report from the doctor conducting the medical examination concludes that the employee is either not injured or is able to return to work, further injury leave compensation may be denied.
- (k) If the report from the doctor selected by the City is in conflict with the report submitted by the employee's doctor regarding the injury, limitations on the employee's ability to work, or the expected date of return to work, the member shall be examined by a third doctor selected by the City from a list of doctors to be mutually agreed to by both parties. The City will pay for the cost of this examination. The opinion of the third doctor shall determine the employee's injury status at that time.
- (l) No injury leave will be granted to an employee who is off work because of any medical condition that existed prior to the employee's first day of work with the City, including an aggravation or re-injury, off duty injury, or any such pre-existing condition.
- (m) The City Absence Report Form shall be used to record all forms of leave associated with an employee's injury.

14.4 Light Or Restricted Duty

- (a) The City recognizes that in particular circumstances of an employee's injury or illness, an employee is not able to return to work in a full duty work status; but is capable of performing certain job assignments, which constitute a limited portion of one or more position classifications. These assignments are referred to as light or transitional duty and may be offered by the City if there is a need for such duty. Such assignments are temporary and are not to be considered an accommodation to a permanent illness or injury. It serves the mutual benefit of the employee and the City to provide a temporary light duty assignment. Temporary is defined herein as not more than 180 consecutive calendar days.
- (b) This process allows the City to comply with the BWC Managed Care Organization Policy that requires the employer to work with the health care provider to return an injured or ill employee to a modified- duty/light duty position, tailored to an injured employee's condition until they regain full capacity to return to full duty. A light duty position description will be written and coordinated with the health care provider. If the City offers the injured employee a light duty position, the employee is obligated to accept the position. An employee who refuses an offer of light or transitional duty may forfeit any eligibility for BWC compensation.

- (c) Application for Light Duty Status. The supervisor of a full-time employee who is off work due to an injury or illness, or the injured or ill employee may request to return to work on a light duty assignment by doing the following:
1. The supervisor or employee must submit a written request via letter to the City Manager to be placed on light duty status, based on a temporary light duty position description.
 2. The employee must obtain and provide to the City Manager a completed Physician's Light Duty Release Form or a completed BWC MEDCO-14 Report of Work Ability form. The form must be completed and signed by the employee's attending physician. The doctor must state that there is reasonable medical probability that the employee will be able to return to full duty at a specified date in the future, and authorize the employee to return to work in a light duty position and outline the parameters within which the employee may work. The City Manager reserves the right to have the employee examined at City expense if necessary to determine the extent of injury and entitlement to light duty.
- (d) Approval of Request. After completing the application process, the City Manager may authorize the employee to return to work in a light duty status for up to 90 consecutive calendar days, based on the approved duty assignment.
- (e) Extension. Prior to the end of the first 90 days on light duty status, the employee may request to work additional time on light duty for up to 90 additional consecutive calendar days by submitting the request in writing to the City Manager, and submitting a current written statement from his/her physician, in which the physician approves extended light duty. An extension shall require City Manager approval.
- (f) Criteria for Placement on Light Duty. The determination of whether or not to place an employee on light duty work status shall be determined by the department/division head and approved by the City Manager. The City is not required to provide light duty positions. The following criteria shall be considered in determining whether or not, to place an employee on light duty. The criteria shall include, but not be limited to:
1. That a light duty position be available for assignment within a department or division.
 2. There exists the medical probability that the employee will be able to return to full duty within 180 days. The employee must be qualified for the assignment as additional training will not be provided.
 3. The physician's written opinion is that the employee is able to perform light duty activities and can return to full duty within 180 days.
- (g) Work Restrictions on Light Duty. An employee who receives a light duty assignment will not work overtime or on holidays. An employee who receives a light duty assignment shall not engage in off duty employment without written consent of the department/division head and City Manager.
- (h) There is no employee appeals process associated with light duty assignments.
- (i) The City has a BWC approved Transitional Workplace Program which allows an occupational therapist to come into the workplace and provide treatment for employees recovering from injury. The occupational therapist can also ensure the employee is working within his or her light duty limitations, so as not to aggravate their recent injury.
- (j) End of Light Duty Assignment. A light duty assignment will immediately end should the employee's condition become permanent, as documented by a physician's written statement.

14.5 The National Incident Management Systems (NIMS) and City Emergency Response Plan

- (a) An emergency situation may be declared by the City Manager or his designee in order to respond to an unexpected situation or sudden occurrence of a serious and urgent nature that demands immediate action. This situation could be the result of NIMS activation or a local emergency. Within the context of the Emergency Response Plan and Incident Action Plans that deal with a particular incident or disaster, employees may be placed under the temporary supervisory authority of an incident commander from another division, agency, or jurisdiction.

14.6 Weather Emergencies

- (a) The City recognizes that on rare occasions it may be impossible for a scheduled employee to report to work because of excessive snow, ice, or other weather emergencies. Because such weather conditions are part of a normal winter in Ohio, employees are expected to come into work whenever possible. If in the judgment of the City Manager or his designee, however, extreme emergency weather conditions exist, the provisions of Plan B govern payment of wages on such days.

(b) Plan A

1. In the event of extreme weather conditions not involving the closure of City facilities, scheduled employees who are able to come into work shall be paid their regular wage for actual time worked. Those employees who arrive late or who are unable to come into work because of the extreme weather shall have the option of taking leave without pay or using time from available vacation or compensatory time off to account for the time missed, or, when possible and approved by the employee's supervisor, by flexing hours in the remainder of the work week. Also, when actual or pending road conditions warrant, the City Manager may give approval for non-weather emergency employees to leave work early. All non-exempt employees who choose to leave work early, with the supervisor's approval, may do so, and may make up the lost time during the same week or use available vacation or compensatory time off, or leave without pay.

(c) Plan B

1. In the event that the City Manager or his designee closes City offices because of an extreme weather emergency, employees shall receive full pay for the period of the closure. Public safety employees (Police and Fire), specific maintenance and street division operations employees, and other designated emergency service employees are required to report to work except with the express approval of their department/division head. Such emergency service employees shall be paid at their normal rate of pay for the actual hours worked. Each department/division having need for employees designated to respond under this plan shall establish its own list of employees, job classifications, and duties, and shall notify all affected employees of their status. If the employee remains unable despite best efforts to come into work, and all other alternative methods have been exhausted, the employee shall contact the supervisor, who may then arrange for the employee to be picked up at home.
2. A telephone network shall be established to notify all department/division heads of the closing should this decision be made after normal working hours or on weekends. Department/division heads are responsible for notifying his or her employees of the closing.
3. Employees must be in active pay status at the time that a weather-related closure begins in order to receive pay for the period of the closure. An employee who is off work on an approved vacation, sick leave, compensatory time off, or other paid leave at the time of a weather-related closure shall be charged for the hours of the leave scheduled, and the

employee may not substitute the hours of pay authorized for a weather-related closure for all or part of an approved paid leave after the leave has commenced. If the offices remain closed at the time that the employee is scheduled to return from leave, however, the employee will not be charged for leave for the remainder of the closure period (e.g., if on sick leave when a weather emergency occurs and the office is subsequently closed, the employee will still be on sick leave).

14.7 Smoking, Vaping, and Tobacco Use

- (a) Employees shall not smoke, vape, or use tobacco products in City vehicles, City buildings, or vehicles used for City business that may be occupied with members of the public, such as children participating in City sponsored trips. Employees will fully comply with the workplace restrictions provided for in the Ohio Revised Code.
- (b) Per ORC 3794, No Smoking signs are posted at each City building entrance to inform people of this law: smoking is not permitted in City buildings or near City building entrances, and that violations of the law may be reported to the Ohio Department of Health at 866-559-6446. Smoking includes inhaling, exhaling, burning, or carrying any lighted or heated tobacco product or plant product intended for inhalation in any manner or in any form. This includes the use of an electronic smoking device or a vapor product.
- (c) City designated smoking areas cannot be located by or near City building entrances (doors), windows, ventilation systems, or other areas that would permit the smoke to enter City buildings.
- (d) Department/divisions should consider designating smoking areas away from City buildings – physically separated from buildings so smoke does not enter the building, and smokers are not clustering near building entrances.
- (e) Employees shall not smoke, vape, or use other tobacco products around children participating in the City's various recreation programs. The City does not seek the liability of being associated with smoking and its related health hazards and discourages those behaviors for all employees.
- (f) The use of an electronic cigarette, e-cigarette, personal vaporizer, electronic nicotine delivery system, or related items shall be deemed to constitute smoking and subject to the same restrictions as tobacco products for purposes of this policy.
- (g) Employee breaks for purposes of smoking are governed under the provisions of Break Periods Policy, provided that smokers are entitled only to the same consideration for breaks as nonsmokers.

14.8 Violence in the Workplace

- (a) All employees have the right to work in an environment free from physical and psychological violence, threats, and intimidation. Violence is any act of physical, verbal, or psychological threat or abuse, assault, or trauma that results in physical and/or psychological damage to an individual. It is a form of serious misconduct that undermines the integrity of the employment relationship. No employee should be subject to unsolicited and physical violence, threats, or intimidation. Such behavior may result in disciplinary action up to and including termination.
- (b) Because the City has a strong commitment to its employees to provide a safe, healthy, and secure work environment, the City will not tolerate intimidation, violence, or threats of violence by or against its employees. The City will also not tolerate any violence or threats of violence against any City property or facility.
- (c) The presence of weapons and the occurrence of violence in the workplace are inconsistent with the above objectives. While the City has no intention of intruding into the private lives of its

present or potential employees, it expects all employees to report to duty without possessing weapons, unless they are a City's Police Officer, and to perform their job without violence towards any other individual.

- (d) To reduce the risk of workplace violence, grievance, harassment awareness, employee assistance, and security programs are in place. The use of these programs can prevent and/or reduce the rate of workplace violence. These programs are discussed in other parts of this Manual.
- (e) A primary deterrent to internal City employee violence is leadership and communication skills. All City employees should foster a supportive, harmonious work environment; try to reduce tension that could lead to conflicts; and recognize signs of a troubled employee. It should also be standard practice for employees to act promptly regarding worker complaints and misuse of rules related to workplace violence.
- (f) The City prohibits the following activities:
 - 1. Use, possession, or sale of any weapon, while on duty or at any City facility. Weapons as used in this policy include, but are not limited to firearms, knives (other than a common pocket knife or eating utensils), stun guns, brass knuckles, num-chuks, throwing stars, and other martial arts weapons. Any object used with the intent to inflict physical harm to another person shall be considered a weapon.
 - 2. Storing any weapon in a locker, desk, City vehicle, lunch container, tool kit, bag, purse, or other repository on City premises. City employees shall not have a weapon in a privately owned, leased, or borrowed vehicle while it is located on City property.
 - 3. Refusing to submit to a reasonable search while on duty or at a City facility. This policy is not intended to supersede any departmental work rule, collective bargaining agreement, or other provision of these policies and procedures which permit random or regular inspections of such property.
 - 4. Conviction of a criminal offense defined as an "offense of violence" by Ohio Revised Code Section 2901.01 (A)(9), (a), (b), (c), (d), or (e), or conviction of any criminal statute for the illegal possession of a weapon.
 - 5. Refusing to participate in an investigation pertaining to allegations or suspicion that violence has or is likely to occur, or an investigation pertaining to the carrying of a weapon by the employee or a co-worker. Actions or statements which a reasonable person would interpret as a threat, while on duty or at a City facility.
 - 6. Fighting, including any conduct which, under the circumstances, does or intends to inflict physical harm or injury, while on duty or at a City facility.
- (g) Sworn police officers and other safety personnel as approved by the City Manager shall be exempt from (f) (1) and (f) (2).
- (h) Reporting and Managing Violence
 - 1. Any suspicious, agitated, or violent people or activities in or around City buildings should be reported immediately to supervisors and the Police Division at Emergency 911, or Non-Emergency 937-535-1166. Visitors to City offices are expected to act in a respectful and civil manner. If they do not behave accordingly, they will be politely asked to calm down. If they continue to misbehave, they will be asked to leave. If assistance is needed, call the Police Division. An employee who witnesses an incident of violence or threatening language or conduct should promptly report the incident to his or her supervisor and the Police Division. If possible, City employees should leave their work areas by the most expeditious means when

faced with a violent situation. A crisis response team will form immediately to deal with a protracted situation. This team should consist of the City Manager, Police Chief, Fire Chief, Human Resources Administrator, Law Director, or their designees and other department/division personnel as the City Manager deems appropriate.

2. No employee who reports an incident of violence or threatening conduct which is based on reasonable grounds shall be subject to discipline for reporting such conduct.
3. Any employee who participates in an activity prohibited by this policy is subject to discipline up to and including immediate termination.
4. If an employee is injured after instigating a fight, then his/her entitlement to workers' compensation benefits may be contested by the City.

(i) Visitors

1. All visitors to offices and facilities are expected to act in a respectful and civil manner.

SECTION 15. MISCELLANEOUS POLICIES

15.1 Lactation Policy

- (a) The City supports breastfeeding mothers by accommodating the mother who wishes to express breast milk during her workday when separated from her newborn child. The provisions of this Lactation Policy are intended to meet the requirements of the Fair Labor Standards Act as it relates to breaks for nursing mothers.
1. For one year after the child's birth, covered employee who is breastfeeding her child will be provided reasonable break times to express breast milk for her newborn.
 2. The City has designated an employee's private office or the private dedicated space (non-restroom) in each City facility for this purpose. For additional information, please refer to Human Resources.
 3. Employees storing milk assume all responsibility for the safety of the milk and the risk of harm for any reason, including improper storage, refrigeration, and tampering. Milk should be moved at the end of the employee's workday and labeled.
- (b) Employees who work off-site or in other locations will be accommodated with a private area as necessary. Breaks of more than twenty (20) minutes in length will be unpaid, and the employee should indicate the break period on the time record.

15.2 Media Policy

- (a) The City intends to be proactive in its approach in sharing information with the public and in using media sources as one method of accomplishing this goal. A reasonable attempt shall be made to respond promptly and accurately to all media inquiries.
1. Only designated staff members specifically authorized by the City Manager may speak for the City or give information to the media on behalf of the City.
 2. Fire and Police Divisions receive ongoing media requests regarding routine daily activities, and these requests may be handled through the shift commander or officer in charge.
 3. For stories involving major emergency incidents, release of information needs to be coordinated through the Fire Chief, Police Chief, City Manager, or the City Manager's designee. It is recognized that if conditions make it impossible for immediate release of accurate information, then it is permissible to delay release of information until the

emergency situation has stabilized.

- (b) All departments and divisions are encouraged to generate news releases pertaining to events and activities within their scope of responsibilities. The City Manager or his designee shall approve news releases.
- (c) It may be appropriate, on occasion, to call a news conference to announce a major City decision or to respond to an issue of general interest to the public. The City Manager or his designee shall approve news conferences.
- (d) Nothing in this policy should be construed to limit or control comments made by members of the City Council to the media.

15.3 Social Media

- (a) This Social Media Policy applies to all City officials and employees when working with social media tools on behalf of the City and applies to an officials and employee's use of personal social media sites as addressed in this policy.
- (b) The City shall implement this Social Media Policy and broadly disseminate the policy to inform employees and officials of these guidelines for creating, using and maintaining social media resources subject to this policy and to ensure all employees and officials confirm they are aware of and understand this policy.
- (c) The objective of this policy is to effectively reach the public with important City-related information, increase public access to information, and prevent the misuse of social media by employees, officials, and the public as it relates to the City.
- (d) The City is committed to enhancing traditional communication methods using social media. The City recognizes and encourages innovative ways to use these technology tools to communicate with the public, expand public access to information, and enhance its services. This policy establishes the City's position on the utilization and management of social media accounts and provides guidance on the management, administration, and oversight of official City social media accounts, as well as employees' personal social media accounts. The principals of this policy shall apply to new and emerging methods of social media even if not expressly discussed herein.
- (e) Other laws, ordinances, and policies may also apply to the use of social media and this Social Media Policy should not be interpreted to conflict with any such laws, ordinances, and policies. This policy does not restrict actions or activities protected under Section 7 of the National Labor Relations Act or any other federal or state law or regulation.
- (f) It is not the City's intent to create a public forum, but to use social media to post and distribute information to the public. Comments from third parties must directly relate to topics posted by the City, with language that is appropriate for everyone (including minors).
- (g) Definitions:
 - 1. Blog: A self-published diary or commentary on a particular topic which may allow visitors to post responses, reactions or comments. The term is short for "web log".
 - 2. City: Means the City of Moraine, Ohio.
 - 3. Content: Posts, writings, materials, documents, photographs, graphics, or other information which is created, posted, shared, or distributed via social media.
 - 4. Employee: All City representatives and anyone employed by the City. The term "Employee" includes Officials unless specifically omitted in the text or the context requires their exclusion.

5. Officials: All City elected and appointed officials.
 6. Official City Social Media Account (City Social Media Account): Any social media account established and managed by the City for the dissemination of information related to its official duties and business.
 7. Page: The location where content is displayed on a social media site, and is managed by an individual or individuals with administrator rights.
 8. Post: Content an individual shares on a social media site or the act of publishing content on a site.
 9. Profile: Information that a user provides about himself/herself on a social media site.
 10. Social Media Account: Any Internet-based resource which integrates user-generated content and user participation. This includes, but is not limited to, social networking sites (Facebook, LinkedIn), microblogging sites (Twitter), photo and video sharing sites (Flickr, YouTube), wikis (Wikipedia), blogs, and news sites (Digg, Reddit).
- (h) Official City Social Media: publishing and access of accounts
1. To ensure that City social media accounts are implemented consistently and effectively, only those individual(s) designated as a “social media administrator” by the City Manager will maintain the official City social media accounts. Only those persons designated as a “social media administrator” will have posting privileges on official City social media accounts.
 2. The social media administrator(s) shall, at all times, identify and conduct themselves as representatives of the City and adhere to all City standards of conduct and observe conventionally accepted protocols and proper decorum. Social media administrator(s) shall coordinate postings to ensure content is posted in a relevant, accurate, and timely manner.
 3. Social media administrator(s) may publish information to an official City social media account to the extent authorized to do so by the City Manager.
 4. The City Manager shall have the discretion to temporarily or permanently disable or terminate a City social media account or to change or close a City social media account at any time, without notice, to the extent possible without violating the City Record Retention Schedules or the Ohio Public Records Act.
- (i) Accountability:
1. Perceived or actual misuse of a social media account by an employee shall be reported directly to the social media administrator(s).
 2. Perceived or actual misuse of a social media account by a social media administrator(s) shall be reported directly to the City Manager.
- (j) Security and Monitoring:
1. Passwords and other Sensitive information regarding access to an official City social media account shall be maintained and updated by the social media administrator(s) and provided to the City Manager and Director of Information Technology when requested.
 2. The social media administrator(s) shall review the City’s social media accounts and keep them updated on an as needed basis.
 3. The social media administrator(s) shall regularly monitor the City’s social media accounts to ensure adherence to this Social Media Policy.
 4. All City social media accounts must adhere to all applicable laws, rules and regulations and City Policies and Guidelines regarding internet security and privacy.

5. All requests to deviate from this Social Media Policy may only be approved by the City Manager at his or her discretion.
- (k) Recommended content where possible, City social media accounts should state the following:
1. That public comments on the site do not necessarily reflect the views of the City.
 2. That any ads, links and suggestions to other pages found on the City social media account are inserted by the social media operator and that the City does not endorse any of those sites nor does the City receive any revenue from the posting of those links.
 3. Proposed disclaimer: The purpose of this site is to present matters of public interest in the City. We encourage the public to submit questions, comments and concerns, but please note this is a moderated online discussion and not a public forum. Once posted, the City reserves the right to delete any submissions that contain vulgar language, personal attacks of any kind or offensive comments that target or disparage any ethnic, racial or religious groups. Furthermore, the City also reserves the right to delete comments that:
 - Are spam or include links to other sites.
 - Are clearly off topic.
 - Advocate illegal activity.
 - Promote particular services, products or political organizations.
 - Infringe on copyrights or trademarks.
 - Use personally identifiable information.
 - Contain individual-specific and other confidential information. Please note that the public comments expressed on this site do not reflect the opinions and position of the City elected officials, officials or employees. If you have any questions concerning the operation of this online moderated discussion site, please contact the City at (937) 535-1016.
- (l) Permissible content where City personnel representing the City via social media outlets shall do the following:
1. Keep posts timely.
 2. Keep posts directly related to the business of the City.
 3. Observe and abide by all copyright, trademark and service mark restrictions.
 4. Personnel who wish to post information on the City's social media must provide relevant information, activities or events to the social media administrator(s), who shall post appropriate content promptly.
- (m) Prohibited Content.
1. Comments or materials which are profane, obscene, sexual or defamatory in nature.
 2. Comments or materials which contain sexually aggressive or harassing language.
 3. Pornographic materials or comments.
 4. Personal attacks or comments or materials which demean an individual or group of individuals on the basis of race, color, religion, national origin, ancestry, age, gender, disability, or any other category protected by law.
 5. Comments or materials supporting/opposing a political issue or candidate.

6. Commercial advertising or soliciting commerce or promoting private business enterprise.
 7. Comments encouraging or promoting illegal activity or which promotes or advocates violence or the threat of violence.
 8. Comments or materials which compromise the privacy or safety of an individual or group of individuals.
 9. Comments violating the intellectual property laws.
 10. Comments violating client or patient confidentiality.
 11. Comments about the guilt or innocence of any suspect or arrestee, or comments concerning pending prosecutions.
 12. Comments or materials which violate the terms of service of the website, webpage, or social media account.
 13. Comments or materials which contain non-public or confidential City information.
 14. Links to other websites, webpages, or social media accounts, unless otherwise permitted by this Social Media Policy.
- (n) The failure of the City to delete any prohibited content, whether posted by an employee, official, or a member of the public, shall not be construed as a waiver of such prohibitions and shall not affect the validity of this Social Media Policy or the City's ability to delete such prohibited content in the future.
- (o) Employee Responsibilities: Employees using any social media for City-related functions are bound by the City's equipment use policy, Section 6.7. Employees using an account for City work purposes must identify themselves as a City employee in all instances.
1. Information published using social media networking shall not reveal confidential (e.g. tax information), proprietary (e.g. police investigation techniques) or other information which reveals policies or practices of the City which may impair or compromise the activities of the City. Extreme caution shall be used in the sharing of any information relating to the City's practices and policies on social media sites. This guideline shall extend to communications that are deemed confidential by the social media and which are restricted to a limited sub-set of users of the social media. Security breaches are common and alleged restricted access may not prevent the confidential information from being shared beyond those for which it was intended.
 2. It shall be the duty of all City employees using social media to be respectful regarding the City, fellow employees, residents, vendors, colleagues in other agencies, and local business owners. Employees shall refrain from making references to City vendors, residents, business owners, colleagues or other individuals without their expressed written consent.
 3. Employees shall refrain from writing negative comments, as well as recommendations or offering referrals because it may give the appearance the City endorses certain individuals, companies or organizations being referenced.
 4. Social media activities shall not interfere with work commitments. Social media may be good resources for developing business relationships or providing information to residents or prospective residents. It is more likely, however, that employees may find themselves wasting work time on social networking. Any social networking shall not interfere with the employee's primary job responsibilities.
 5. Employees shall respect copyright laws, and reference and cite sources appropriately.

6. Employees shall be responsible for reading, knowing, and complying with any terms of service required by any social media while engaging in social media.
7. Employees shall not knowingly or recklessly post false information about the City, supervisors, co- workers, public officials, or those who have a relationship with the City.
8. Where no policy or guideline exists, employees should use their professional judgment and take the most prudent action possible. Consult with your immediate supervisor or Division Head if you are uncertain.

(p) Public Comment Guidelines:

1. Social media websites maintained by the City should display this link to the public comment guidelines:
 - “Thank you for visiting the City <insert name of social media website>. This site is not continuously monitored. For emergencies, call 911.
2. The purpose of this website is to share photos, videos and information of public interest in the City with our many residents, businesses, and visitors. The City strives to respond to comments within a timely manner during normal business days. This is not a work order request, if you need one call (937) 535-1000.
3. By using or accessing the City <insert name of social media website> page, you agree to comply with <insert name of social media website>'s Terms and Conditions. Any comments submitted to this page and its list of fans are public records subject to disclosure pursuant to Section 149.43 of the Ohio Revised Code.
4. Please note if you post a comment, the City reserves the right to delete submissions that contain vulgar language, personal attacks of any kind, or comments that are offensive to a person with reasonable sensitivity or target or disparage age, race, color, religion, national origin or ancestry, sexual preference, handicapped or disabled, genetic information, or status as a veteran or disabled veteran of the U.S. armed forces. Further, the City also reserves the right to delete comments that:
 - Are spam or include links to other sites.
 - Are clearly off topic.
 - Advocate illegal activity.
 - Promote or oppose particular services, products, or political organizations and candidates.
 - Infringe on copyrights or trademarks.
5. We reserve the right to remove or ban any user for comments that violate our Terms of Use. By accessing, affiliating with, or commenting on this page, your identity may be visible to third parties not affiliated with the City. In addition, third parties may be able to access your profile and personal information. The City is not responsible for access to your identity, profile, or personal information by third parties. It is the express responsibility of the user, or his/her parent or guardian, to protect the user's identity, profile, and personal information.
6. Comments expressed on this site, other than those posted by the City, do not reflect the opinions and position of the City or its officials and employees. If you have any questions concerning the operation of this online moderated discussion site, please contact the City at (937) 535-1000 or cityofmoraine@gmail.com.

(j) Employees' Personal Social Media Accounts

1. The City recognizes many City employees use social media tools such as Facebook in their personal lives. Therefore, this Social Media Policy provides guidelines for City employees when they communicate on social media sites as a private party where doing so may be considered a violation of their rights of free speech, and requires employees when they are speaking as a private party to clearly communicate their status in doing so and do not violate laws and rules of employment designed to protect and maintain the stability and integrity of the workplace, to protect confidential information, to adhere to the rules of ethics, public records laws and open meeting law requirements, among other compelling governmental interests.
 2. The City prohibits use or updates to personal social networking sites with City equipment and/or on City time. Be advised using personal social media accounts for City or work-related purposes may subject such accounts to the Ohio Public Records Act.
 3. Employees may not use their City email account or password in conjunction with a personal social networking site.
 4. Personal or private business venture social media accounts must not be tied to or connected with the City.
 5. Posting proprietary information or content, or confidential information related to the City is prohibited on employees' personal social media accounts
 6. If commenting on City business in their personal capacity, employees must use a disclaimer which establishes that their comments represent their own opinions and do not represent those of the City. The intent here is to ensure content posted by employees clearly reflect those comments are personal and not being made on behalf of the City unless authorized by the City.
 7. Employees must not attribute personal statements or opinions to the City when engaging in private blogging or postings on social media sites and if through their identification or posts any confusion as to whether their statements might be attributable to the City they must clarify that their posts are their own and not those of the City. Where confusion or doubt is likely to arise regarding the personal nature of social media activities, an employee must include a disclaimer clarifying that social media communications reflect only the employee's personal views and do not necessarily represent the views of the City. A clear and conspicuous disclaimer will usually be sufficient to dispel any confusion which may arise.
 8. Employees may not use a City brand, logo or other City identifiers on their personal sites, nor post information which purports to be the position of the City without prior authorization from the City Manager or unless authorized by Federal, State, or local law or the specific terms of an applicable collective bargaining agreement.
 9. Employees with personal sites or other types of social media which can be accessed by the public, shall not place or allow photographs or depictions of themselves dressed in uniform and/or displaying official identification, patches, or badges, or in any other way, either directly or indirectly, identify themselves as an employee of the City for any reason, without prior approval from the City Manager or unless authorized by Federal, State, or local law or the specific terms of an applicable collective bargaining agreement.
 10. City employees should be mindful their comments do not impair working relationships in the City for which confidentiality is important, do not impede the performance of City related job duties or do not impair discipline or harmony among co-workers.
- (k) Violation of this Social Media Policy may result in discipline, up to and including termination.

15.4 Public Records Policy

- (a) Openness leads to an informed public, which leads to better government and public safety. It is the mission and intent of the City of Moraine to fully comply with and abide by both the spirit and the letter of the Ohio Public Records Act (149.43).
- (b) Any person may request to inspect or obtain copies of public records from the City by making a public records request. The requester must identify the records with sufficient clarity to allow the City to identify, retrieve and review the records. The requester does not have to provide a written request, their identity or the intended use of the requested public record(s).
- (c) The City must provide copies of public records within a reasonable period. "Prompt" and "reasonable" take into account the volume and complexity of the request, the location where the records are stored, the necessity of legal review and redaction and other facts and circumstances of the records request. The City will respond promptly to all public requests with the requested records or some type of communication acknowledging receipt of the request.
- (d) Public Records are available promptly for inspection weekdays (9:00 a.m. to 4:00 p.m.). The Records Custodian may accompany the requester during this inspection to ensure original records are not taken or altered.
- (e) The Ohio Public Records Act does not require the City to create new records, compile data, do legal research, provide records in a format other than the existing format of the records or answer questions.
- (f) If a request were for a record that is not reviewable or no longer available, an explanation as to why the record or information is not available or a legal explanation regarding why the record is exempt or parts redacted from a public records request would be provided.
- (g) Copies of records are also available. Some costs may apply. Please refer to the records request price sheet for costs and available media types.
- (h) Direct records requests to the Clerk of Council, in person, by email, or by phone (937) 535-1005 weekdays between 9:00 a.m. and 4:00 p.m. There is a large amount of information and public records on the City's website at www.ci.moraine.oh.us.

SECTION 16. PERSONNEL POLICY MANUAL

DISCLAIMER & ACKNOWLEDGEMENT & RECEIPT

We have prepared this manual as a guide for policies, benefits and general information which should assist you during your employment. However, neither this Manual, nor any other organization communication or practice, creates an employment contract. The organization reserves the right to make changes in content or application of its policies as it deems appropriate, and these changes may be implemented even if they have not been communicated, reprinted or substituted in this Manual. It is also understood that nothing in this Manual or any other policy or communication changes the fact that employment is at-will for an indefinite period unless terminated at any time by you or the City.

I understand that no employee or representative of the City, other than the City Manager and Finance Director, has any authority to enter into an employment contract or to change the employment relationship, or to make any agreement contrary to the foregoing.

I acknowledge receipt of the Personnel Policy Manual and understand that my continued employment constitutes acceptance of any changes that may be made in content or application of the Manual.

Employee Name_____

Employee Signature_____

Date_____

RETURN TO HUMAN RESOURCES

SECTION 17. FORMS (City Forms are located at O:/Forms)

Form 2.3	Personnel Requisition (10/17/00)
Form 2.11	Temporary Assignment and Pay Plus (12/07/00)
Form 4.1	Employee Action (02/03/10)
Form 4.6	Employee's Injury/Incident Report (11/16/06)
Form 4.71	Request For Approval of Business Related Travel
Form 4.72	Travel Expense Report
Form 4.73	Monthly Mileage Log
Form 4.74	Per Diem Request
Form 4.8	Tuition Reimbursements (4/5/02)
Form 5.2	City Absence Report (1/1/03)
Form 5.3	Sick Leave Donation (12/20/01)
Form 5.6	Physician's Light Duty Release (9/22/00)
Form 6.3A	City Hazard Report (11/21/03)
Form 6.3B	Non-employee Accident/Injury Report (11/21/03)
Form 6.3C	Equipment or Vehicle Accident Report (11/15/04)
Form 6.4A	Return to Work Certificate (8/24/04)
Form 6.4B	Acknowledgement of DFWP Policy and Procedures (1/26/04)
Form 6.5	Review of City's Harassment Policy (1/1/03)
Form 6.7A	City Equipment and Property (3/14/02)
Form 6.7B	Log for Personal Phone Calls (3/14/02)
Form 6.9	Authorization For Release of Employment Records
Form 7.1A	Record of Verbal Warning (1/1/03)
Form 7.1B	Record of Written Reprimand (1/1/03)
Form 7.1C	Record of Suspension (1/1/04)
Form 7.4	Grievance (9/29/00)
Form 8	Employee Separation (2/6/02)

An Ordinance approving current replacement pages to the Moraine Codified Ordinances and declaring an emergency.

Department: Clerk of Council

Request: Action Item

Item Background and Purpose:

This is the third quarterly update of 2025. The update includes changes approved by City Council as well as many changes enacted by the State of Ohio through June 2025 as seen in the attached proposed ordinance. In order to be current with the State of Ohio, I am asking for approval of legislation approving the replacement pages and asking for an emergency clause

Financial Impact:

Is Item Budgeted?: No

Funding Source: N/A

Attachments:

RECORD OF ORDINANCES

Ordinance No. 2216-25

AN ORDINANCE APPROVING CURRENT REPLACEMENT PAGES TO THE MORaine CODIFIED ORDINANCES AND DECLARING AN EMERGENCY.

WHEREAS, various ordinances of a general and permanent nature have been enacted by the Moraine City Council which should be included within the Codified Ordinances of the City of Moraine; and

WHEREAS, certain provisions within the Codified Ordinances should be amended to conform with current State law as required by the Ohio Constitution; and

WHEREAS, said Codified Ordinances complete to September 25, 2025, have been prepared and presented to the City and have been reviewed and approved by the City Law Director.

NOW THEREFORE, BE IT ORDAINED BY THE COUNCIL OF THE CITY OF MORaine, STATE OF OHIO:

- SECTION 1:**

That the ordinances of the City of Moraine, Ohio, of a general and permanent nature, as revised, recodified, rearranged and consolidated into component codes, titles, chapters and sections complete to September 25, 2025, are hereby approved and adopted.
- SECTION 2:**

The following sections and chapters are hereby added, amended, reconfigured, repaginated or repealed as respectively indicated in order to comply with current State law:

Traffic Code

- 301.02 Agricultural tractor and traction engine. (Added)
- 301.03 Alley. (Added)
- 301.04 Arterial street or highway. (Added)
- 301.05 Autocycle. (Added)
- 301.06 Beacon. (Added)
- 301.07 Bicycle. (Added)
- 301.08 Bicycle box. (Added)
- 301.09 Bicycle lane. (Added)
- 301.10 Bicycle signal face. (Added)
- 301.11 Bicycle signal sign. (Added)
- 301.12 Bikeway. (Added)
- 301.13 Bus. (Added)
- 301.14 Business district. (Added)
- 301.15 Busway. (Added)
- 301.16 Cab-enclosed motorcycle. (Added)
- 301.17 Chauffeured limousine. (Added)
- 301.18 Child care center. (Added)
- 301.19 Commercial tractor. (Added)
- 301.20 Controlled-access highway. (Added)
- 301.21 Crosswalk. (Added)
- 301.22 Driver or operator. (Added)
- 301.23 Driveway. (Added)
- 301.24 Electric bicycle. (Added)
- 301.25 Electronic. (Added)
- 301.26 Emergency vehicle. (Added)
- 301.27 Explosives. (Added)
- 301.28 Expressway. (Added)
- 301.29 Farm machinery. (Added)
- 301.30 Flammable liquid. (Added)
- 301.31 Freeway. (Added)

RECORD OF ORDINANCES

Ordinance No. **2216-25**

- 301.32 Funeral escort vehicle. (Added)
- 301.33 Gross weight. (Added)
- 301.34 Highway maintenance vehicle. (Added)
- 301.35 Highway traffic signal. (Added)
- 301.36 Hybrid beacon. (Added)
- 301.37 In-road warning light. (Added)
- 301.38 Intersection. (Added)
- 301.39 Lane-use control signal. (Added)
- 301.40 Laned highway. (Added)
- 301.41 Limited driving privileges. (Added)
- 301.42 Local authorities. (Added)
- 301.43 Low-speed micromobility device. (Added)
- 301.44 Median. (Added)
- 301.45 Motor-driven cycle or motor scooter. (Added)
- 301.46 Motor vehicle. (Added)
- 301.47 Motorcycle. (Added)
- 301.48 Motorized bicycle or moped. (Added)
- 301.49 Motorized wheelchair. (Added)
- 301.50 Multi-wheel agricultural tractor. (Added)
- 301.51 Natural resources officer. (Added)
- 301.52 Operate. (Added)
- 301.53 Parked or parking. (Added)
- 301.54 Pedestrian. (Added)
- 301.55 Person. (Added)
- 301.56 Pole trailer. (Added)
- 301.57 Police officer. (Added)
- 301.58 Predicate motor vehicle or traffic offense. (Added)
- 301.59 Private road. (Added)
- 301.60 Public safety vehicle. (Added)
- 301.61 Railroad. (Added)
- 301.62 Railroad sign or signal. (Added)
- 301.63 Residence district. (Added)
- 301.64 Ridesharing arrangement. (Added)
- 301.65 Right-of-way. (Added)
- 301.66 Road service vehicle. (Added)
- 301.67 Roadway. (Added)
- 301.68 Roundabout. (Added)
- 301.69 Rural mail delivery vehicle. (Added)
- 301.70 Safety zone. (Added)
- 301.71 School bus. (Added)
- 301.72 Semitrailer. (Added)
- 301.73 Shared-use path. (Added)
- 301.74 Shoulder. (Added)
- 301.75 Sidewalk. (Added)
- 301.76 Site roadway open to public travel. (Added)
- 301.77 Standing. (Added)
- 301.78 State. (Added)
- 301.79 State highway. (Added)
- 301.80 State route. (Added)
- 301.81 Stop. (Added)
- 301.82 Stop intersection. (Added)
- 301.83 Stopping. (Added)
- 301.84 Street or highway. (Added)
- 301.85 Through highway. (Added)
- 301.86 Thruway. (Added)
- 301.87 Traffic. (Added)
- 301.88 Traffic control device. (Added)

RECORD OF ORDINANCES

Ordinance No. 2216-25

- 301.89 Traffic control signal. (Added)
- 301.90 Trailer. (Added)
- 301.91 Train. (Added)
- 301.92 Truck. (Added)
- 301.93 Two-stage bicycle turn box. (Added)
- 301.94 Type A family child care home. (Added)
- 301.95 Urban district. (Added)
- 301.96 Vehicle. (Added)
- 301.97 Waste collection vehicle. (Added)
- 301.98 Wildlife officer. (Added)
- 313.02 Through Highways; Stop and Yield Right-of-Way Signs. (Amended)
- 313.03 Traffic Signal Indications. (Amended)
- 313.04 Lane-Use Control Signal Indications. (Amended)
- 313.09 Driver’s Duties Upon Approaching Ambiguous or Non-Working Traffic Signal. (Amended)
- 313.10 Unlawful Purchase, Possession or Sale. (Amended)
- 313.11 Portable Signal Preemption Devices Prohibited. (Amended)
- 313.12 Bicycle Symbol Signal Indications. (Added)
- 331.33 Obstructing Intersection, Crosswalk or Grade Crossing. (Amended)
- 331.35 Occupying Travel Trailer, Fifth Wheel Vehicle, or Manufactured or Mobile Home While in Motion. (Amended)
- 331.381 School Bus Operator to Report Violations. (Added)
- 331.39 Driving Across Grade Crossing. (Amended)
- 331.401 Slow-Moving Vehicles or Equipment Crossing Railroad Tracks. (Added)
- 333.03 Maximum Speed Limits; Assured Clear Distance Ahead. (Amended)
- 333.11 Electronic Wireless Communication Device Use Prohibited While Driving. (Amended)
- 335.09 Display of License Plates or Validation Stickers; Registration. (Amended)
- 337.24 Motor Vehicle Stop Lights. (Amended)
- 341.01 Commercial Drivers Definitions. (Amended)
- 351.03 Prohibited Standing or Parking Places. (Amended)
- 371.01 Right of Way in Crosswalk. (Amended)
- 371.03 Crossing Roadway Outside Crosswalk; Diagonal Crossings at Intersections. (Amended)
- 371.11 Persons Operating Motorized Wheelchairs. (Deleted)
- 371.12 Electric Personal Assistive Mobility Devices. (Amended)

SECTION 3: The complete text of the Code sections listed above are set forth in full in the current replacement pages to the Codified ordinances which are hereby attached to this ordinance as Exhibit A. The listing above of each new section by reference to its title shall constitute sufficient publication of new matter contained therein. (Ref. R.C. 731.23)

SECTION 4: This Ordinance is hereby declared to be an emergency measure necessary for the immediate preservation of the public peace, health and safety of the City and its inhabitants for the reason that there exists a necessity for the earliest distribution and publication of current Replacement Pages to the officials and residents of the City, so as to facilitate administration and daily operation and avoid practical and

RECORD OF ORDINANCES

Ordinance No. **2216-25**

legal misunderstanding and therefore this Ordinance shall take effect and be in force from and after the date of its passage.

SECTION 5:

That the Clerk be and is hereby authorized and directed to forward a certified copy of this Ordinance to the City Manager, Law Director and City Prosecutor.

A Resolution authorizing the City Manager to enter into a contract with the Montgomery County Public Defender Office and the Montgomery County Public Defender Commission for legal services for indigent persons charged with jailable offenses under City Ordinances in the year 2026.

Department: Administration

Request: Action Item

Item Background and Purpose:

This is a housekeeping item, which allows the City to enter into an agreement with Montgomery County for Public Defender services. It is for persons who may need a public defender in Kettering Municipal Court.

Financial Impact:

Is Item Budgeted?: Yes

Funding Source: Operating budget under the Law Director

Attachments:

1. Exhibit A - Public Defender Agreement

RECORD OF RESOLUTIONS

Resolution No. 8184-25

A RESOLUTION AUTHORIZING THE CITY MANAGER TO ENTER INTO A CONTRACT WITH THE MONTGOMERY COUNTY PUBLIC DEFENDER OFFICE AND THE MONTGOMERY COUNTY PUBLIC DEFENDER COMMISSION FOR LEGAL SERVICES FOR INDIGENT PERSONS CHARGED WITH JAILABLE OFFENSES UNDER CITY ORDINANCES IN THE YEAR 2026.

NOW THEREFORE, BE IT RESOLVED BY THE COUNCIL OF THE CITY OF MORaine, STATE OF OHIO:

SECTION 1: That the Council of the City of Moraine, Ohio does hereby authorize the City Manager to enter into the contract, attached hereto as *Exhibit A*, with the Montgomery County Public Defender Office and the Montgomery County Public Defender Commission for legal services for indigent persons charged with jailable offenses under City Ordinances in the year 2026. Said funds to be paid from the Legal Services Fund.

SECTION 2: That the Clerk be and is hereby authorized and directed to forward a certified copy of this Resolution to the City Manager, Finance Director, and City Prosecutor.

SECTION 3: That this Resolution shall take effect and be in full force from and after the date of its passage.

**AGREEMENT FOR INDIGENT DEFENSE SERVICES
IN MUNICIPAL COURT**

This Agreement is entered into by and between the Montgomery County Public Defender Commission and the Montgomery County Public Defender Office, with a mailing address of 117 South Main Street, 4th Floor, Dayton, Ohio 45422, (hereinafter referred to as the "COUNTY"), and the city of Moraine, with a mailing address of 4200 Dryden Road, Moraine, Ohio 45439 (hereinafter referred to as the "CITY").

WHEREAS, the CITY of Moraine recognizes its responsibility under the laws of the State of Ohio and of the United States of America to provide legal counsel to indigent persons charged with a violation of a City ordinance for which the penalty or any possible adjudication includes the potential loss of liberty, and

WHEREAS, Montgomery County has adopted a program whereby a Public Defender Commission has been formed and has appointed a Public Defender and if there is a conflict, then the court will appoint assigned counsel attorneys.

WHEREAS, the COUNTY Public Defender Commission pursuant to Ohio Revised Code §120.14 and §120.16 may enter into a contract with a municipal corporation whereby the County provides legal representation to indigent adults charged with a violation of an ordinance of a municipal corporation for which the penalty or possible adjudication includes the potential loss of liberty and under which the municipal corporation shall pay the COUNTY for these services.

WHEREAS, the contracts must contain terms in conformance with Ohio Administrative Code 120-1-09 and the parties must follow the Ohio Public Defender Commission standards and guidelines and the COUNTY Maximum Fee Schedule for Appointed Counsel, in order for the COUNTY to obtain reimbursement for indigent defense costs pursuant to Ohio Revised Code §120.18, §120.33 and §120.35, and pay the CITY its appropriate share if such has not already been calculated into the formula to determine compensation, and

NOW THEREFORE, the parties do mutually agree to bind themselves as follows:

1. REPRESENTATION

- 1.1 The COUNTY, on behalf of the CITY, agrees to provide legal representation in Kettering Municipal Court on or after the commencement date and during the term of this agreement in cases where the defendant is indigent and charged with the commission of an offense or act which is in violation of a CITY ordinance and for which the penalty or any possible adjudication includes the potential loss of liberty.

- 1.2 Indigency shall be determined in accordance with the standards of indigency and other rules and guidelines established by the Ohio Public Defender Commission and the State Public Defender, pursuant to Ohio Revised Code §120.03 and Ohio Administrative Code §120-1-03.

In addition to indigency determination, all rules, standards and guidelines issued by the Office of the Ohio Public Defender and Ohio Public Defender Commission shall be followed.

- 1.3 A major purpose of this agreement is to enable the COUNTY and CITY to obtain partial reimbursement of its costs to have the County Public Defender or appointed counsel in conflict situations, provide legal representation in Kettering Municipal Court for indigent adults charged with any violation of CITY ordinance for which the penalty or any possible adjudication includes the potential loss of liberty. Any question regarding terms or performance of this agreement should be resolved in favor of obtaining this result.

2. COMPENSATION

- 2.1 CITY agrees to pay the COUNTY as follows:

- A. The City will pay the Public Defender the sum of \$223.73 (two hundred twenty-three dollars and seventy-three cents) per case for which the Public Defender provides representation;
- B. A case shall be counted as any matter in which the City initially charges an indigent person under a City ordinance for which jail is a possible sentence and for which the Public Defender provides representation past the initial arraignment. Matters that have multiple City ordinance charges under one case number shall be counted as one case. Where a case has both ordinance charges and Ohio Revised Code charges, the municipality will be billed for the case whenever the ordinance is the highest degree charge or ties for the highest degree. Matters that are pled and sentenced at the initial arraignment shall be counted as .25 (one quarter) case. Matters that are reopened as the result of revocations, show causes, capiases, or for other reasons shall be counted as .20 (one fifth) case. There shall be no charge for the appeal of a case;
- C. The Public Defender shall issue a monthly invoice for the prior month's services and the City shall pay said invoice within 30 (thirty) days of receipt;

- D. In addition to the payments for the Public Defender services, in conflict situations, where assigned counsel is appointed, the COUNTY will bill the CITY monthly for the municipal code violation cases the COUNTY paid in any particular month, based on qualifying attorney vouchers, less the amount the COUNTY is reimbursed by the State under the then current reimbursement rate. The COUNTY will issue an invoice to the CITY and the CITY will have 30 days to pay from date of receipt.
- 2.2 Reimbursement by the municipal corporation for representation of such indigent persons, whether by contractual amount or a fee schedule, shall not exceed the fee schedule in effect and adopted by the Montgomery County Commissioners.

3. DURATION OF CONTRACT AND TERMINATION

- 3.1 The term of this agreement shall be for one year, January 1, 2026, to December 31, 2026 (OPTIONAL: This contract may be renewed for additional one-year terms upon proper resolution of each entity agreeing to the one-year extension and proper appropriation of funding for the new year. Copies of the resolutions are to be sent to the Ohio Public Defender, 250 East Broad Street, Suite 1400, Columbus, Ohio 43215).
- 3.2 If COUNTY or CITY shall fail to fulfill in a reasonable, timely and proper manner its obligations under this agreement or if either party shall substantially violate any of the covenants, agreements or stipulations of this agreement, then the aggrieved party shall hereupon have the right to terminate this agreement by giving written notice to the other party of such termination and specifying an effective date thereof at least thirty (30) days before the effective date of said termination. Termination by either party shall not constitute a waiver of any other right or remedy it may have in law or in equity for breach of this agreement by the other party.
- 3.3 Written notice shall be considered furnished when it is sent by Certified Mail; return receipt requested or in hand delivered.
- 3.4 This agreement is automatically canceled, without requirement of notice, if any payment required by Section 2.1 of this agreement is not made within 60 days of the date on which it is due.

4. TERMS OF AGREEMENT

- 4.1 Indigency and client eligibility for representation under this agreement shall be determined in conformity with the standards of indigency and other rules and standards established by the Ohio Public Defender Commission and the State Public Defender.

- 4.2 The Ohio Public Defender Office has established caseload standards as stated in Ohio Administrative Code. The Montgomery County Public Defender Office will maintain caseload requirements as set forth in Ohio Administrative Code (§120-1-07(B)).
- 4.3 The Montgomery County Public Defender Office shall have the sole authority to assign Assistant Public Defenders to the Kettering Municipal Court docket. Additionally, the Montgomery County Public Defender Office shall retain sole authority to assign individual cases to the Assistant Public Defenders.
- 4.4 Recognizing that the requests for reimbursements must be received by the State Public Defender in a timely manner, the City of Kettering Court Clerk shall promptly notify the COUNTY of the Municipal ordinance cases completed and pending in any month.
- 4.5 After approval, the County Auditor shall thereafter, process the fees and expenses approved by the Court in accordance with the procedure set forth in Ohio Revised Code §120.33.
- 4.6 There shall be no discrimination against any employee who is employed in the work covered by this agreement or against any application for such employment because of the race, color, religion, sex, age, handicap or national origin. This provision shall apply to, but not be limited to employment, upgrading, demotion or transfer, recruitment or recruitment advertising, layoff or termination, raises of pay or other forms of compensation, and selection for training, including apprenticeship. The COUNTY shall insert a similar provision in any subcontract for services covered by this agreement.
- 4.7 No personnel of the parties or member of the governing body of any locality or other public official or employee of any such locality in which, or relating to which, the work under this Agreement is being carried out, and who exercises any functions or responsibilities in connection with the review or approval of the understanding or carrying out of any such work, shall, prior to the completion of said work, voluntarily acquire any personal interest, direct or indirect, which is incompatible or in conflict with the discharge and fulfillment of his or her functions and responsibilities with respect to the carrying out of said work.

5. MODIFICATION

- 5.1 This contract may not be amended orally.
- 5.2 This contract may be amended only by written addendum, signed and executed by the parties named herein, or their successors.

IN WITNESS WHEREOF, the parties have hereunto set their hands.

THE CITY OF MORaine, OHIO

MONTGOMERY COUNTY
PUBLIC DEFENDER COMMISSION

BY: _____
MICHAEL DAVIS
City Manager

BY: _____
DIANNE F. MARX
Chairman

APPROVED AS TO FORM:

BY: _____
MARTINA DILLON
Law Director

BY:  _____
THERESA G. HAIRE
Montgomery County
Public Defender

A Resolution approving the continuation of the City's Self Insurance Program and renewal of its contract with Anthem Blue Cross/Blue Shield to provide medical, dental, and vision insurance coverage via said Self Insurance Program.

Department: Finance

Request: Action Item

Item Background and Purpose:

To approve the continuation of the City's self-insurance program and renewal of its contract with Anthem Blue Cross/Blue Shield to provide medical, dental, and vision insurance coverage via said self-insurance program.

Financial Impact:

Is Item Budgeted?: No

Funding Source: Various funds

Attachments:

1. 2025-11-13 2026 Health Insurance Renewal

RECORD OF RESOLUTIONS

Resolution No. 8185-25

A RESOLUTION APPROVING THE CONTINUATION OF THE CITY’S SELF INSURANCE PROGRAM AND RENEWAL OF ITS CONTRACT WITH ANTHEM BLUE CROSS/BLUE SHIELD TO PROVIDE MEDICAL, DENTAL, AND VISION INSURANCE COVERAGE VIA SAID SELF INSURANCE PROGRAM.

NOW THEREFORE, BE IT RESOLVED BY THE COUNCIL OF THE CITY OF MORaine, STATE OF OHIO:

SECTION 1: That after due review and consideration of other coverage alternatives and upon recommendation from staff and the Finance Department, Council hereby authorizes the City Manager to take such steps as are necessary to continue the City’s health insurance “self-insurance” program (medical, dental, and vision) through December 31, 2026, with Anthem Blue Cross/Blue Shield said costs to be paid from the budgeted funds in the Health Insurance Program Fund.

SECTION 2: That the Clerk be and is hereby authorized and directed to forward a certified copy of this Resolution to the City Manager and Finance Director.

SECTION 3: That this Resolution shall take effect and be in force from and after the date of its passage.

City of Moraine

Finance Department



To: Mayor & City Council

From: Annetta Williams, Finance Director

Date: November 7, 2025

Subject: Health Insurance Renewal 2026

After reviewing our health insurance plan renewal for 2026, we would like to stay with Anthem for our medical, dental, and vision plans. The City has offered a PPO (Preferred Provider Organization) medical plan to full-time employees for many years. The proposed plan designs for our medical, dental, and vision plans do not include any significant changes for 2026. The dental and vision plans are both industry-standard plans and benefits.

Our insurance broker is predicting an increase from our current funding rates. We applied a 20% increase to our current 2025 funding rates. This was then used to establish our health insurance budget for 2026 since we don't pay premiums as a self-funded plan. We pay claims up to a threshold of \$100,000 per person on the plan. Claims that exceed the threshold are paid by our stop-loss insurance plan.

The renewal documents are attached. I am requesting legislation to renew our health plan for 2026.

Your Anthem Blue Cross and Blue Shield Renewal Packet



Connecting you to the coverage you need

Administrative Services Only (ASO) renewal for:

CITY OF MORaine

Group Number: W41095

Effective January 1, 2026 through December 31, 2026

Broker:
HUB HEARTLAND LLC

Anthem Sales Contact:
Carrie Thornton

740-398-9896
carrie.thornton@anthem.com

Thank you for partnering with Anthem

We are deeply committed to helping your employees feel covered and confident in their healthcare. We're here to help you promote an effective healthcare strategy by working closely with you and your broker.

Our associates live and work in the same places you do. We are both members of the communities we serve and partners in helping those communities thrive. In Ohio, Anthem is proud to have:¹



Ohio



4.1M
members



4,197
associates



84
years

**in the local
market**

We're reimagining what's possible for every moment of health. Your renewal includes the latest innovations from Anthem to deliver:

Empathy-driven technology



131%
increase

in engagement with health and wellness tools for members using our innovative SydneySM Health app.²

Whole-person care



1.45
million

gaps in care detected each month, to help prevent and intervene.³

Diverse networks and winning partnerships



95%
of doctors

and 96% of hospitals in the U.S. are included in our PPO network, offering high-quality care.⁴

Your success is our success. We're here to help build confidence in care and support you every step of the way.

¹ Anthem internal data, Q4 2020.

² Sydney Health is offered through an arrangement with CareMarket, Inc., a separate company offering mobile application services on behalf of Anthem Blue Cross and Blue Shield ©2021-2022.

³ Anthem internal data, 2020.

⁴ Anthem internal data, 2020, and Anthem Ebase, 2020.

Fixed Administrative Costs (ASO)

CITY OF MORaine

Effective January 1, 2026 through December 31, 2026

Fixed Administrative Costs	Current	1/1/2026 through 12/31/2026
	PCPM	PCPM
Subscribers	102	102
Members	276	276
Medical and Pharmacy Administration	\$13.78	\$14.25
Pharmacy Rebate Offset	(\$39.72)	(\$40.05)
External Stoploss Coordination Fee	\$5.00	\$5.00
Commission	\$19.31	\$19.31
Vision	\$1.92	\$1.92
ASO Core Foundational Program †	\$1.66	\$2.41
Composite Total:	\$1.95	\$2.84
Annual fixed administrative costs based on assumed enrollment:	\$2,387	\$3,476

Authorized Signature: _____
 Title: _____
 Date: _____

Additional Fee Disclosures:
 See Additional Service Fees and Pharmacy Pricing for disclosure of additional service fees which are not included on this cost summary.
 The Pharmacy Rebate Offset reflects the National Formulary. The offset may be adjusted if a different pharmacy formulary is sold.

0575017-02

Claim projection (ASO)

CITY OF MORaine

Analysis period: July 1, 2024 through June 30, 2025

Effective January 1, 2026 through December 31, 2026

Claims projection assuming no Stop Loss

Current Subscribers 102

Current Members 276

	Medical	RX	Total
Total Paid Claims	\$1,560,981	\$872,688	\$2,433,669
Paid Claims	\$1,544,955	\$872,688	\$2,417,643
+ EPHC	\$16,026		\$16,026
Adjusted Claims	\$1,560,981	\$872,688	\$2,433,669
* Trend Adjustment (Annual Med 8.30% Rx 15.20%)	1.128	1.237	1.167
Projected Claims	\$1,760,117	\$1,079,929	\$2,840,047
Enrolled Member Months	3,250	3,250	
Projected Claims PMPM	\$541.57	\$332.29	\$873.86
Multi-Year Claims Experience Weight Current Year	100.00%		
Multi-Year Claims Experience Weight Prior Year	0.00%		
Experience Weight Projected Claims PMPM	\$541.57	\$332.29	\$873.86
Experience Weight Projected Claims			\$2,894,226
Manual Claims PMPM	\$656.86	\$218.68	\$2,899,776
* Claims Credibility Weight: Experience	73.60%	73.60%	
* Claims Credibility Weight: Manual	26.40%	26.40%	
Weighted Projected Claims PMPM	\$572.01	\$302.29	\$874.30
Weighted Projected Claims			\$2,895,691
+ Claim Related Charges	\$3.28		\$10,863.36
Projected Healthcare Expense PMPM	\$575.29	\$302.29	\$877.58
Projected Healthcare Expense			\$2,906,555
Projected Healthcare Adjusted Claims PMPM	\$575.29	\$302.29	\$877.58
Projected Healthcare Adjusted Claims			\$2,906,555
Fixed Administration Costs PMPM			\$1.05
Fixed Administration Costs			\$3,476

Additional Fee Disclosures

This Claim projection is an estimate of what health care providers and vendors may charge for the services and supplies they furnish your plan's members during a plan year and does not include particular fees (e.g., subrogation fees) retained by Anthem for plan administration.

Services included and buy-up options (ASO)

CITY OF MORaine

Effective January 1, 2026 through December 31, 2026

Services Included in fixed administrative costs	
☐	Administration of the proposed PPO \$200~Blue Access plan designs.
☐	ASO Core Foundational Program †
☐	LiveHealth Online
☐	Blue Distinction Programs
☐	Claims Fiduciary Coverage
☐	Digital ID cards
☐	Standard management reporting
☐	State/federal reporting
☐	Open enrollment meeting support
☐	Electronic version of the benefit booklets

Buy-Up Options	PCPM fee
☐ EAP Basic 3-Visit	\$0.48
☐ EAP Enhanced 4-Visit	\$1.27
☐ EAP Enhanced 6-Visit	\$1.76
☐ Anthem Solutions HSA	\$2.45

Spending Account & Other Buy-up Options (charged separately)	Fee Billed Per Participant Per Month
☐ Add ASA FSA, Commuter, or Dependent Care FSA*	\$3.55
☐ Discounted cost of FSA buy-up (*when adding to HRA)	\$0.80
☐ Discounted cost of FSA buy-up (*when adding to HSA)	\$1.15

Notes

Full quote details available upon request.

HSA and HRA account administration is only available with particular plan designs. Details available upon request.

Health Savings Account Fees may be paid by the employer or the employee.

†For identified programs, Anthem has deemed certain charges as claim-related and they will be billed as such. These may include provider or vendor charges that have a direct positive impact on the cost of care. Since these charges are considered claim-related by Anthem, they are incorporated into the claims projection (available upon request). These charges are excluded from PCPM Administrative Fees above, are included in Paid Claims on the invoice, and may accumulate towards aggregate stop loss purchased from Anthem.

0575017-02

Assumptions and conditions (ASO)

CITY OF MORAINÉ

Effective January 1, 2026 through December 31, 2026

SIC Code: 9121

Administrative Services Only (ASO)

- The proposed services, rates and fees are effective from 1/1/2026 through 12/31/2026.
- This contract will be issued in OH.
 - This is an integrated medical and pharmacy offering.
- The proposal assumes 102 employees will be enrolling for medical coverage, with an average member to employee ratio of 2.71.
- The proposal assumes the same enrollment for medical and pharmacy.
- Anthem reserves the right to revise this proposal or modify these fees or rates under any of the following circumstances:
 - Due to any taxes, fees and assessments prescribed by any statutory, regulatory or other legal authority, that in Anthem's discretion, invalidates this quote.
 - Legislation or other matters that impact Anthem's costs or revenues under this proposal
 - Should the total enrollment or enrollment distribution by membership type, product or location change by 10% or more from that assumed when preparing the pricing for this package.
 - Actual Member to Subscriber ratio is not within +/-5% of 2.71.
 - A change to the plan benefits that result in substantial changes in the service, networks, or benefit design, as determined by Anthem.
 - Changes in proposal terms, conditions, services or product from this quotation.
 - Any of the plan benefits administered by Anthem are moved to another third party administrator or private exchanges.
 - Anthem is not the sole medical carrier.
 - Change in nature of Employer's business.
 - A material reduction in Provider's billed or published charges that results in a decrease in Anthem's discount of 10% or more.
- The final relationship between the Parties will be subject to and described in an Administrative Services Agreement and this agreement will be the binding agreement between the parties.
- If subject to regulatory approval, and the applicable regulator has not yet approved, these benefits and rates may need to be adjusted.
- Unless otherwise noted, fees are quoted on a per contract per month (PCPM) basis. PCPM is equivalent to, and will be described as per subscriber per month in the Administrative Services Agreement.
- Employers, as plan sponsors and administrators, are responsible for complying with all applicable laws.
- Eligibility data will be provided in Anthem's standard format. Additional charges may apply for non-standard formats.
- This quote assumes ACH withdraws from group's bank account for claims and fixed fees Weekly, with payment required within 3 business days from receipt of invoice.
- This quote assumes Anthem will accept fiduciary responsibility for claims administration and the handling of the claims complaint and appeals. To the extent ERISA applies, the employer remains the Named Fiduciary of the plan.
- Commissions and consultant fees are excluded unless otherwise noted.
- The processing of claims incurred prior to the effective date is the responsibility of the prior claims administrator.
- Since Anthem is neither a Hawaii authorized insurer nor a Hawaii Health Care Contractor, our benefits may not match the requirements of the Prepaid Health Care Act. We recommend that you obtain direct quotes for either an individual policy for employees who live and work in Hawaii or if there are several employees within an employer group to obtain group coverage from a Hawaii authorized insurer. This would ensure that all the state requirements are met.
- COBRA enrollees must not exceed 10% of total enrollees.

Assumptions and conditions (ASO)

CITY OF MORaine

Effective January 1, 2026 through December 31, 2026

SIC Code: 9121

Administrative Services Only (ASO)

- All contracts including the ASO Agreement and/or the Stop Loss Agreement must be signed prior to the effective date.
- This offer assumes that no class of employees will be offered an HRA integrated with individual health insurance coverage. Anthem must be notified if particular classes of employees will be offered an HRA integrated with individual health insurance coverage, and a census of those employees must be provided so that appropriate adjustments, if needed, can be made to this offer.
- This quote does not include funding of the Patient-Centered Outcome Research Institute fee.
- This renewal is contingent upon the group / plan sponsor being current with all premium or fees as of the effective date of the renewal, unless specifically agreed to in writing in advance by Anthem.
- Anthem reserves the right to inspect and audit any and all of CITY OF MORaine documents relating to claims submitted to Anthem. Documentation includes, but is not limited to, claims, case management, utilization management records, audit records (including audits of TPA and TPA's providers and vendors), eligibility, as well as other information requested by Anthem. Anthem also has the right to review and audit records related to subrogation and other recoveries.
- The agent/broker does not have the authority to bind or modify the terms of this offer without prior approval of Anthem.
- Please note, any additional budgets provided in conjunction with this proposal, if applicable, must be invoiced prior to the end of the plan year in which they are allocated in order to be funded.
- HSA/HDHP plan benefits are subject to IRS guidelines and may change.
- The Base Administrative Fee is conditioned upon Employer using best efforts to request member email addresses and/or smart phone numbers at the time of enrollment and to provide members the digital communication materials supplied by Anthem. The Base Administrative Fee will increase by \$0.15 per member per month if Employer does not agree to request member email addresses and/or smart phone numbers.

Authorized Signature: _____

Title: _____

Date: _____

Anthem Blue Cross and Blue Shield is the trade name of Community Insurance Company. Independent licensee of the Blue Cross and Blue Shield Association.
ANTHEM is a registered trademark of Anthem Insurance Companies, Inc. - 0575017-02

Additional service fees (ASO)

CITY OF MORaine

Effective January 1, 2026 through December 31, 2026

Additional service fees

- **Runout Period Claims Processing Fee Types**

Fees associated with claims processed during the runout period, including without limitation subrogation fees, recovery fees, and network access fees, will be charged during the runout period.

- **Runout Period Claims Processing Fee Costs**

The charge for processing 12 months of runout claims is equal to the number of medical lives for the one month prior to termination multiplied by the administration fee in effect immediately prior to termination times 3. In addition, direct charges may be incurred following termination that are not included in the standard run out processing fee (e.g., data feeds to other vendors).

- **Discount Share**

The fee will be equal to 1.50% of in-network discounts. In-network discount is the difference between billed charges for covered services and the negotiated amount. Prescription drug claims, claims paid on a capitated basis, Traditional network fee schedule and Payment Innovation program payments are excluded from the fee calculation. This fee will be limited as follows: up to \$5,000 per Claim.

- **Out of Network Savings Fees**

The fee will be equal to 50% of the savings achieved on certain non-network claims.

- **No Surprises Act - Qualifying Payment Amount Program Fee**

The fee is equal to 15% of the savings achieved on No Surprises Act claims priced using the Qualifying Payment Amount for provider reimbursement. No Surprise Act claims repriced through the QPA Program are not subject to out of network shared savings fees.

- **Traditional Network Provider Savings Fee**

The fee will be equal to 50% of Traditional network discounts. Traditional network discount is the difference between billed charges for covered services and the traditional provider negotiated amount. Prescription drug claims, claims paid on a capitated basis and Payment Innovation program payments are excluded from the fee calculation.

- **BlueCard Fees**

The following BlueCard fees will be included in the paid claims amounts:

- The access fee is charged at a percentage no greater than 3.31% of the discount/differential subject to a maximum of \$2,000 per claim.□
- The AEA Fee is \$5.00 per professional provider claim and \$11.00 per institutional claim.
- Occasionally, Anthem and a Host Blue may contract for a lower fee by combining the Access Fee and the AEA fee.
- The Central Financial Agency fee is \$0.35 per payment notice. The ITS transaction fee is \$0.05 per claims transaction.
- BlueCard fees are not charged in Anthem states. For a complete description of these fees, please consult your ASO Agreement.

- **Enhanced Personal Health Care (EPHC) Program Administration**

The fee for Anthem's oversight of EPHC with providers or vendors is 25% of the per attributed member per month amount charged to the Employer for the provider performance bonus portion of the EPHC program.

- **Subrogation services**

The charge is 25% of gross subrogation recovery.

- **Overpayment Identification and Claims Prepayment Analysis Activities**

The charge to Employer is 25% of (i) the amount recovered from review of Claims and membership data and audits of Provider and Vendor activity to identify overpayments and (ii) the difference between the amount Employer would have been charged absent prepayment analysis activities and the amount that was charged to Employer following performance of the prepayment analysis activities. This includes, but is not limited to, activities related to COB, Host Blue activities, contract compliance, and eligibility. The fee will not exceed \$25,000 per claim.

- **External appeals**

The PPACA requires that ASO groups provide a process for external claims appeals to be available in situations where adverse benefit determinations have been made. Employer may contract with Anthem for this service or arrange to work directly with an external vendor. The fee will be \$500 per external appeal for the service contracted with Anthem.

- **Independent Dispute Resolution**

Fee for Independent Dispute Resolution. Fees charged to Anthem as part of independent dispute resolution processes, including arbitrator fees, will be charged to Employer.

- **Reporting**

Management reports (e.g., standard account reporting package, performance guarantee reporting, lag reports, online reporting tool/access are included in our fees. In addition to these reports, Anthem will provide 20 hours of time needed to generate custom or ad-hoc reports (e.g., care management and utilization review reports) at no charge per year. The charge beyond 20 hours per year is \$150 per hour of time needed to generate the custom or ad-hoc report.

- **Data Feeds**

Anthem shall provide up to one Monthly data feed to a supported outside vendor in Anthem's standard format , not to exceed 12 feeds. The charge is \$1,000 for each additional feed. Each time a report is sent to a supported vendor electronically, it is considered a feed, even if the same report is sent to the same vendor monthly. For example, if monthly feeds are sent to two supported vendors, 24 electronic data feeds will have been used on an annual basis. The charge for Weekly data feeds to a single supported vendor, not to exceed 52 feeds, is \$15,000 annually. The charge for Daily data feeds to a single supported vendor, not to exceed 365 feeds, is \$20,000 annually. Additional fees would be required for Stop Loss interfaces, Rx integration feeds and telemedicine.

- **Stop Loss Carve-out Coordination Fee**

Should qualified accounts purchase Stop Loss from a carrier other than Anthem a coordination fee will apply.

- **Pharmacy Benefit Administration**

See Pharmacy Pricing Summary.

- **Claim Related Charges**

For identified programs, Anthem has deemed certain charges as claim-related and they will be billed as such. These may include provider or vendor charges that have a direct positive impact on the cost of care. Since these charges are considered claim-related by Anthem, they are incorporated into the claims projection (available upon request).

- **Engagement on Claims Audits**

\$150 per hour in situations where Anthem is asked to perform research on claim audit findings. Maximum of 250 claims will be reviewed by Anthem.

Pharmacy Pricing Selections

CITY OF MORaine

Effective: 01/01/2026 - 12/31/2026

Total subscribers: 103



PRODUCT SELECTIONS

Retail Network

☒ Base Network

Non-Specialty Maintenance Network

☒ Opt Out Home Delivery

Specialty Network

☒ Exclusive Specialty

Formulary

☒ Essential Formulary

CLINICAL PROGRAMS INCLUDED IN PRICING

Fraud, Waste, and Abuse (FWA) Services	Included
Clinical Prior Authorization	\$55.00 per occurrence
Clinical Pharmacy Review – Physician Review	\$800.00 per occurrence
Step Therapy	\$0.30 per script
Quantity Limits and Dose Optimization	\$0.55 per script
Client Reporting Packages - Base Package	Included
Core Care Optimization Program	\$0.25 per script
Specialty Cost Optimization Program	Included
Specialty Drug Accumulator Rules	Included
Specialty Condition Management - Standard	Included
Cost Relief	25.00% of Shared Savings
EnsureRx	Included at no cost

NOTE: THE PRICING PRESENTED IS CONTINGENT UPON ADOPTION OF THE ABOVE PROGRAMS ACROSS ALL PLANS. CHANGES TO THESE PROGRAMS WILL RESULT IN REPRICING.

OPTIONAL PROGRAMS (select requested programs)

☐ Client Reporting Packages - Enhanced Package	\$0.12 per script
☐ RDS Support Services	Fee Varies
☐ Rx Care Nexus	\$0.75 Per Script
☐ Weight Management Program	\$380 per enrolled member per year

The Pharmacy Pricing Guarantees presented assume the adoption of all clinical programs included in pricing.

Authorized Signature: _____

Title: _____

Date: _____

Please confirm the selections available and sign above in order for implementation to be initiated.

Document ID I-25676-92408-1-1

BPS HB

7/16/2025

Pharmacy Pricing

CITY OF MORaine

Effective: 01/01/2026 - 12/31/2026
Total subscribers: 103

Retail Pricing Guarantees	RETAIL NETWORK	Base Retail Pharmacy Network Broad national retail network.
		01/01/2026 - 12/31/2026
	1-83 days' supply Brand Discount % off AWP Brand Dispensing Fee per Rx Generic Discount % off AWP Generic Dispensing Fee per Rx	19.80% \$0.50 86.00% \$0.40
Maintenance Pricing Guarantees	MAIL MAINTENANCE NETWORK	Opt Out Home Delivery Members may choose to fill maintenance medications at retail or through Anthem's Home Delivery Pharmacy.
		01/01/2026 - 12/31/2026
	Brand Discount % off AWP Generic Discount % off AWP	22.80% 89.00%
Specialty Guarantees	SPECIALTY	Exclusive Specialty Requires members to use Anthem's Specialty Pharmacy as the preferred provider under the pharmacy benefit; Exclusions include HIV specialty medications, oral transplant medications and limited or exclusive specialty drugs the Anthem Specialty Pharmacy does not have access to; members have access to clinical pharmacists with expertise in specialty medication drug therapy.
		01/01/2026 - 12/31/2026
	Overall Specialty Discount % off AWP Overall Specialty Dispensing Fee per Rx	22.00% \$0.00 Moving to Open Specialty Network will include lost value and members may experience access issues.
Prescription Drug Rebate Guarantees	FORMULARY	Essential Formulary Closed formulary offering for clients whose key priority is pharmacy cost control and total cost savings without sacrificing access to high-quality prescription drugs.
		01/01/2026 - 12/31/2026
	Minimum Rebate Guarantees Retail 30 Per Brand Script Retail 90 Per Brand Script Home Delivery Per Brand Script Specialty Per Brand Script Client Share of Rebates	\$360.00 \$940.00 \$915.00 \$3,400.00 100.00%

Allowances/Credits	Allowance/Credit Totals		Guarantee Rate	Guarantee Basis
	01/01/2026 - 12/31/2026			
General/Ongoing Allowance	\$2,216		\$8.00	Per Member Per Year
Broker Fee - Ongoing	\$3,324		\$1.00	Per Member Per Month

The Pharmacy Pricing Guarantees presented here assume the adoption of all clinical programs included in pricing.

Document ID I-25676-92408-1-1
BPS HB
7/16/2025

Pharmacy Pricing Assumptions G Conditions

CITY OF MORaine

Effective: 01/01/2026 - 12/31/2026
Total subscribers: 103

General Conditions

The pricing and terms in this commercial proposal are being offered solely for CITY OF MORaine with an effective date of 01/01/2026, for a term of 1 Year.
In order for the proposed terms to apply, the client must notify Anthem of offer acceptance at least 90 days prior to the effective date.
Guarantees are contingent upon a signed agreement and assume alignment with the proposed Preferred Drug List (PDL), including all prior authorization and utilization management criteria, and a plan design that allows for up to 90 days supply at mail.

As a portion of our reasonable compensation for services provided, we will retain the difference, if any, between the invoiced amount to the client and the amount paid to the PBM's Vendor for prescription drugs dispensed to members.
Anthem may receive and retain administrative fees from our pharmacy Vendor or directly from pharmaceutical manufacturers.
COVID Test Kits, COVID Anti-Viral Medication and COVID Vaccines are excluded from all rebates, pricing calculations and performance guarantees under your PBM contract.
Should the client terminate Pharmacy Services during the Agreement Period for reasons other than for cause in accordance with this Agreement, Anthem may recoup any and/or allocated allowance dollars.

Offer applies only to commercial plans.

Upon thirty (30) days prior written notice, Anthem may modify or amend the financial provisions in a manner designed to account for the impact of the client having an onsite pharmacy and/or participating in the Federal 340B purchasing program which was not disclosed to Anthem at the time of underwriting.

Anthem reserves the right to modify our guarantees should one of the following happen:

1. Client Directed Changes. to the extent of any adverse financial impact to the overall economic value of the Agreement: (a) a change in the scope of services (retail network management, mail, specialty and Rebate services) to be performed under this Agreement upon which the financial provisions included in this Agreement are based; (b) a material change in plan design or (c) any substantive deviation from Client's formulary, which may impact Rebates "Directed Changes").

Client agrees to provide PBM with written notice of its desired Directed Changes. Upon receipt of the notice, PBM will have thirty (30) days to determine and inform Client in writing of any such change to the financial provisions. PBM agrees to discuss its rationale and calculations with Client's consultant. Client will inform PBM of its decision of whether or not it will move forward with the Directed Changes. In the event Client moves forward with the Directed Changes, the parties agree to execute a Change Order documenting their agreement of the changes to the financial provisions which Direct Changes and pricing shall be implemented sixty days after the execution of the Change Order.

2. Change of at least 20.0% from the assumed enrolled membership and claims which supports our offer.

3. Market Event Conditions. in the event of a government imposed change in federal, state or local laws or industry wide change that materially impacts the financial economics of the Agreement. For modifications or amendments made pursuant to the above, PBM agrees to modify the pricing in an equitable manner to preserve the financial interest of both parties. PBM shall provide documentation demonstrating that the revised pricing terms are equitable based on the new industry standard.

This document represents a summary of Anthem's pricing offer and is not intended to be all-inclusive; other standard terms, conditions and pricing may apply. Specific contract language will be provided upon request.
If this summary conflicts with the Administrative Services Agreement, the Administrative Services Agreement controls.

Network Guarantees

Our network guarantees exclude the following claims: compounds, vaccines, 340B claims, COB, long-term care, on-site pharmacy, Medicare Part D, COVID vaccines, COVID test kits, COVID anti-viral medication

Dispensed as written claims with code 5 will be considered generic drugs and will be included in the generic discount and generic dispensing fee guarantees.

Our exclusive specialty guarantee requires members fill specialty products at PBM's specialty pharmacy, other than Limited Distribution Products which may not be available at the PBM specialty pharmacy.

Any payment due to CITY OF MORaine under an AWP discount guarantee within an AWP discount channel will be offset by favorable results achieved in any other AWP discount guarantee within that same AWP discount channel. Overall favorable results for an AWP discount channel will not be used to offset unfavorable results under overall AWP discount guarantees in another AWP discount channel.

As a result of legislation enacted in specific states, a \$1.50 per script fee will be charged for claims applicable to the mandates known at the time of underwriting. Future mandates will be evaluated on a case-by-case basis and will follow the terms outlined in Market Event Conditions contained within this offer. Prescription Drug Claims for Covered Products processed in a state that mandates NADAC pricing will be excluded from Network Guarantees. Additionally, certain state mandates may impact network options and available programs and services including but not limited to cost share, narrow retail and mail networks, exclusive specialty, point of sale rebates, specialty copay programs, etc.

Rebate Guarantees

The client must use Anthem's recommended formulary to be eligible for prescription drug rebates, whether the prescription drug rebates are paid or applied as a credit.
Rebate eligibility is dependent on confirmation of CITY OF MORaine's ERISA status.
Rebate guarantees do not include rebates attributable to medical claims processed by Anthem.

Our rebate guarantees exclude the following claims: new-to-market drugs, vaccines, non-diabetic supplies, 340B claims, compounds, DAW 5 / House Generic, OTC (other than OTC Insulin and OTC Supplies), COB, long-term care, on-site pharmacy, formulary exception claims, specialty starter fills, Medicare Part D, COVID vaccines, COVID test kits, COVID anti-viral medication.

Rebate guarantees do not apply to claims processed through institutional, hospital, or staff model/hospital pharmacies where the pharmacy, most likely, has its own manufacturer contracts (rebate or purchase discounts), or through pharmacies that participate in the Federal government pharmaceutical purchasing program.

In the event of future market changes that impact drug price or the event that clinically comparable lower rebate drugs impact the level of rebates expected, a Rebate Credit towards the Rebate guarantee amount will be applied. This credit will be calculated as the difference between the originator Brand product rebates and the new product rebates or the drug cost savings from a lower drug cost due to a pricing change from Pharma, resulting in neutrality for Client.

Rebate guarantees do not apply if there is a failure by the client to maintain and implement a Plan design wherein non-preferred drugs have either a \$15.00 higher Copayment or a 50% higher coinsurance (ex: preferred = 30%, non-preferred = 45%) than the preferred.

Any payment due to CITY OF MORaine under a rebate guarantee will be offset by favorable results achieved in any other rebate guarantee.

Our Prescription Drug Plan: Programs and Services

We offer a comprehensive suite of trend and integrated health management programs and services. Below is a list, by product, of the programs and services that are assumed in this offer.
This list is not all inclusive and may change as we update our offering to meet the needs of the marketplace.

Category	Charge
General Administration	
Customized communication materials	\$2.00 per letter
Paper claims/member submitted claims processing	\$2.50 per occurrence
Network Pharmacy Services	
Fraud , Waste and Abuse (FWA) Services includes two types of Pharmacy Network monitoring and audit capabilities.	Included
Daily claim review and reprocessing	Included
Pharmacy Network Audit/ Investigative and Onsite Audit	100% of recoveries received are shared less a 25.00% recovery fee to cover associated expenses.
Custom / Onsite Pharmacy network development and administration	Subject to initial set up and ongoing maintenance fees to be determined based on scope.
On-site pharmacy claim processing	No onsite pharmacies included in offer. If identified, \$2.50 per on-site claim.
Account Management Services	
Dedicated account team support	Pricing available upon request
Patient, Trend, Quality and Cost-of-Care Management	
Clinical Prior Authorization program	\$55.00 per occurrence
This review focuses mainly on drugs that may have risk of serious side effects or dangerous drug interactions, high potential for incorrect use or abuse, better alternatives that may cost less, or restrictions for use with very specific conditions.	

Clinical Pharmacy Review – Physician Review Certain medications need a higher level of review than a Clinical Prior Authorization and additional information from the prescriber.	\$800.00 per occurrence
CarelonRx ProActive PA Proactive PAs apply integrated medical and pharmacy data, where a member's diagnosis from medical claims are incorporated into the pharmacy claim system to seamlessly approve PAs where diagnoses are required. During adjudication, the Proactive PA rules evaluate the member's diagnosis from medical claims for the presence of a diagnosis code representing a condition for which a traditional utilization review is not needed to authorize the medication. If the diagnosis is present, the claim will pay at point-of-sale rather than rejecting for PA required.	Included at no cost
Step Therapy Step therapy requires the member to use one medication before benefits for the use of another medication can be authorized. Step therapy ensures members have previously used first-line therapies or have risk factors making the prescribed products inappropriate.	\$0.30 per script
Quantity Limits and Dose Optimization Quantity limits guard against high doses and excessive utilization based on either doses exceeding the FDA or manufacturer recommended maximum daily doses or limiting short-term medications to a certain number of fills over a defined period of time.	\$0.55 per script
Care Optimization Program CarelonRx's standard core clinical care management offering, which focuses on identifying potential gaps in the pharmacy care of our for diabetic and behavioral health patients members related to appropriate use, medication compliance, safety, cost savings on generics and formulary alternatives. This program concentrates on addressing issues related to polypharmacy, appropriate use, duplication of therapy, and dose optimization for individual patients who are identified as at risk and includes pharmacy gaps in care messaging programs.	\$0.25 per script
Vaccine Program Fee	\$2.50 per occurrence
Specialty Drug Accumulator Rules The specialty drug accumulator rules help prevent non-needs-based manufacturer copay assistance program funds from counting toward member deductibles and out-of-pocket maximums, ensuring that only the member's true out of pocket is reflected against their plan and keeping the integrity of the plan design intact.	Included at no cost
Specialty Condition Management - Standard Offers specialized Member support and resources targeting 9 rare medical conditions to all Members using the Specialty Pharmacy after their second fill of a Specialty Product through the Specialty Pharmacy. Conditions: Crohn's disease, cystic fibrosis, Gaucher's disease, hemophilia, hereditary angioedema, lupus, multiple sclerosis, rheumatoid arthritis, and ulcerative colitis.	Included at no cost
Specialty Cost Optimization Program Comprehensive management of medical specialty utilization and spend and ensuring appropriate, quality care. Right Drug Right Channel - Consists of two components which drive specialty medications to the most clinically appropriate benefit- medical to pharmacy and pharmacy to medical. Medical Specialty Drug Review - Helps to improve outcomes and manage total cost by applying clinical criteria that optimize dose and ensure clinically appropriate and safe use. Site of Care - Clinical reviews are initiated when certain specialty medications are requested to be administered in an outpatient hospital setting. The review will determine the level of care that is medically necessary.	Included
CarelonRx Cost Relief Cost Relief combines a proactive member outreach program with targeted benefit changes for specialty medications to ensure that members and ASO clients receive the lowest possible cost for specialty medications on the Pharmacy Benefit. Members pay \$0 out of pocket for their medication, and clients can achieve up to 25% savings off of their total pharmacy benefit specialty spend. In order for a client to be eligible for CarelonRx Cost Relief, they must have Exclusive Specialty network with No Grace Fills and the Essential, National, National Direct Plus, National Direct Preferred, or Essential Direct formularies. CarelonRx Cost Relief is not recommended for HRA plans, or with the Point of Sale Rebates product. Plans must allow for coordination of benefits processing.	25.00% of Shared Savings
EnsureRx A cost saving program that automatically compares pricing for select covered generic medications against multiple cash discount cards and seamlessly applies the lower cost. The claim is applied towards deductibles and accumulators – and ensures our members are getting the most value out of their benefits. CarelonRx may receive compensation from Waltz Health in connection with the services provided to Employer's members. To the extent CarelonRx receives such compensation it will be based on the number of member claims for covered prescriptions.	Included at no cost
Client Reporting Packages	
Base Package Access to Rx Guide (unlimited) All custom reporting requests are billable at \$150 per hour rate	Included at no cost
Additional Services and Programs	
Member Communications for programs including the following: Non-FDA approved drug block disruption letters Re-labeler program Clinical Equivalent Drug List (CEDL) disruption letters Commercial Formulary Member Notifications New Implementation Formulary Disruption Letters	\$1.30 per letter
Controlled Substance Utilization Management (CSUM) Retrospective—Monitors overuse of controlled substances	Included at no cost
Safety Communications, Drug Recalls and Withdrawals Alerts Members and Prescribers to safety concerns about the medications they are taking and prescribing. Alerts Members impacted by changes in the Medicare Formulary or Medicaid Formulary due to safety issues (Class I Recalls, Class II Recalls, Market Withdrawals, side-effects) per CMS Requirements and Medicaid Requirements, as applicable.	Included at no cost
Pharmacy Home Identifies members who may be over-utilizing controlled substances, prescription cascading, or doctor/pharmacy shopping. Members that meet defined criteria are restricted to the designated home pharmacy.	Included at no cost

Standard Programs and Services

CITY OF MORaine

Effective:

01/01/2026 - 12/31/2026

Total subscribers:

103

Our Prescription Drug Plan: Programs and Services

We offer a comprehensive suite of trend and integrated health management programs and services. Below is a list, by product, of the programs and services that are standard in this offer. This list is not all inclusive and may change as we update our offering to meet the needs of the marketplace.

Category	Charge
General Administration	
Account management	Included at no cost
Banking	Included at no cost
FSA feeds	Included at no cost
Implementation services	Included at no cost
Plan design strategy and consultation	Included at no cost
Combined medical & pharmacy ID cards	Included at no cost
Standard communication materials to assist members with enrollment decisions and welcome them to their new plan when they enroll	Included at no cost
Network Pharmacy Services	
Pharmacy help desk with toll-free number 24/7 support	Included at no cost
Pharmacy network management	Included at no cost
Pharmacy reimbursement	Included at no cost
Home Delivery Services	
Home delivery claims processing	Included at no cost
Home delivery call center with toll-free number	Included at no cost
Benefit education (includes home delivery promotion)	Included at no cost
Retail-to-Home Delivery member outreach programs	Included at no cost
Home delivery regular shipping and handling	Included at no cost
Account Management Services	
Annual strategic planning with quarterly reviews	Included at no cost
Centralized administration for payment of claim and administration fees	Included at no cost
Designated pharmacy account team support, including Pharmacy Account Manager, Pharmacy Program Manager (clinical), Pharmacy Services Coordinator	Included at no cost
Remote training for access to online system(s)	Included at no cost
Member Services	
Customer service for members with toll-free number, to include language translation services	Included at no cost
Pharmacy customer service call center with toll-free number	Included at no cost
Member Website Portal (SSO)	Included at no cost
Internet Services	
e-Services for Prescriptions: Intuitive and easy to navigate	Included at no cost
Online health improvement tools and programs	Included at no cost
Pharmacy look-up	Included at no cost
Refill a prescription	Included at no cost
Savings center – compare costs to switch from retail to home delivery	Included at no cost
Search and price a medicine – search drugs by name, therapeutic class or subclass; compare costs and drug details, including price by pharmacy	Included at no cost
Secure member message center	Included at no cost
Additional miscellaneous Internet services – view coverage and copayments, obtain an ID card, access drug and health guide	Included at no cost
Patient, Trend, Quality and Cost-of-Care Management	
Concurrent Drug Utilization Review Utilizes point-of-service safety edits (for Specialty and non-Specialty Products) to monitor: Clinical appropriateness Medication safety Duplicate claims Duplicate prescriptions Refill frequency (refill-too-soon) Maximum dispensing limitations Cost and quantity inconsistency	Included at no cost
Retrospective Drug Utilization Review programs Retrospective safety review within 72 hours of adjudication.	Included at no cost
Cost-of-Care programs Formulary management – outcomes-based formulary	Included at no cost
Generic Drug Management Preferred Generics – members pay brand copay plus the cost difference when a generic is available but a brand is selected.	Included at no cost
Prescription Drug Discount Program for Non-Covered Drugs Allows members to purchase certain medications not covered under their plan at a discount.	Included at no cost
Reporting Services	
Clinical savings reports	Included at no cost
Standard reporting	Included at no cost
Web-based client reporting	Included at no cost
Specialty Pharmacy Services	
Comprehensive specialty pharmacy and individualized member support services	Included at no cost
Specialty pharmacy call center with toll-free number	Included at no cost
Specialty pharmacy claims processing	Included at no cost
Specialty pharmacy regular shipping and handling	Included at no cost
Therapy-specific counseling	Included at no cost

Your summary of benefits



Anthem® Blue Cross and Blue Shield

City of Moraine

Your Plan: Anthem Blue Access PPO

Your Network: Blue Access

Effective Date: 01/01/2026

Visits with Virtual Care-Only Providers	Cost through our mobile app and website
Primary Care, and medical services for urgent/acute care	No charge medical deductible does not apply
Mental Health & Substance Use Disorder Services	No charge medical deductible does not apply
Specialist care	\$20 copay per visit medical deductible does not apply

Covered Medical Benefits	Cost if you use an In-Network Provider	Cost if you use an Out-of-Network Provider
Overall Deductible	\$200 person / \$400 family	\$400 person / \$800 family
Overall Out-of-Pocket Limit	\$1,000 person / \$2,000 family	\$2,000 person / \$4,000 family

The family deductible and out-of-pocket limit are embedded, meaning the cost shares of one family member will be applied to the per person deductible and per person out-of-pocket limit; in addition, amounts for all covered family members apply to both the family deductible and family out-of-pocket limit. No one member will pay more than the per person deductible or per person out-of-pocket limit.

All medical and prescription drug deductibles, copayments and coinsurance apply to the out-of-pocket limit (excluding Out-of-Network Human Organ and Tissue Transplant (HOTT), Cellular and Gene Therapy services).

In-Network and Out-of-Network deductibles and out-of-pocket limit amounts are separate and do not accumulate toward each other.

Doctor Visits (virtual and office) *You are encouraged to select a Primary Care Physician (PCP).*

Primary Care (PCP) <i>virtual and office</i>	\$20 copay per visit medical deductible does not apply	30% coinsurance after medical deductible is met
Mental Health and Substance Use Disorder Services <i>virtual and office</i>	No charge	30% coinsurance after medical deductible is met
Specialist Provider <i>virtual and office</i>	\$20 copay per visit medical deductible does not apply	30% coinsurance after medical deductible is met

Covered Medical Benefits	Cost if you use an In-Network Provider	Cost if you use an Out-of-Network Provider
<u>Other Practitioner Visits</u> Maternity Doctor services (prenatal/postpartum care and delivery) Retail Health Clinic for routine care and treatment of common illnesses; usually found in major pharmacies or retail stores.	10% coinsurance after medical deductible is met \$20 copay per visit medical deductible does not apply	30% coinsurance after medical deductible is met 30% coinsurance after medical deductible is met
<u>Other Services in an Office</u> Allergy Testing <i>When Allergy injections are billed separately by network providers, the member is responsible for a \$10 copay. When billed as part of an office visit, there is no additional cost to the member for the injection.</i> Prescription Drugs Dispensed in the office Surgery	10% coinsurance after medical deductible is met 10% coinsurance after medical deductible is met \$20 copay per surgery medical deductible does not apply	30% coinsurance after medical deductible is met 30% coinsurance after medical deductible is met 30% coinsurance after medical deductible is met
Preventive care / screenings / immunizations	No charge	30% coinsurance after medical deductible is met
Preventive Care for Chronic Conditions per IRS guidelines	No charge	Cost share is based on the setting services are received.
<u>Diagnostic Services Lab</u> Office Outpatient Hospital	No charge 10% coinsurance after medical deductible is met	30% coinsurance after medical deductible is met 30% coinsurance after medical deductible is met
<u>Diagnostic Services X-Ray</u> Office Outpatient Hospital	No charge 10% coinsurance after medical deductible is met	30% coinsurance after medical deductible is met 30% coinsurance after medical deductible is met
<u>Diagnostic Services Advanced Diagnostic Imaging</u> for example: MRI, PET and CAT scans Office	10% coinsurance after medical deductible is met	30% coinsurance after medical deductible is met

Covered Medical Benefits	Cost if you use an In-Network Provider	Cost if you use an Out-of-Network Provider
Outpatient Hospital	10% coinsurance after medical deductible is met	30% coinsurance after medical deductible is met
<u>Emergency and Urgent Care</u> Urgent Care includes doctor services. Additional charges may apply depending on the care provided. Emergency Room Facility Services Your copay will be waived if admitted. Emergency Room Doctor and Other Services Ambulance	\$50 copay per visit medical deductible does not apply \$100 copay per visit medical deductible does not apply No charge 10% coinsurance after medical deductible is met	30% coinsurance after medical deductible is met Covered as In-Network Covered as In-Network Covered as In-Network
<u>Outpatient Mental Health and Substance Use Disorder Services at a Facility</u> Facility Fees Doctor Services	No charge No charge	30% coinsurance after medical deductible is met 30% coinsurance after medical deductible is met
<u>Outpatient Surgery</u> Facility Fees Hospital Physician and other services Hospital Surgeon fees Hospital	10% coinsurance after medical deductible is met No charge No charge	30% coinsurance after medical deductible is met 30% coinsurance after medical deductible is met 30% coinsurance after medical deductible is met
<u>Hospital (Including Maternity, Mental Health and Substance Use Disorder Services)</u> Facility Fees	10% coinsurance after medical deductible is met	30% coinsurance after medical deductible is met

Covered Medical Benefits	Cost if you use an In-Network Provider	Cost if you use an Out-of-Network Provider
Human Organ and Tissue Transplants <i>Cornea transplants are treated as medical procedures, with benefits and cost sharing determined by the setting in which the services are received. You must get certain covered transplant procedures from an Approved In-Network Provider to receive the In-Network level of benefits.</i> Physician and other services including surgeon fees	No charge 10% coinsurance after medical deductible is met	50% coinsurance after medical deductible is met 30% coinsurance after medical deductible is met
Home Health Care <i>Coverage is limited to 100 visits per benefit period. Private Duty Nursing is limited to 82 visits per benefit period.</i>	10% coinsurance after medical deductible is met	30% coinsurance after medical deductible is met
Therapy Services Rehabilitation and Habilitation services including physical, occupational and speech therapies. <i>Coverage for physical, occupational, and speech therapies is limited to 20 visits each per benefit period.</i> Office Outpatient Hospital Manipulation Therapy <i>Coverage is limited to 12 visits per benefit period.</i> Office Outpatient Hospital	 \$20 copay per visit medical deductible does not apply 10% coinsurance after medical deductible is met \$20 copay per visit medical deductible does not apply 10% coinsurance after medical deductible is met	 30% coinsurance after medical deductible is met 30% coinsurance after medical deductible is met 30% coinsurance after medical deductible is met 30% coinsurance after medical deductible is met
Pulmonary rehabilitation <i>Coverage is limited to 20 visits per benefit period.</i> Office Outpatient Hospital	 \$20 copay per visit medical deductible does not apply 10% coinsurance after medical deductible is met	 30% coinsurance after medical deductible is met 30% coinsurance after medical deductible is met
Cardiac rehabilitation <i>Coverage is limited to 36 visits per benefit period.</i> Office	 \$20 copay per visit medical deductible does not apply	 30% coinsurance after medical deductible is met

Covered Medical Benefits	Cost if you use an In-Network Provider	Cost if you use an Out-of-Network Provider
Outpatient Hospital	10% coinsurance after medical deductible is met	30% coinsurance after medical deductible is met
Dialysis/Hemodialysis		
Office	\$20 copay per visit medical deductible does not apply	30% coinsurance after medical deductible is met
Outpatient Hospital	10% coinsurance after medical deductible is met	30% coinsurance after medical deductible is met
Chemo/Radiation Therapy		
Office	\$20 copay per visit medical deductible does not apply	30% coinsurance after medical deductible is met
Outpatient Hospital	10% coinsurance after medical deductible is met	30% coinsurance after medical deductible is met
Skilled Nursing Care (facility) <i>Coverage for Skilled Nursing is limited to 90 days. Inpatient Rehabilitation facility (includes services in an outpatient day rehabilitation program) is limited to 60 days.</i>	10% coinsurance after medical deductible is met	30% coinsurance after medical deductible is met
Inpatient Hospice	10% coinsurance after medical deductible is met	30% coinsurance after medical deductible is met
<u>Additional Services, Equipment and Devices</u>		
Durable Medical Equipment	10% coinsurance after medical deductible is met	10% coinsurance after medical deductible is met
Prosthetic Devices	10% coinsurance after medical deductible is met	30% coinsurance after medical deductible is met
Wigs <i>Coverage for wigs is limited to 1 item after cancer treatment per benefit period.</i>	10% coinsurance after medical deductible is met	30% coinsurance after medical deductible is met
Hearing Aids <i>Hearing Aids for members through age 21 will be covered in full. Limits apply of one (1) hearing aid per ear every 48 months with a dollar maximum of \$2,500 per ear. Hearing aids will include over-the-counter hearing aids and bone anchored hearing aids.</i>	No charge	30% coinsurance after medical deductible is met

Covered Prescription Drug Benefits	Cost if you use an In-Network Pharmacy	Cost if you use an Out-of-Network Pharmacy
Pharmacy Deductible	Not applicable	Not applicable
Pharmacy Out-of-Pocket Limit	Combined with In-Network medical out-of-pocket limit	Combined with Out-of-Network medical out-of-pocket limit
Prescription Drug Coverage Network: <i>Base Network</i> Drug List: <i>Essential</i> <i>Drugs not included on the Essential drug list will not be covered.</i>		
Day Supply Limits: Retail Pharmacy 34 day supply or 100 unit doses, whichever is greater (cost shares noted below). Home Delivery Pharmacy 90 day supply (maximum cost shares noted below). Maintenance medications are available through our home delivery pharmacy. You may get two 30-day supply fills of the same maintenance medication at a retail pharmacy. Prior to your 3rd fill, you must call us on the number on your ID card and tell us if you would like to keep getting your maintenance medications from a retail pharmacy or if you would like to use home delivery. If you do not contact us, you will pay the full retail cost of any maintenance medication until you inform us of your decision. Specialty Pharmacy 30 day supply at retail (cost shares noted below apply); up to 90 day supply at home delivery (subject to up to 3 times the 30 day supply cost share(s) noted below). We may require certain drugs with special handling, provider coordination or patient education be filled by our designated specialty pharmacy.		
Tier 1 - Typically Generic	\$10 copay per prescription (retail) and \$20 copay per prescription (home delivery)	50% coinsurance (retail) and Not covered (home delivery)
Tier 2 - Typically Preferred Brand	\$20 copay per prescription (retail) and \$40 copay per prescription (home delivery)	50% coinsurance (retail) and Not covered (home delivery)
Tier 3 - Typically Non-Preferred Brand	\$30 copay per prescription (retail) and \$60 copay per prescription (home delivery)	50% coinsurance (retail) and Not covered (home delivery)
Tier 4 - Typically Specialty (brand and generic)	25% coinsurance up to \$350 per prescription (retail and home delivery)	50% coinsurance (retail) and Not covered (home delivery)

Notes:

- Dependent Age Limit: to the end of the month in which the child attains age 26.
- Members are encouraged to always obtain prior approval when using Out-of-Network Providers. Precertification will help the member know if the services are considered not medically necessary.

- No charge means no deductible / copayment / coinsurance up to the maximum allowable amount. 0% means no coinsurance up to the maximum allowable amount. However, when choosing an Out-of-Network Provider, the member is responsible for any balance due after the plan payment.
- The Primary Care Physician and Specialist office visit copay applies to both office and facility based office visits for evaluation and management services only.
- Costs may vary by the site of service. Other cost shares may apply depending on services provided. Check your Certificate of Coverage for details.
- The limits for physical, occupational, and speech therapy, if any apply to this plan, will not apply if you get care as part of the Mental Health and Substance Use Disorder benefit.
- If you have received Urgent Care at an Outpatient Facility (e.g., Hospital or Ambulatory Surgical Facility), benefits for Covered Services will be paid under "Outpatient Facility Services" which is generally coinsurance or coinsurance after your deductible is met.
- Ohio's House Bill 388 and the Federal No Surprises Act establish patient protections including from Out-of-Network Providers' surprise bills ("balance billing") for Emergency Care and other specified items or services. We will comply with these new state and federal requirements including how we process claims from certain Out-of-Network Providers.
- Benefit Period: Calendar Year

This summary of benefits is a brief outline of coverage, designed to help you with the selection process. This summary does not reflect each and every benefit, exclusion and limitation which may apply to the coverage. For more details, important limitations and exclusions, please review the formal Evidence of Coverage (EOC). If there is a difference between this summary and the Evidence of Coverage (EOC), the Evidence of Coverage (EOC), will prevail.

Anthem Blue Cross and Blue Shield is the trade name of Community Insurance Company. Independent licensee of the Blue Cross and Blue Shield Association. ® ANTHEM is a registered trademark of Anthem Insurance Companies, Inc. The Blue Cross and Blue Shield names and symbols are registered marks of the Blue Cross and Blue Shield Association.

Questions: (833) 639-1634 or visit us at www.anthem.com

Your summary of benefits



Your Plan: Anthem Blue Access PPO
Your Network: Blue Access

This summary of benefits is intended to be a brief outline of coverage. The entire provisions of benefits and exclusions are contained in the Group Contract, Certificate, and Schedule of Benefits. In the event of a conflict between the Group Contract and this description, the terms of the Group Contract will prevail.

By signing this Summary of Benefits, I agree to the benefits for the product selected as of the effective date indicated.

Authorized group signature (if applicable)	Date
Underwriting signature (if applicable)	Date

We're here for you – in many languages

The law requires us to include a message in all of these different languages. Curious what they say? Here's the English version: "You have the right to get help in your language for free. Just call the Member Services number on your ID card." Visually impaired? You can also ask for other formats of this document

Spanish

Usted tiene derecho a obtener asistencia en su idioma sin cargo. Llame al número de Servicios para Miembros que figura en su tarjeta de identificación ¿Tiene alguna deficiencia visual? También puede solicitar este documento en otros formatos.

Chinese

您有權免費獲得使用您的語言提供的協助。只需撥打印於您的 ID 卡上的會員服務部電話號碼即可。視力障礙？您也可以索取本文件的其他格式。

Vietnamese

Quý vị có quyền nhận trợ giúp bằng ngôn ngữ của mình, miễn phí. Quý vị chỉ cần gọi đến số điện thoại của Ban Dịch vụ Thành viên trên thẻ ID của quý vị. Quý vị bị khiếm thị? Quý vị cũng có thể yêu cầu các định dạng khác của tài liệu này.

Korean

귀하는 귀하의 언어로 된 도움을 무료로 받을 권리가 있습니다. 귀하의 ID 카드에 있는 가입자 서비스 번호로 전화하십시오. 시각 장애인인가요? 다른 형식으로 된 이 문서를 요청하실 수 있습니다.

Tagalog

May karapatan kang makakuha ng tulong na nasa iyong wika nang libre. Tawagan lang ang numero ng Member Services na nasa iyong ID card. May kapansanan sa paningin? Maaari ka ring humingi ng iba pang mga format ng dokumentong ito.

Russian

У вас есть право на бесплатное получение помощи на вашем родном языке. Просто позвоните в отдел обслуживания участников по номеру, указанному на вашей идентификационной карте. У вас проблемы со зрением? Вы также можете запросить этот документ в других форматах.

French Creole

Ou gen dwa jwenn èd nan lang ou gratis. Jis rele nimewo Sèvis Manm ki sou Kat ID ou a gratis Gen pwoblèm vizyèl? Ou ka mande tou pou lòt fòm nan dokiman sa a.

Arabic

لك الحق في الحصول على هذه المعلومات والحصول على المساعدة بلغتك مجاناً. فقط اتصل برقم خدمات الأعضاء الموجود على بطاقة هويتك. هل تعاني من ضعف البصر؟ يمكنك أيضاً طلب تنسيقات أخرى لهذه الوثيقة.

French

Vous avez le droit d'obtenir de l'aide dans votre langue gratuitement. Appelez simplement le numéro du Services membres figurant sur votre carte d'identité. Vous êtes une personne malvoyante ? Vous pouvez également demander à accéder à ce document dans d'autres formats.

Persian

شما حق دارید به زبان خود به صورت رایگان کمک بگیرید. فقط با شماره خدمات اعضا مندرج در کارت عضویت خود تماس بگیرید. آیا دچار اختلال بینایی هستید؟ همچنین می‌توانید فرمت‌های دیگر این سند را درخواست کنید.

Armenian

Դուք իրավունք ունեք անվճար օգնություն ստանալու ձեր լեզվով: Դարգապես զանգահարեք ձեր ID քարտի վրա գտնվող Լնդամների սպասարկման համարին: Տեսողության խանգարում ունեցող եք: Կարող եք նաև խնդրել այս փաստաթղթի այլ ձևաչափեր:

Japanese

あなたにはあなたの言語で無料で支援を受ける権利があります。ID カードに記載されている会員サービス番号にお電話ください。視覚障害をお持ちですか？他の形式でこの文書を要求することもできます。

Italian

Hai il diritto di ricevere assistenza gratuita nella tua lingua. Basta chiamare il numero del Servizio Membri presente sulla tua tessera identificativa. Hai problemi di vista? È possibile richiedere anche altri formati di questo documento.

German

Sie haben das Recht, kostenlose Hilfe in Ihrer Sprache zu erhalten. Rufen Sie einfach die Nummer des Mitgliederservices auf Ihrer ID-Karte an. Sehbehindert? Sie können dieses Dokument auch in anderen Formaten anfordern.

Polish

Masz prawo do bezpłatnej pomocy w swoim języku. Wystarczy zadzwonić pod numer Biura Obsługi Klienta podany na karcie identyfikacyjnej. Masz wadę wzroku? Możesz również poprosić o inne formaty tego dokumentu.

Pennsylvania Dutch

Du hoscht's Recht fer Hilf griege in dei Schprooch fer nix. Duh yuscht die Member Services Number uffrufe uff dei ID Card. Hoscht Druwwel fer sehne? Du kannscht des do Schreiwes in en differnter Weg griege so as du's besser sehne kannscht.

TTY/TTD:711

It's important we treat you fairly

We follow federal civil rights laws in our health programs and activities. Members can get reasonable modifications as well as free auxiliary aids and services if you have a disability. We don't discriminate, on the basis of race, color, national origin, sex, age or disability. For people whose primary language isn't English (or have limited proficiency), we offer free language assistance services like interpreters and other written languages. Interested in these services? Call the Member Services number on your ID card for help (TTY/TDD: 711) or visit our website. If you think we failed in any areas or to learn more about grievance procedures, you can mail a complaint to: Compliance Coordinator, P.O. Box 27401, Richmond, VA 23279, or directly to the U.S. Department of Health and Human Services, Office for Civil Rights at 200 Independence Avenue, SW; Room 509F, HHH Building; Washington, D.C. 20201. You can also call 1-800- 368-1019 (TDD: 1-800-537-7697) or visit <https://ocrportal.hhs.gov/ocr/portal/lobby.jsf>

A Resolution authorizing the City Manager to enter into an agreement with Berkley Life and Health Insurance Company (Berkley Accident and Health) and the Waymark Collective for the provision of employer stop loss insurance coverage for the year 2026.

Department: Finance

Request: Action Item

Item Background and Purpose:

I am requesting legislation at the next regular council meeting to authorize the renewal of the City's stop loss insurance with Berkley Life and Health and the Waymark Collective which helps pay for claims that exceed \$100,000.

Financial Impact:

Is Item Budgeted?: No

Funding Source: Various funds

Attachments:

1. 2025-11-13 Stop Loss Insurance Renewal

RECORD OF RESOLUTIONS

Resolution No. 8186-25

A RESOLUTION AUTHORIZING THE CITY MANAGER TO ENTER INTO AN AGREEMENT WITH BERKLEY LIFE AND HEALTH INSURANCE COMPANY (BERKLEY ACCIDENT AND HEALTH) AND THE WAYMARK COLLECTIVE FOR THE PROVISION OF EMPLOYER STOP LOSS INSURANCE COVERAGE FOR THE YEAR 2026.

NOW THEREFORE, BE IT RESOLVED BY THE COUNCIL OF THE CITY OF MORaine, STATE OF OHIO:

- SECTION 1:

That after due review and consideration of other coverage alternatives and upon recommendation from staff and the Finance Department, Council hereby authorizes the City Manager to enter into an agreement with Berkley Life and Health Insurance Company (Berkley Accident and Health) and the Waymark Collective for the provision of employer stop loss insurance coverage via the Waymark Collective Program for the year 2026.
- SECTION 2:

That the Clerk be and is hereby authorized and directed to forward a certified copy of this Resolution to the City Manager and Finance Director.
- SECTION 3:

That this Resolution shall take effect and be in force from and after the date of its passage.

City of Moraine

Finance Department



To: Mayor & City Council

From: Annetta Williams, Finance Director

Date: November 7, 2025

Subject: Stop Loss Insurance Renewal 2026

After reviewing our health insurance plan renewal for 2026, we would like to stay with the Waymark Collective for our stop-loss insurance plan. As a self-funded plan, we must have stop-loss insurance to help pay for claimants that exceed \$100,000 in claims during a plan year. This insurance helps to reduce the City's exposure to paying the full cost for expensive claims. We joined the Waymark Collective in 2022 to pool our stop-loss premiums with other employers who are trying to reduce their risk in the always volatile stop-loss insurance market. The goal of the Waymark Collective is to have lower increases in our stop-loss premiums over the long run when the stop-loss market is known for having large spikes in costs any given year.

Berkley Accident and Health is the financing mechanism for the Waymark Collective.

See attached for the Berkley proposal. I am requesting legislation to renew our stop-loss plan for 2026 with the Waymark Collective.



EmCap Group Captive Solution Contingent Quote

Prepared for:

City of Moraine

For potential participation in the:

The Waymark Collective Program

EmCap Overview

EmCap is an alternative risk program designed for medium-sized employers. EmCap allows employers to take advantage of the benefits of a self funded employee health benefits plan, while potentially reducing volatility and costs through participation in a Group Captive structure.

EmCap involves employers that:

1. Currently self-fund or elect to self-fund their employee benefit plan
2. Purchase an Employer Stop Loss policy from an admitted, licensed, and highly rated insurance company
3. Join, or form, a Group Captive in which they will participate in the economic results with other employers

EmCap involves three types of contracts:

1. Employer Stop Loss Policy
2. Reinsurance Agreement (participating employers are not parties to this agreement)
3. Captive Agreement

Purpose of the Contingent Quote

This document provides a contingent quote ("Quote") for your participation in the The Waymark Collective program. This Quote has been prepared based on the detailed information that you have provided to us.

To participate in EmCap, you must first accept the terms and conditions of the Employer Stop Loss policy ("Policy") offered by Berkley Life and Health Insurance Company ("Berkley Life"). The Policy, if issued, will be the sole agreement between you and Berkley Life.

For purposes of this Quote, we have provided terms that include estimated expenses associated with the formation and operation of a captive or protected cell reinsurance company ("Captive"). You must execute an agreement ("Captive Agreement") with the Captive. The Captive Agreement, if executed, will be the sole and exclusive agreement between you and the Captive. Other employers that participate in the Captive will also execute a Captive Agreement. The Captive is a separate entity, and you must contact the Captive directly to apply for participation.

In addition to the contracts noted above that form the EmCap program, you must execute an administration agreement with a Third Party Administrator (TPA) or an insurance company for an Administrative Services Only (ASO) plan. The expenses associated with that contract are estimated in this Quote. The actual expenses will be negotiated between the TPA or ASO and you. Berkley, Berkley Life, and the Captive are not party to the agreement with the TPA or ASO.

The descriptions of the separate transactions in this Quote are for discussion purposes only. The terms and conditions of each separate transaction are governed exclusively by the relevant contracts. The Plan Document of each employer in EmCap is not part of EmCap.

Summary of Key Entities and Roles

- Berkley Life and Health Insurance Company (“Berkley Life”) is an admitted insurance carrier rated A+ (Superior) by A. M. Best Company. Berkley Life will issue Employer Stop Loss policies to employers who participate in EmCap. Berkley Life is a member of W. R. Berkley Corporation.

Additional information about W.R. Berkley Corporation can be found at www.Berkley.com.

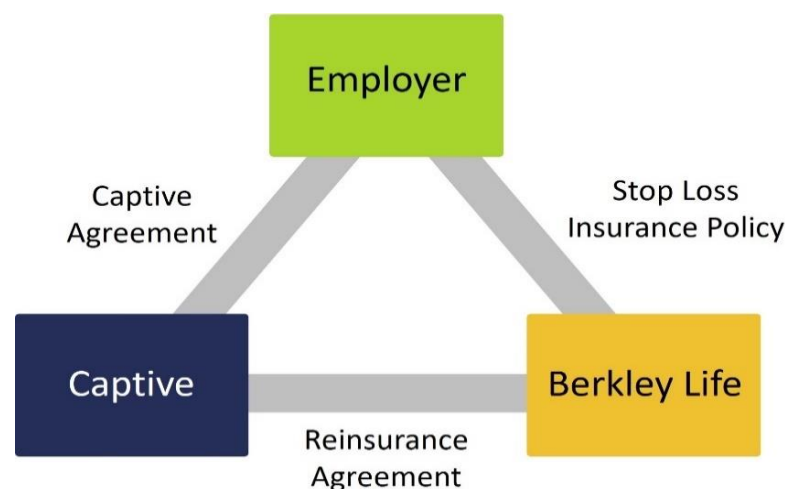
- Oak Harbor Reinsurance Company (“Oak Harbor Re ” or “Captive”) is a North Carolina protected cell captive insurance company, formed and licensed under the North Carolina Captive Insurance Act, N.C Gen. Stat. 58-10-335 et seq., as may be amended from time to time. As a North Carolina domiciled insurer, the Company is subject to the insurance laws and regulations of North Carolina and is not licensed to conduct insurance or reinsurance business in any other jurisdictions.

Oak Harbor Re offers protected cells that serve as a vehicle for creating a captive insurance program, and the program intends to utilize Oak Harbor Re. Oak Harbor Re is a member company of the W. R. Berkley Corporation. Additional information on Oak Harbor Re can be found at www.OakHarborRe.com.

- Berkley Accident and Health is a leader in risk management that specializes in accident and health solutions in the U.S. and is a member company of W. R. Berkley Corporation, a Fortune 500 company. Berkley Accident and Health does not market or negotiate on behalf of, nor is it an agent for Oak Harbor Re or any captive insurance company. Berkley Accident and Health does not act as an agent of employers participating in EmCap, and does not provide any services (consultative, administrative, fiduciary, or otherwise) to employers’ self-funded health benefit plans.

Additional information can be found at www.BerkleyAH.com.

Relationship of Parties



Berkley Life Stop Loss Insurance Policy

Each employer who participates in the The Waymark Collective program must have a self-funded employee health benefit plan (“Plan”), and must agree to purchase an Employer Stop Loss policy (“Policy”) from Berkley Life.

Each Policy is individually underwritten and rated. The Policy reimburses, in accordance with its terms, each employer for its medical and pharmacy expenses covered by its Plan. The Policy does not insure the Plan, is not a Plan asset, and does not pay claims of any employee or other employer’s Plan.

The terms of the Policy are negotiated between you, your agent, and Berkley Life or its representatives and the purchase of the Policy is separate from any further election to participate in EmCap. A summary of the terms of the Policy are described on the Summary of Terms (page 7), the Contract Details (page 8).

Stop Loss Attachment Points

Each Policy issued by Berkley Life will have a per individual Specific Stop Loss attachment point and an Aggregate Stop Loss attachment point.

Stop Loss Details

The Policy provisions, limits, and coverage details are included in the Stop Loss proposal that accompanies this Contingent Quote.

Common Expiration Date

The The Waymark Collective program has a common expiration date of December 31st. Berkley Life will issue all participating employers a Policy that expires on that date, regardless of when they join the program. Pro-rata Policy terms are described on the Contract Details (page 8).

Specific Advanced Funding Endorsement

A Specific Advanced Funding Endorsement will be applied to the Policy.

Reinsurance Agreement

A portion of each participating employer's Policy will be reinsured by Berkley Life to the Captive. Berkley Life and the Captive independently set reinsurance terms and conditions acceptable to them through a reinsurance agreement ("Reinsurance Agreement") in connection with the The Waymark Collective program. You are not a party to the Reinsurance Agreement.

Pursuant to the terms and conditions of the Reinsurance Agreement, Berkley Life will cede a portion of the premium and insurance risk to the Captive for all policies issued by Berkley Life to employers participating in the The Waymark Collective program. The total limit of liability ceded to the Captive under the Reinsurance Agreement will exceed the net premiums ceded to the Captive. Berkley Life requires the Captive to secure the difference between the risk and premium ceded. The Captive, in turn, typically requires each employer to provide collateral (non-premium funding) to the Captive to secure this requirement.

A summary of terms of the Reinsurance Agreement are described on the Summary of Terms (page 7) and the Contract Details (page 8).

Reinsurance Cession

The Captive, for the The Waymark Collective program, will be liable for any covered Specific losses between the Policy Specific Stop Loss attachment point and the Captive deductible per individual plus any covered Aggregate losses exceeding the Policy Aggregate Stop Loss attachment points up to the total limit ceded to the Captive for all Policies issued by Berkley Life to employers participating in the The Waymark Collective program. The terms and conditions of the Reinsurance Agreement will limit the total amount of risk assumed by the Captive on Policies issued by Berkley Life and reinsured to the Captive in connection with the The Waymark Collective program (Captive's Aggregate Attachment Point).

Berkley Life will retain a portion of the Policy premium and the risk ("Retained Excess") related to Specific losses exceeding the Captive deductible, as specified in the reinsurance agreement, as well as the losses in excess of the Captive's Aggregate Stop Loss attachment point.

Ceding Commission

The Captive will pay a ceding commission to Berkley Life which may include, but is not limited to, brokerage commission, policy issuance and underwriting fee, carrier administration, and premium taxes.

Responsibility for Losses

As issuer of the Policy, Berkley Life will remain liable to each insured employer for payment of all covered claims up to the Policy limit. Separately, Berkley Life will seek reimbursement from the Captive for any payments, where applicable, as set forth in the Reinsurance Agreement. The Reinsurance Agreement does not obligate the Captive to pay any claims to any employer.

Captive Agreement

Each employer participating in the The Waymark Collective program will enter into a Captive Agreement with the Captive. The Captive Agreement, and not this Quote, will define the employer's individual rights and obligations in regards to their participation in the Captive.

Return of Unused Funds

Once the results of a program year are known, the Captive may return unused funds, generally defined as Premium plus Investment Income less Expenses less Losses, to participating employers according to the terms of the Captive Agreement. Please review the terms detailed in your Captive Agreement.

Investment Income

Investment income earned, if any, on assets linked to the Captive for the The Waymark Collective program will inure to the benefit of that Captive. As noted above, investment income, if any, is generally included in the Captive's calculation of unused funds. Please review the terms detailed in your Captive Agreement.

Collateral

Per the terms of the Reinsurance Agreement, Berkley Life requires the Captive to secure the difference between the risk and premium ceded. Typically, the Captive requires each employer to post collateral in the form of cash or a letter of credit to secure this obligation. An estimate of your collateral obligation, as determined by the Reinsurance Agreement, based upon the difference between the risk and premium ceded is outlined in this Quote. The estimate of collateral provided in this quote is subject to change based on the terms of the final stop loss policy and enrollment in your underlying health plan. Your estimated annual collateral obligation is outlined on the Summary of Terms (page 7), and your estimated pro-rata collateral obligation is outlined on the Contract Details (page 8). The amount of collateral will vary among participating employers, depending on the terms of their individual policies. The Captive, in its sole discretion, may require that you post collateral above the amount outlined in this Quote. Please contact the Captive and review your Captive Agreement to determine the amount of collateral required by the Captive.

Berkley Accident and Health provided the Captive with the amount of necessary pro-rata collateral, as determined by the Reinsurance Agreement, based upon the terms of this Quote as shown. Should the terms of this Quote change, the amount of your collateral may also change.

Captive Fees

An estimate of the Management Fee, as provided for in the Reinsurance Agreement, charged by the Captive to Berkley as part of the ceded Stop Loss premium is included in the expenses outlined on the Summary of Terms (page 7) and the Contract Details (page 8). Additionally, the Captive Agreement will outline the terms of any additional fees charged by the Captive directly to the Captive members to cover the expenses of the Protected Cell. Please contact the Captive for these fees.

Summary of Terms

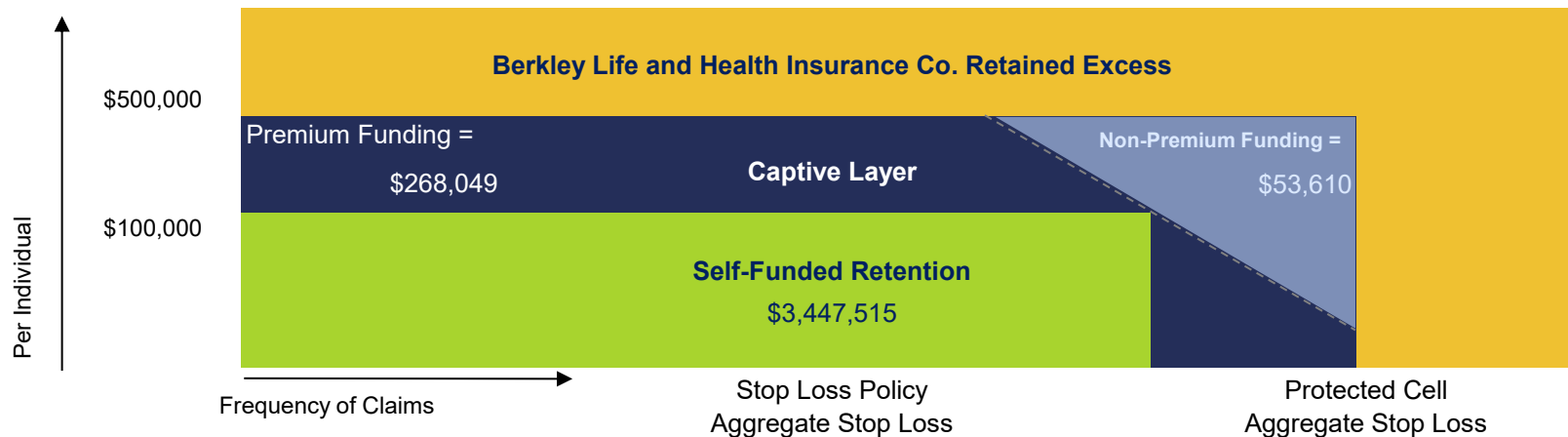
The Waymark Collective

City of Moraine

Effective Date:	January 1, 2026
Proposed Specific Contract Type:	72/12
Specific Stop Loss Deductible - Per Individual:	\$100,000
Aggregating Specific Deductible:	N/A
Proposed Aggregate Contract Type:	72/12
Aggregate Attachment Point:	125%
Captive Risk Layer:	Specific: 100% of specific losses between \$100,000-\$500,000
	Aggregate: 100% of each aggregate loss

	72/12 Annualized Terms	Proposed Terms
Self-Funded Retention*	\$3,447,515	N/A
Estimated TPA Fees	\$0	N/A
Employer Stop Loss Policy Premium:		
Policy Expenses	\$202,137	N/A
Captive Layer	\$268,049	N/A
Policy Premium	\$470,186	N/A
Non-Premium Funding	\$53,610	N/A

*Aggregate Stop Loss Attachment Point



	Annualized	Proposed
Projected Minimum Cost:	\$202,137	N/A
Projected Maximum Cost:	\$3,971,310	N/A

Per Employee Per Month Rates by Tier

-- Employer Stop Loss Policy Premium --

Tier	# of EEs	Self-Funded Retention	TPA Fees	Specific Premium	Aggregate Premium	Total Policy Premium
EE	24	\$1,077.67	\$0.00	\$136.09	\$25.00	\$161.09
EE + Family	80	\$3,267.86	\$0.00	\$416.45	\$25.00	\$441.45
Annualized Total	104	\$3,447,515	\$0	\$438,986	\$31,200	\$470,186

Assumed Plan Design: 391532

Quote ID: 1 , Proposal ID: 391532

This proposal includes commissions, see formal stop loss proposal for details.

Proposed Network: Blue Cross Blue Shield

Additional compensation may be paid to a licensed producer in addition to the base commission identified here.

Contract Details

October 30, 2025

Employer Stop Loss Policy

Insured: City of Moraine
Insurance Carrier: Berkley Life and Health Insurance Company
Effective Date: January 1, 2026
Expiration Date: December 31, 2026
Number of Covered Employees: 104

	72/12 Annualized Terms	Proposed Terms
Specific Stop Loss Deductible	\$ 100,000	N/A
Captive Risk Layer	Specific: 100% of specific losses between \$100,000-\$500,000 Aggregate: 100% of each aggregate loss	
Policy Premium	\$ 470,186	N/A
State Assessments	\$ -	N/A
Aggregate Attachment Point	\$ 3,447,515	N/A
Aggregating Specific Deductible	N/A	N/A
Total	\$ 3,917,700	N/A

Reinsurance Agreement

Insurance Company: Berkley Life and Health Insurance Company
Captive/Reinsurance Company: Oak Harbor Reinsurance Company
Protected Cell: 0

	72/12 Annualized Terms	Proposed Terms
Policy Premium	\$ 470,186	N/A
(Retained Excess)	\$ (91,643)	N/A
Ceded Premium	\$ 378,543	N/A
(Ceding Commission)*	\$ (101,090)	\$ -
Net Ceded Premium	\$ 277,453	N/A
(Estimated Captive Management Fee)	\$ (9,404)	N/A
(Federal Excise Tax)	\$ -	N/A
Ceded Risk Premium	\$ 268,049	N/A
Estimated Required Collateral**	\$ 53,610	N/A
Total Limit Ceded	\$ 321,659	N/A

Captive Agreement**

Captive/Reinsurance Company: Oak Harbor Reinsurance Company
Protected Cell: 0

	Annualized Terms	Proposed Terms
Estimated Management Fee	\$ 9,404	N/A
Estimated Collateral***	\$ 53,610	N/A

*Expense incurred and paid to the ceding insurer by the captive for various expenses incurred in underwriting and issuing the policies. The ceding commission includes but not limited to, policy issuance/underwriting, premium taxes, agent commissions, etc. Per the reinsurance agreement, the commission due to Berkley is a percentage of premiums assumed.

**Berkley Accident and Health is not a part of the Captive Agreement. Please refer directly to the Agreement.

***The Estimated Collateral, per the Reinsurance Agreement, is equal to Total Limit Ceded less Ceded Risk Premium less Management Fee. The Captive, in its sole discretion, may require collateral above this amount.

Representations and Warranties

With respect to your Employer Stop Loss insurance policy, you understand, acknowledge and accept that:

1. You will be the named insured under the Policy; that you will have all rights of ownership under the Policy and any proceeds of the Policy and the Policy benefits may be subject to the claims of your general creditors. Further, you are not a sole proprietorship or doing business as an unincorporated entity.
2. Neither the Plan, nor any participant or beneficiary of the Plan, would have any preferential claim against the Policy or any beneficial interest in the Policy.
3. You have not made and will not make any representations to any participant or beneficiary of the Plan that the Policy will be used to provide benefits under the Plan or that the Policy in any way represents security for the payment of benefits under the Plan.
4. The benefits associated with the Plan will not be limited or governed in any way by the amount of insurance proceeds received by you.
5. Only your assets, and no Plan assets, have been used to purchase the Employer Stop Loss Policy. You do not commingle or allow commingling of Plan assets, and you will not provide or allow for the payment of Policy premiums from any account used for Plan participant contributions or for payment of claims under the Plan. Further, communications with participants will not lead them to believe that their contributions are being used to cover any portion of the Policy premium.
6. Berkley Accident and Health has no authority to act or negotiate on behalf of any Captive that may be involved with an EmCap program.
7. Your Plan is for your employees and eligible beneficiaries only, and is not designed or intended to be a joint or multiple employer employee health benefit plan.
8. The estimate of collateral provided in this quote is subject to change based on the terms of the final stop loss policy and enrollment in your underlying health plan.

Acceptance

By signing you below, you acknowledge:

1. Your desire to participate, should you be approved by both Berkley Life and the Captive, in the The Waymark Collective program.
2. Your understanding that you will need to apply to Oak Harbor Re directly as part of its underwriting process to participate in the The Waymark Collective program. To contact Oak Harbor Re, visit www.OakHarborRe.com, or call the Captive manager David Littlehale at (704) 666-8724. You must contact Oak Harbor Re directly to begin the application process to participate in the program.
3. Your understanding that the underwriting process for the captive will include a financial review of your organization. Financial reviews help to ensure that all members that participate in the captive are stable and able to meet their funding obligations to the captive. For more information about the financial review process, please contact Oak Harbor Reinsurance Company as detailed above.

Signature

Title

Date

Stop Loss Insurance Renewal Proposal

Prepared for:

HUB Heartland LLC

Presented to:

City of Moraine

Presented by:
Aaron Bartone

Proposal Date: 10/30/2025
Effective Dates: 01/01/2026 - 12/31/2026

Stop Loss Proposal for: City of Moraine
Effective Dates: 01/01/2026 – 12/31/2026
Presented by: HUB Heartland LLC
Proposal Number: 391532

Sales Rep:
 Aaron Bartone
 abartone@berkleyah.com

Underwriter:
 Tracy Fox
 tfox@berkleyah.com

Underwritten by: Berkley Life and Health Insurance Co.

Specific Stop Loss Insurance		Current	Renewal Option 1	Renewal Option 2
Coverages		Medical, Rx Card	Medical, Rx Card	Medical, Rx Card
Contract Type		PAID	PAID	PAID
Annual Specific Deductible per Individual		\$ 100,000	\$ 100,000	\$ 125,000
Maximum Annual Reimbursement		Unlimited	Unlimited	Unlimited
Maximum Lifetime Reimbursement		Unlimited	Unlimited	Unlimited
Specific Rate(s) Per Month	<u>Enrollment</u>			
Single	24	\$ 121.63	\$ 136.09	\$ 114.02
Family	80	\$ 361.14	\$ 416.45	\$ 355.49
Estimated Annual Premium		\$ 381,724	\$ 438,986	\$ 374,108
Quoted Rate(s) include Commission of		5.00 %	5.00 %	5.00 %
Additional Options				
No New Laser with Rate Cap		55.00%	55.00%	55.00%

Stop Loss Proposal for: City of Moraine
 Effective Dates: 01/01/2026 – 12/31/2026
 Presented by: HUB Heartland LLC
 Proposal Number: 391532

Sales Rep:
 Aaron Bartone
 abartone@berkleyah.com

Underwriter:
 Tracy Fox
 tfox@berkleyah.com

Underwritten by: Berkley Life and Health Insurance Co.

Aggregate Stop Loss Insurance		Current	Renewal Option 1	Renewal Option 2
Coverages		Medical, Rx Card	Medical, Rx Card	Medical, Rx Card
Contract Type		PAID	PAID	PAID
Loss Limit per Individual		\$ 100,000	\$ 100,000	\$ 125,000
Maximum Annual Reimbursement		\$ 1,000,000	\$ 1,000,000	\$ 1,000,000
Aggregate Corridor		125%	125%	125%
Rate per Month	Enrollment			
Composite	104	\$ 25.00	\$ 25.00	\$ 29.21
Estimated Annual Premium		\$ 31,200	\$ 31,200	\$ 36,454
Quoted Rate(s) include Commission of		5.00 %	5.00 %	5.00 %
Annual Aggregate Deductible		\$ 3,447,515	\$ 3,447,515	\$ 3,453,682
Minimum Aggregate Deductible		\$ 3,447,515	\$ 3,447,515	\$ 3,453,682
Monthly Aggregate Claim Factors	Enrollment			
Medical, Rx Card				
Single	24	\$ 1,077.67	\$ 1,077.67	\$ 1,122.85
Family	80	\$ 3,267.86	\$ 3,267.86	\$ 3,260.73

Overall Cost Summary

Plan Description	Current	Renewal Option 1	Renewal Option 2
Total Annual Fixed Cost	\$ 412,924	\$ 470,186	\$ 410,562
Aggregate Variable Costs	\$ 3,447,515	\$ 3,447,515	\$ 3,453,682
Maximum Annual Liability	\$ 3,860,438	\$ 3,917,701	\$ 3,864,244

Stop Loss Proposal for: City of Moraine
Effective Dates: 01/01/2026 – 12/31/2026
Presented by: HUB Heartland LLC
Proposal Number: 391532

Sales Rep:
 Aaron Bartone
 abartone@berkleyah.com

Underwriter:
 Tracy Fox
 tfox@berkleyah.com

Underwritten by: Berkley Life and Health Insurance Co.

Specific Stop Loss Insurance			Renewal Option 3
Coverages			Medical, Rx Card
Contract Type			PAID
Annual Specific Deductible per Individual			\$ 150,000
Maximum Annual Reimbursement			Unlimited
Maximum Lifetime Reimbursement			Unlimited
Specific Rate(s) Per Month	<u>Enrollment</u>		
Single	24		\$ 96.62
Family	80		\$ 306.45
Estimated Annual Premium			\$ 322,019
Quoted Rate(s) include Commission of			5.00 %
Additional Options			
No New Laser with Rate Cap			55.00%

Stop Loss Proposal for: City of Moraine
Effective Dates: 01/01/2026 – 12/31/2026
Presented by: HUB Heartland LLC
Proposal Number: 391532

Sales Rep:
 Aaron Bartone
 abartone@berkleyah.com

Underwriter:
 Tracy Fox
 tfox@berkleyah.com

Underwritten by: Berkley Life and Health Insurance Co.

Aggregate Stop Loss Insurance			Renewal Option 3
Coverages			Medical, Rx Card
Contract Type			PAID
Loss Limit per Individual			\$ 150,000
Maximum Annual Reimbursement			\$ 1,000,000
Aggregate Corridor			125%
Rate per Month	Enrollment		
Composite	104		\$ 32.94
Estimated Annual Premium			\$ 41,109
Quoted Rate(s) include Commission of			5.00 %
Annual Aggregate Deductible			\$ 3,461,029
Minimum Aggregate Deductible			\$ 3,461,029
Monthly Aggregate Claim Factors	Enrollment		
Medical, Rx Card			
Single	24		\$ 1,125.23
Family	80		\$ 3,267.67

Overall Cost Summary

Plan Description	Renewal Option 3
Total Annual Fixed Cost	\$ 363,128
Aggregate Variable Costs	\$ 3,461,029
Maximum Annual Liability	\$ 3,824,157

Stop Loss Proposal for: City of Moraine
Effective Dates: 01/01/2026 – 12/31/2026
Presented by: HUB Heartland LLC
Proposal Number: 391532

Sales Rep:
Aaron Bartone
abartone@berkleyah.com

Underwriter:
Tracy Fox
tfox@berkleyah.com

Underwritten by: Berkley Life and Health Insurance Co.

Coverage Selection

Option	Specific	Initial selected	Specific option	Aggregate	Initial selected	Aggregate option
1	\$ 100,000 PAID	☒	_____	\$ 100,000 PAID	☒	_____
2	\$ 125,000 PAID	☐	_____	\$ 125,000 PAID	☐	_____
3	\$ 150,000 PAID	☐	_____	\$ 150,000 PAID	☐	_____

Signed by: _____

Agent of Record or Administrator

Date: _____

Stop Loss Proposal for: City of Moraine
Effective Dates: 01/01/2026 – 12/31/2026
Presented by: HUB Heartland LLC
Proposal Number: 391532

Sales Rep:
Aaron Bartone
abartone@berkleyah.com

Underwriter:
Tracy Fox
tfox@berkleyah.com

Underwritten by: Berkley Life and Health Insurance Co.

Proposal Qualifications and Contingencies

- Anthem will administer all claims. Anthem BCBS is the underlying PPO network.
- Additional compensation may be paid to a licensed producer in addition to the base commission identified herein.
- The rates and factors quoted are based on the data submitted. Any inaccurate or incomplete data submitted may result in changes. We will not be bound by any typographical errors or omissions contained herein.
- Rx claims are assumed to be included in all Large Claimant and Paid Claim Reporting submitted if Rx coverage is requested. If Rx claims are not included in the Large Claims Reports submitted, the rates and factors are subject to change upon receipt and review of either a Large Claims Report including both Medical and Rx claims, or a report from the Rx vendor for any claimants with \$10,000 or more in Rx claims.
- In the event there is a 15% or greater change in enrollment or participation, rates and factors may be recalculated.
- Proposal assumes retirees are not covered under the stop loss policy.
- Administration costs and network access fees are not included in the stop loss costs or coverage.
- If any individuals are lasered, only the amount up to the group specific deductible applies to the aggregate for each individual.
- Elizabeth Hamilton is excluded from coverage under this Policy.
- Policy Alignment with your plan document is subject to review and approval by the Company and may affect your quoted rates. With Policy Alignment, the Experimental or Investigative Services and Exclusions Coverage endorsements are included. These replace the existing Experimental or Investigative Services definition and Exclusion from the policy and replace it with that of the underlying plan language. Any offer of Plan Alignment does not delegate authority to the Policyholder or any third party in making final claim determinations under stop loss. The Company will adjudicate claims under stop loss based upon the actual facts and circumstances related to such claims, even when the Plan allows for discretionary authority in making its final interpretations of claim eligibility. Please submit a copy of your executed plan document within 60 days of the effective date for our review and approval.
- Inclusion of Plan Alignment does not delegate authority to the Policyholder or any third party in making final claim determinations under stop loss.
- Rates include no New Special Limitations (Lasers) at renewal. Maximum potential renewal increases will be capped at 55% provided that the terms and conditions of the contract remain the same.
- The Paid (72/12) contract is defined as Incurred since inception with the Company and paid within the current policy period.

Stop Loss Proposal for: City of Moraine
Effective Dates: 01/01/2026 – 12/31/2026
Presented by: HUB Heartland LLC
Proposal Number: 391532

Sales Rep:
Aaron Bartone
abartone@berkleyah.com

Underwriter:
Tracy Fox
tfox@berkleyah.com

Underwritten by: Berkley Life and Health Insurance Co.

- This is a firm and final proposal, subject to completion of a signed Proposal. This must be received within 15 business days of issuance of this proposal, or the rates/factors are subject to change. In order to issue a policy, the following must be provided in addition to the signed proposal and approved by the Company: A. Copy of the completed signed plan document (if any changes). B. Final census.

A Resolution approving out-of-county and out-of-state conferences and seminars for Council Members of The City of Moraine to attend during the year 2026.

Department: Administration

Request: Action Item

Item Background and Purpose:

This is an annual housekeeping item and would approve Council's out-of-county and out of state travel for 2026. A Resolution is requested for Council's approval at the Regular Council meeting on December 11, 2025, and would allow for travel beginning January 1, 2026. This legislation is a requirement per City Charter Section 3.6. for out-of-county travel. Adding the words "and state" will prevent the need for separate legislation each time a Council member travels to a conference. The National League of Cities will be added as an approved travel organization. This legislation would also approve the Mayor's travel to the 21st Anniversary Gala by China General Chamber of Commerce and associated meetings therewith in New York City at a date to be determined.

Financial Impact:

Is Item Budgeted?: No

Funding Source: N/A

Attachments:

RECORD OF RESOLUTIONS

Resolution No. 8187-25

A RESOLUTION APPROVING OUT-OF-COUNTY AND OUT-OF-STATE CONFERENCES AND SEMINARS FOR COUNCIL MEMBERS OF THE CITY OF MORaine TO ATTEND DURING THE YEAR 2026.

NOW THEREFORE, BE IT RESOLVED BY THE COUNCIL OF THE CITY OF MORaine, STATE OF OHIO:

- SECTION 1:

For the calendar year 2026, the following conferences, seminars, and meetings are approved for attendance by applicable members of the Moraine City Council:

Ohio Municipal League

-Mayor's Court Training

Mayors and Managers Association

-Monthly meetings (occasionally held outside Montgomery County)

Miami Valley Regional Planning Commission

-Monthly meetings (occasionally held outside Montgomery County)

The National League of Cities

-Seminars and Conferences (held outside the State of Ohio)

Anniversary Gala by China General Chamber of Commerce

-Annual Event and associated meetings (held outside the State of Ohio)
- SECTION 2:

That the Clerk be and is hereby authorized and directed to forward a certified copy of this Resolution to the City Manager and Acting Finance Director.
- SECTION 3:

That this Resolution shall take effect and be in full force from and after the date of its passage.

A Resolution adopting an emergency action plan for the use of an Automated External Defibrillator (AED) for City sports and recreation locations.

Department: Parks and Recreation

Request: Action Item

Item Background and Purpose:

This item is to discuss and adopt the City of Moraine policy for using and placing AED's at Wax Park and Ora Everetts Park. House Bill 47 requires AED's in all municipal sports and recreation facilities.

Financial Impact:

Is Item Budgeted?: No

Funding Source: (i.e. Capital, Operating, etc...)

Attachments:

1. Exhibit A - Emergency Action Plan for the Use of an Automated External Defibrillator (AED)

RECORD OF RESOLUTIONS		
	Resolution No. 8188-25	
A RESOLUTION ADOPTING AN EMERGENCY ACTION PLAN FOR THE USE OF AN AUTOMATED EXTERNAL DEFIBRILLATOR (AED) FOR CITY SPORTS AND RECREATION LOCATIONS. NOW THEREFORE, BE IT RESOLVED BY THE COUNCIL OF THE CITY OF MORaine, STATE OF OHIO: <u>SECTION 1:</u> The Ohio Department of Health Model Emergency Action Plan for the Use of an Automated External Defibrillator (AED) attached hereto as Exhibit A is hereby adopted for City sports and recreation locations per O.R.C. 755.13. <u>SECTION 2:</u> That the Clerk is hereby directed to forward a certified copy of this Resolution to the City Manager, Finance Director, Parks and Recreation Director, and Fire Chief. <u>SECTION 3:</u> That this Resolution shall take effect from and after the date of its passage.		



Emergency Action Plan for the Use of an Automated External Defibrillator (AED)

by Public and Chartered Nonpublic Schools, Youth Sports Organizations, Sports and Recreation Locations

The Centers for Disease Control and Prevention (CDC) defines cardiac arrest as when the heart suddenly and unexpectedly stops beating and blood stops flowing to the rest of the body. Cardiopulmonary resuscitation (CPR) and Automated External Defibrillators (AEDs) are to be used when a person is unresponsive, is not breathing or the heartbeat stops.

A. How to use an AED:

Please note: For persons under age 8, pediatric AED pads should be used whenever possible. However, if not available, use adult pads. Do not use pediatric AED pads on adults.

During an emergency, designate someone who can direct first responders to the exact location of the unresponsive person once first responders arrive at the location.

Protocol:

If a person:

-  Collapses suddenly and loses consciousness (passes out), or
-  Is not breathing or is gasping for air, or
-  Doesn't respond to shouting or tapping, or
-  Doesn't have a pulse.

Note: A person's eyes may be open or closed.

Follow these steps:

1. If the scene is safe, check for responsiveness using shout-tap-shout for no more than 10 seconds

If appearing unresponsive, check responsiveness:

Shout “Are you OK?” (use their name, if known, to get their attention).

Tap (the person’s shoulder if adult or child, foot if an infant) and look for signs of rhythmic, normal breathing.

Shout (again and assess for breathing, life-threatening bleeding or conditions).

2. If person is unresponsive, shout for help, CALL EMS (9-1-1), and send someone to get the AED. Stay on the line with EMS (preferably on speaker phone) for instructions on CPR and AED use.

3. Begin chest compressions until the AED arrives. (If trained in CPR, provide CPR according to your training).

4. Turn on the AED and set it up according to the manufacturer’s instructions. Follow the verbal instructions provided by the AED. Incorporate the AED into CPR cycles according to instructions from the AED and from any prior training.

5. Prepare AED to check heart rhythm. Follow the AED’s verbal instructions to deliver one shock as advised.

Continue CPR and follow AED verbal instructions until person responds or EMS arrives and takes over care.

Hand Placement for Infants

Using two fingers, press down in the middle of the chest about 1.5 inches.

Hand Placement for Children

Using one or two hands, press down in the middle of the chest about 2 inches.

Hand Placement for Adults

Using two hands, press down in the middle of the chest at least 2 inches.

or

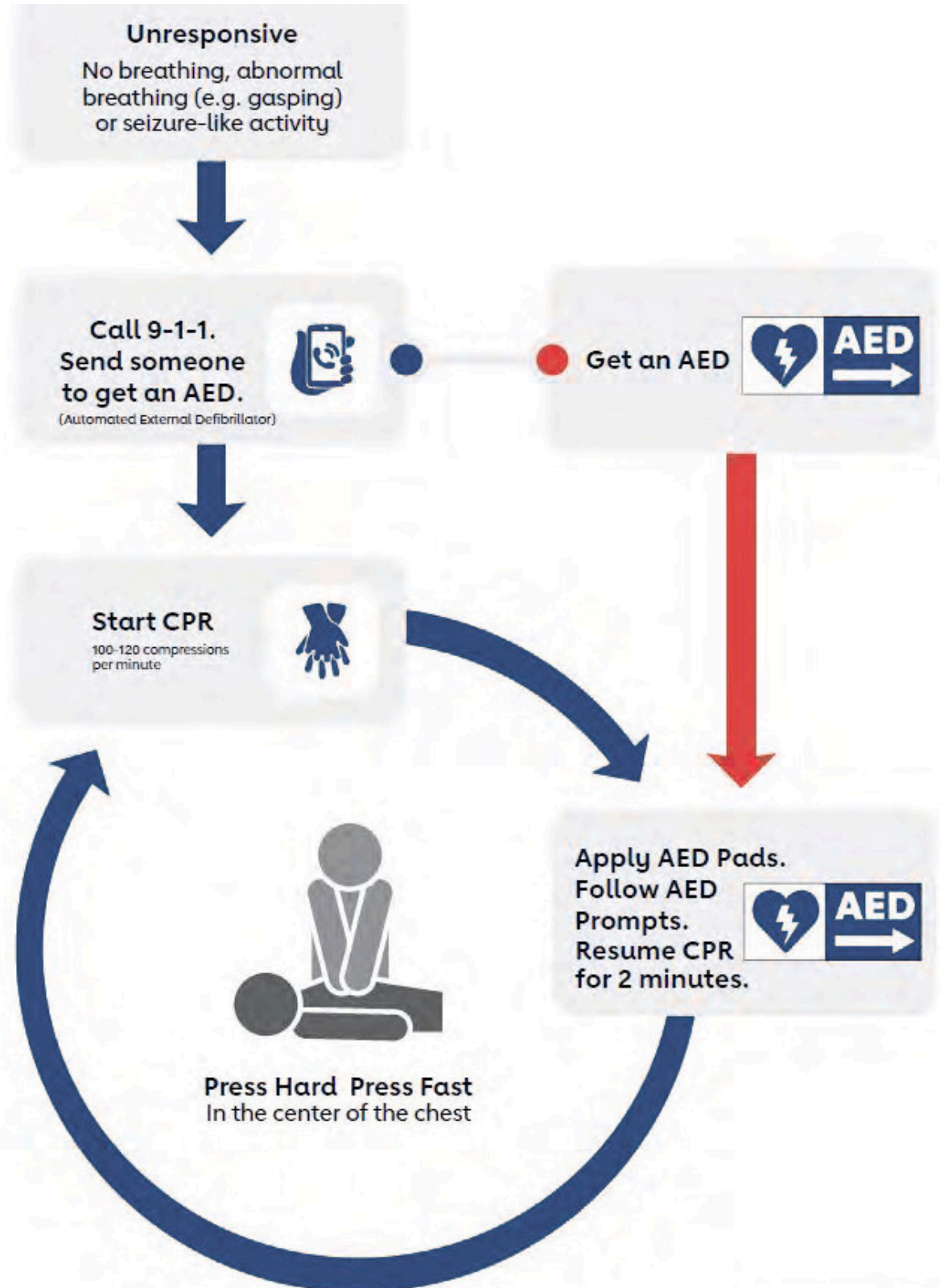
Follow instructions of the 9-1-1 dispatcher or refer to your most recent CPR training.



Simplified Adult BLS Algorithm by American Heart Association

Act Now. Save a Life.

Follow these steps to take action.



B. Practice of Plan:

1. Ohio Revised Code (ORC) 3701.851 requires the Emergency Action Plan (EAP) to be practiced at least quarterly. See Appendix A for a link to the AED training video.
2. The City of Moraine staff will work with local first responders to follow training requirements and recommendations.

C. Maintenance of Automated External Defibrillators (AEDs):

1. The City of Moraine staff will follow manufacturer's instructions with regards to maintenance, operation and replacement of the AED and any of its parts.
2. Those who utilize an AED at one of the designated locations must report usage to City of Moraine representative by calling (937) 535-1060 as soon as possible.

D. Location of Automated External Defibrillators (AEDs):

1. The City of Moraine staff has ensured AEDs are easily accessible and not locked away.
2. See Appendix A for maps of each AED location.

E. Additional Considerations:

The City of Moraine staff will:

1. Coordinate AED trainings for all City of Moraine staff and volunteers.
2. Coordinate maintenance of all AED units within the park system.
3. Maintain an updated Emergency Action Plan for use by staff and volunteers.
4. Share this Emergency Action Plan for AEDs with local emergency management services on a yearly basis and/or when an update is made.

REFERENCES

Adult & Child CPR Anytime® Skills Reminder — CPR AED ([heart.org](https://www.heart.org)).

Algorithms | American Heart Association CPR & First Aid.

American Heart Association 2023-Cardiac-Emergency-Response-Plan-andProtocol-Schools-Final.pdf ([heart.org](https://www.heart.org)).

American Heart Association 2023 Cardiac Emergency Response Plan and Protocol Sports Facilities.pdf ([heart.org](https://www.heart.org)).

American Heart Association 2023 Cardiac Emergency Response Plan and Protocol Community.pdf ([heart.org](https://www.heart.org)).

2020 American Heart Association Guidelines for CPR and ECC.

Ohio Emergency Medical Services CPR Myths Debunked | Emergency Medical Services ([ohio.gov](https://www.ohio.gov)).





Appendix A AED Locations and AED Usage

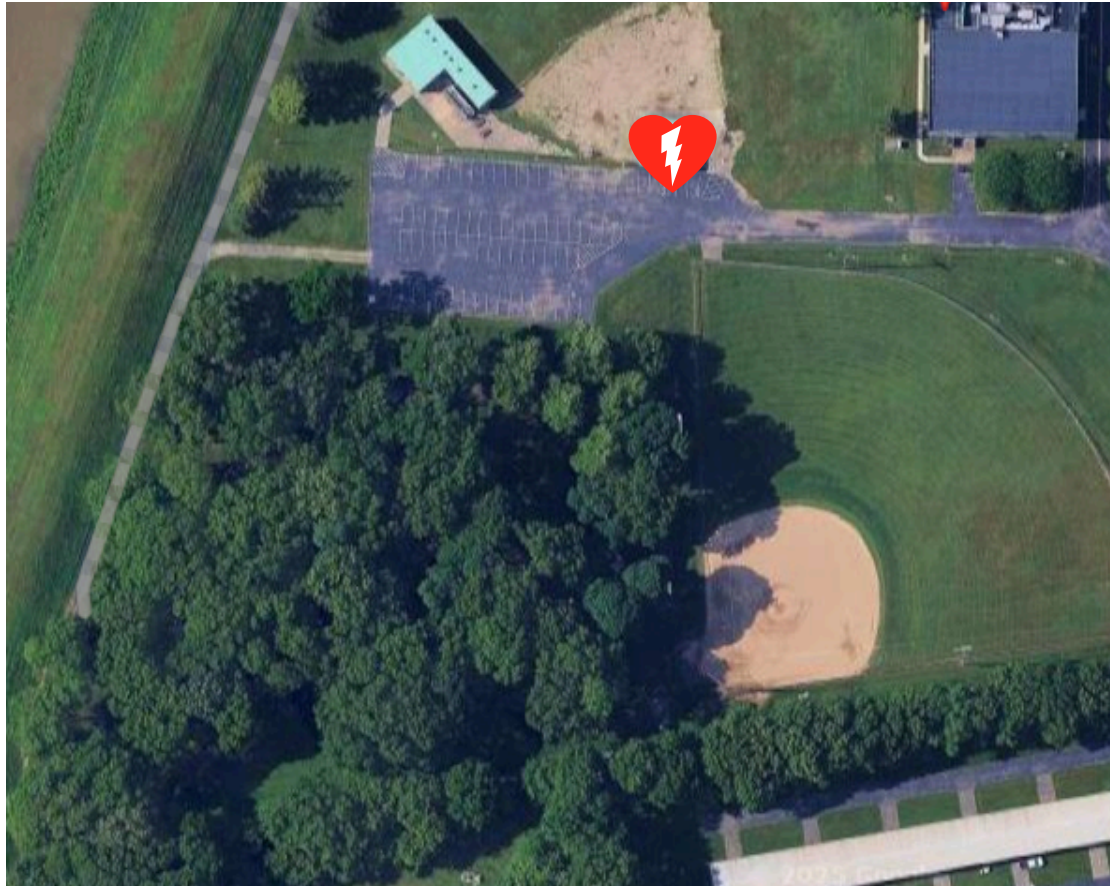
Videos:

Using An AED:

[Adult](#)

[Child](#)

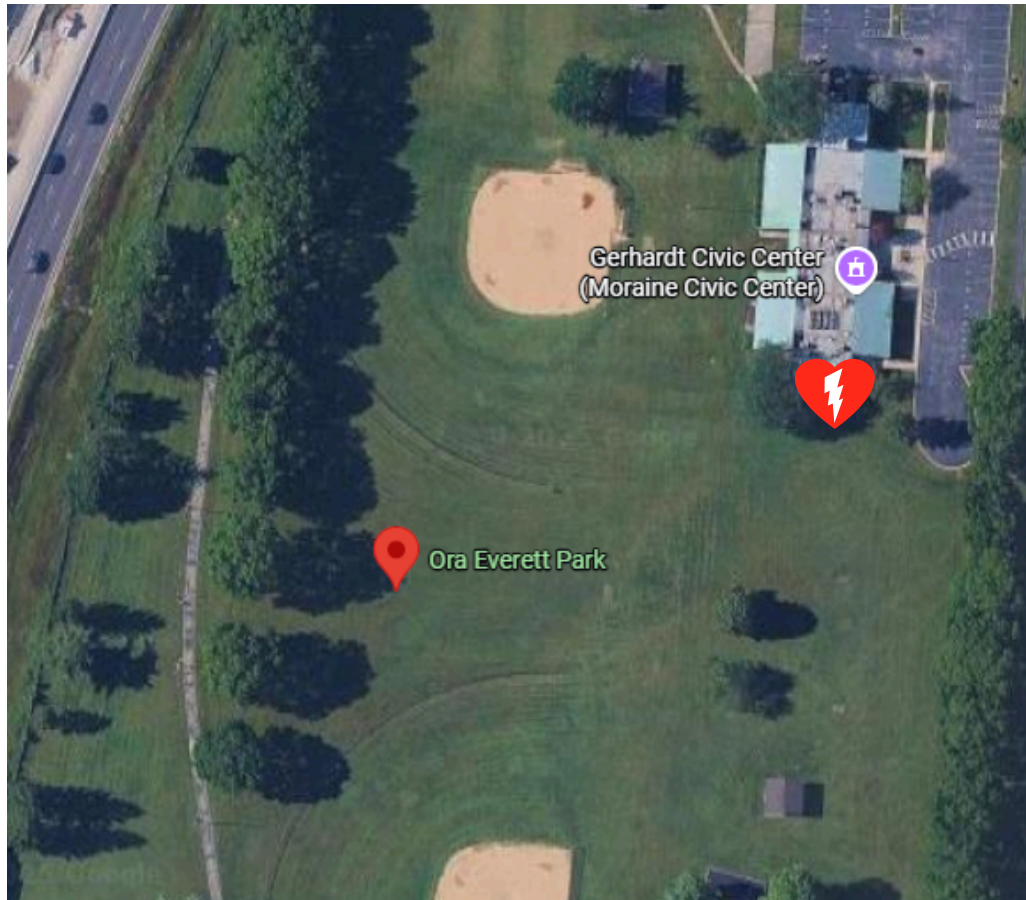
Wax Park



AED Location: On the old Splash Moraine concession stand



Ora Everetts Park



AED Location: On the North side of the Gerhardts Civic Center where the breezeway is.

RECORD OF RESOLUTIONS

Resolution No. 8189-25

Passed December 11, 2025

A RESOLUTION ACCEPTING THE PROPOSAL OF THE KLEINGERS GROUP FOR THE PROVISION OF PROFESSIONAL SURVEY AND DESIGN SERVICES FOR THE ORA EVERETTS PARK SPLASH PAD AT A TOTAL COST OF \$242,000.00.

WHEREAS, the City of Moraine issued a Request for Proposals for survey and design services for the Ora Everetts Park Splash Pad and the City received three (3) proposals for the provision of said services; and

WHEREAS, City staff has reviewed the aforementioned proposals and recommended acceptance of The Kleingers Group proposal deemed to be the most favorable and in the best interest to fulfill the needs of the City.

NOW THEREFORE, BE IT RESOLVED BY THE COUNCIL OF THE CITY OF MORAINE, STATE OF OHIO:

SECTION 1: That upon recommendation of City staff, the proposal of The Kleingers Group for the provision of professional survey and design services for the Ora Everetts Park Splash Pad is hereby approved and accepted in accordance with the terms of the issued Request for Proposal and the attached proposal (**Exhibit A**) from The Kleingers Group at a total cost of \$242,000.00, said costs to be paid from the Capital Improvement Fund.

SECTION 2: That the Clerk is hereby directed to forward a certified copy of this Resolution to the City Manager, Parks and Recreation Director, and Finance Director.

SECTION 3: That this Resolution shall take effect from and after the date of its passage.



EXHIBIT A

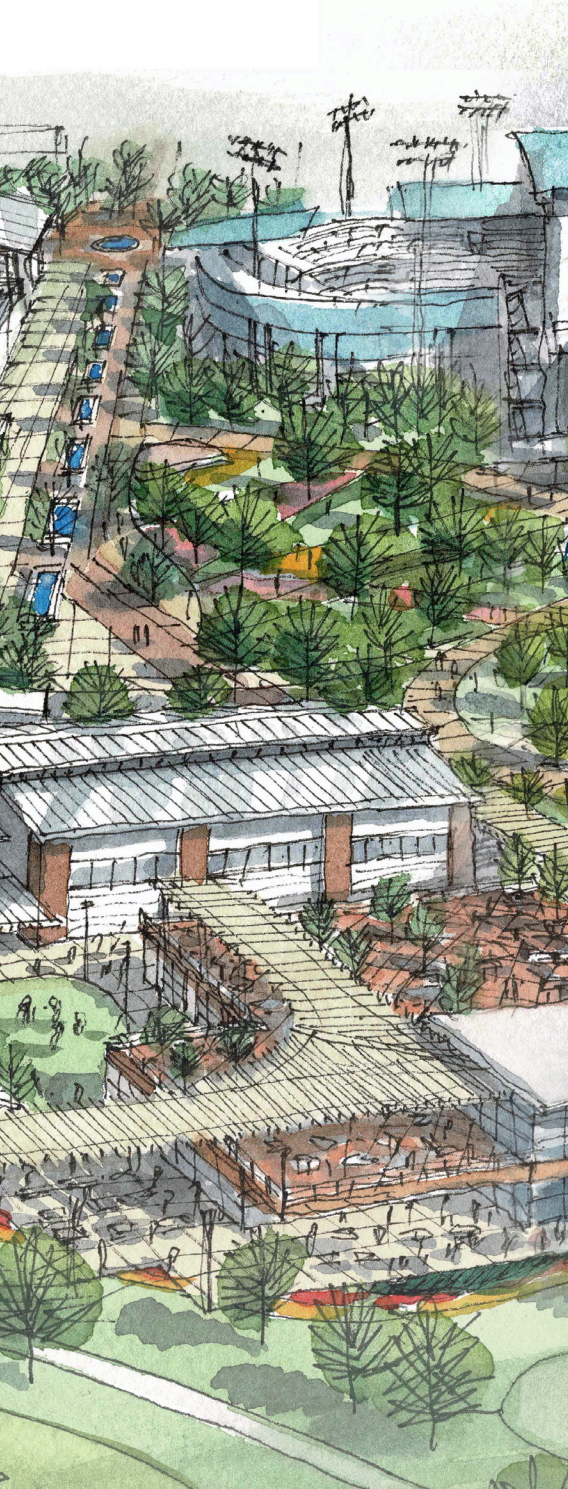
City of Moraine
Ora Everetts Park Splash Pad
Survey and Design Services

Proposal - November 25, 2025



THE
KLEINGERS
GROUP





HOW WE CAN HELP YOU:

- **Experience.** The Kleingers Group team has proven experience in creative master planning and dynamic park design infused with technical expertise and thorough collaboration with staff, stakeholders, and government agencies. Resulting in high-design that is fully coordinated and turn-key.
- **Trailblazers.** We are a strong integrated team with recent and relevant local park / splash pad design experience. Our team designs exciting parks, splash pads, playgrounds, plazas, and pedestrian connections that are creative, accessible, and engaging including detailed attention to ongoing maintenance and operational efficiency.
- **We Listen.** Your priorities, your budget, and your schedule are our primary concerns, which gives your project the customized plan it deserves to achieve your goals.
- **Design Approach.** Our project approach is collaborative, detail-oriented, and multidisciplinary. We've assembled an exceptional team to work closely with the City of Moraine and deliver dynamic solutions that are authentic to the site and its surrounding community.
- **Commitment.** We're committed to seeing your project come to fruition. Our team will leverage our experience to guide you through the required processes, ensuring the project remains on-budget and on-schedule through all project phases.
- **Passion.** We have a passion for parks, and we'll bring that enthusiasm to the design of Ora Everetts Park Splash Pad. The sky's the limit and we'll dream big!

RELEVANT DESIGN EXPERIENCE

Mason Aquatic Center, Mason, Ohio

Kacie Jane Park and Splash Pad, Springboro, Ohio

Depot Square Splash Pad, Sharonville, Ohio

Thomas A. Cloud Park and Splash Pad, Huber Heights, Ohio

Sycamore Trails Aquatic Center and Park, Miamisburg, Ohio

Miamisburg Riverfront Park, Miamisburg, Ohio

Locust Grove Park, Pierce Township, Ohio

City of Mason Parks Master Plan, Mason, Ohio

Miamisburg Parks Master Plan, Miamisburg, Ohio

Lebanon Miller Ecological Park, Lebanon, Ohio



*Always enjoy working with the Kleingers team. **Creative, Innovative.** Can't say enough.*

- Barb Wildon, Director - Director of Public Information & Engagement, West Chester Township



CONTACT:

Lynne Nischwitz, FASLA, PLA

PRINCIPAL-IN-CHARGE

lynne.nischwitz@kleingers.com

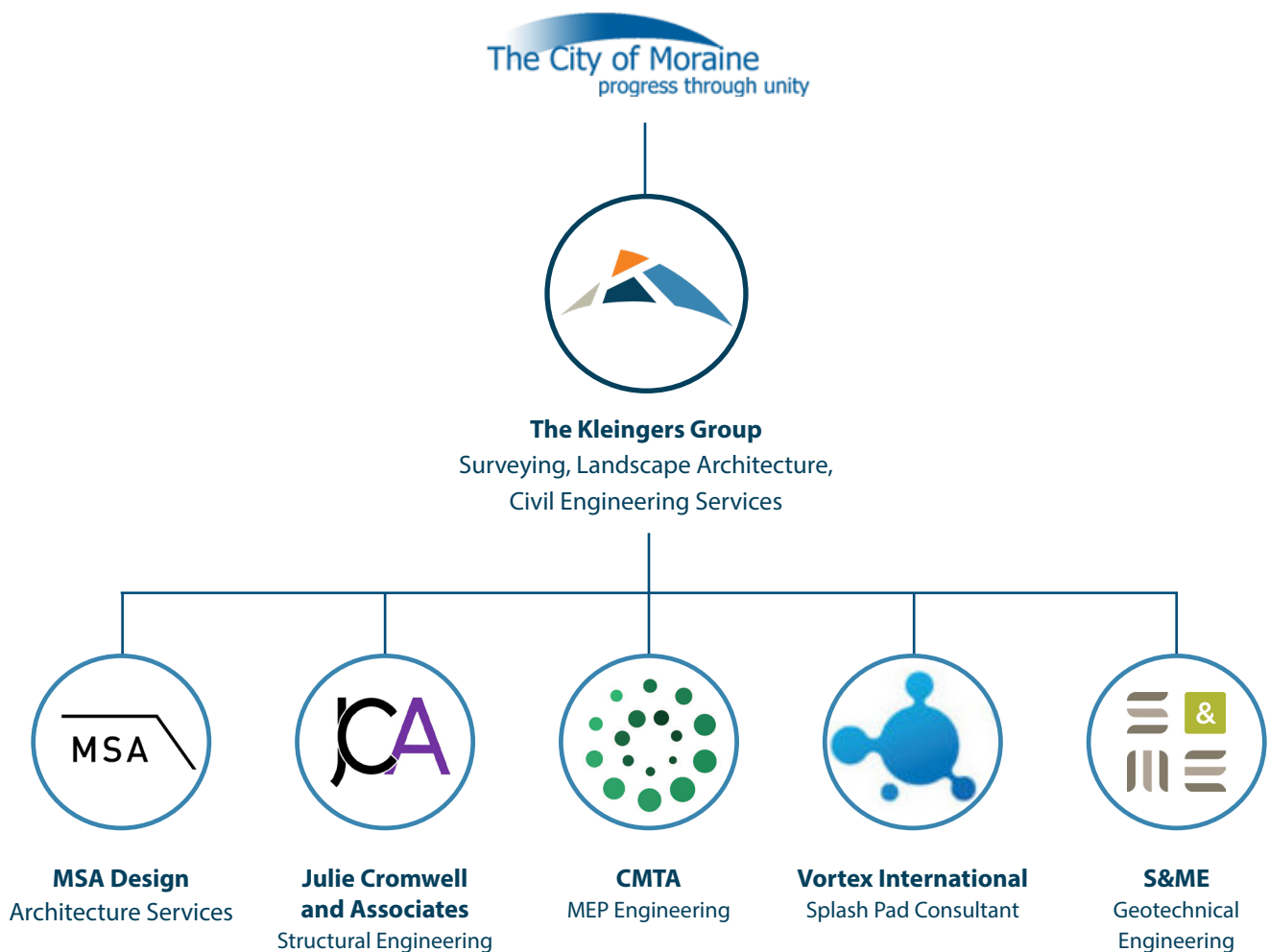
513.779.7851

INSPIRED PEOPLE. CREATIVE DESIGN. TRANSFORMING COMMUNITIES.

FIRM QUALIFICATIONS AND EXPERIENCE



The Kleingers Group has curated a team of professionals with proven experience in splash pad and park design. Our multi-disciplinary team is comprised of specialists who will help bring your project to life. We have worked collaboratively on numerous parks and recreation projects including the City of Madeira McDonald Commons Park, City of Huber Heights Thomas A. Cloud Park, and many others. Our proposed project team includes:



*Very good experience working with Kleingers on both our projects with the City of Kettering Parks. We **look forward to working with them** to complete both and hope to on future projects as well.*

- Gary Schussler, Parks Superintendent, City of Kettering

FIRM QUALIFICATIONS AND EXPERIENCE



Lynne Nischwitz, FASLA, PLA

PRINCIPAL-IN-CHARGE AND LEAD LANDSCAPE ARCHITECT



EXPERIENCE 32 years (17 years with Kleingers)

EDUCATION The Ohio State University, Bachelor of Science, Landscape Architecture

REGISTRATIONS Registered Landscape Architect, Ohio: # LA.0901219
Also registered in IN, KY, MI, NC, PA, and TN

HIGHLIGHTS Fellow of the American Society of Landscape Architects (FASLA), Council of Landscape Architectural Registration Board (CLARB)

AWARDS Kellogg Avenue + I-275 Gateway Beautification: 2025 ACEC Honor Award |
Miamisburg Riverfront Park: Ohio Parks and Recreation (OPRA) Award of Excellence,
2024 Cincinnati Design Award (CDA) | McDonald Commons Park: 2024 OPRA Award
of Excellence | The Cincinnati Black Music Walk of Fame: 2023 CDA, Honor Award

Lynne's exceptional and award-winning 30 year career is expressed through her visionary leadership in design and creative advocacy that has transformed landscape architecture and the communities and universities she serves. Lynne is an influential, powerhouse design leader exemplified through her remarkably diverse and broad range of impactful projects.

RELEVANT EXPERIENCE

City of Mason Aquatic Center, Mason, Ohio

Kacie Jane Park, Springboro, Ohio

Sycamore Trails Aquatic Center, Miamisburg, Ohio

Sycamore Trails Park, Miamisburg, Ohio

Thomas A. Cloud Park, Huber Heights, Ohio

Olde West Chester Pocket Park, West Chester, Ohio

Miamisburg Parks Master Plan, Miamisburg, Ohio

City of Mason Parks Master Plan, Mason, Ohio

McDonald Commons Park, Madeira, Ohio

Riverfront Park, Miamisburg, Ohio

Heritage Oak Park Master Plan, Mason, Ohio

Heritage Oak Park Master Plan, Phase 1, Mason, Ohio

Heritage Oak Park Master Plan, Phase 2, Mason, Ohio

Miami Township Parks Master Plan, Miami Township, Ohio

North Park Master Plan, Springboro, Ohio

Marsh Park Master Plan, Fairfield, Ohio

Huffman Park, Fairfield, Ohio

Miller Ecological Park Master Plan, Lebanon, Ohio

Lincoln Park, Kettering, Ohio

Glenwood Gardens Music Garden, Cincinnati, Ohio

Lincoln Heights Memorial Park, Lincoln Heights, Ohio

Makino Park Expansion, Mason, Ohio

Warren County Sports Park, Warren County, Ohio

Cincinnati Black Music Walk of Fame, Cincinnati, Ohio

Smale Riverfront Park Outdoor Music Venue, Cincinnati, Ohio

Smale Riverfront Park Outdoor Music Venue, Phase 2, Cincinnati, Ohio

Andrew J. Brady Icon Music Center, Cincinnati, Ohio

Coney Island Music Venue East, Anderson Township, Ohio

Union Terminal Plaza Restoration, Cincinnati, Ohio

Paycor Stadium Pedestrian Improvements, Cincinnati, Ohio

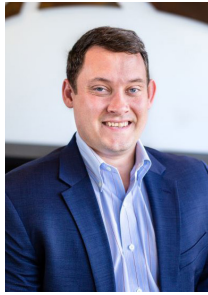
TQL Stadium Streetscape, Cincinnati, Ohio

TQL Stadium - Pedestrian Connector, Cincinnati, Ohio

Ronald McDonald House and Playground, Cincinnati, Ohio

Kellogg Avenue Streetscape Improvements, Anderson Township, Ohio

FIRM QUALIFICATIONS AND EXPERIENCE



Mike Slagle, PLA, ASLA

PROJECT MANAGER

Mike brings an exceptional eye for detail and extensive construction administration experience to the project team with over 15 years of experience as a landscape architect. With a focus on parks and recreation projects and fully coordinated design, he will provide meticulous design, detail, and accessibility review and direction throughout the site analysis, concept planning, construction documentation, and construction administration process. Mike's relevant project experience includes: Mason Aquatic Center, Sharonville Depot Square, Thomas A. Cloud Park, Makino Park Expansion, and Heritage Oak Park.



Michael Pistiolas, PLA, LEED AP BD+C, CCCA

SENIOR LANDSCAPE ARCHITECT

Having designed dozens of parks and play spaces throughout his 20+ year career, Michael has expert knowledge in master planning, dynamic park design, safety, and accessibility for parks and splash pads. As a Certified Construction Contract Administrator (CCCA), Michael has a focused interest in construction administration, working from beginning to end of a project to ensure that the design is implemented in a thoughtful and successful manner. He will ensure that the project is constructable, complies with all regulatory agencies, and meets the Owner's project goals. Michael's relevant project experience includes: Kacie Jane Park, City of Mason Parks Master Plan, Huffman Park, and Miller Ecological Park.



Kelly Flairty, PLA, ASLA

LANDSCAPE ARCHITECT

Kelly has over 12 years of experience in landscape architecture working on projects ranging from streetscapes, parks, and recreational facilities to amenity spaces, educational campuses, historic landscapes, and commercial and residential developments. She is skilled in producing construction documents, coordinating with design teams, and is passionate about working with communities to create inviting spaces. Kelly's relevant project experience includes: Sycamore Trails Aquatic Center, Miamisburg Riverfront Park, Sycamore Trails Park, and McDonald Commons Park.



Amy Benetti, AIA

ARCHITECT OF RECORD | MSA DESIGN

Amy brings over 20 years of experience leading community-focused design projects. She has spearheaded numerous parks and recreation efforts, including McDonald Commons Park for the City of Madeira, Thomas A. Cloud Park for the City of Huber Heights, renovations to the City of Blue Ash Recreation Center, and the Price Hill Recreation & Community Center for the Cincinnati Recreation Commission. Amy provides strategic oversight and coordination to ensure projects meet the needs of both the client and the community.



Amber Wasinski, AIA

DESIGN ARCHITECT | MSA DESIGN

Amber will serve as Design Architect, working closely with Amy Benetti and the design team to guide project execution. She brings experience delivering community recreation projects, including McDonald Commons Park in the City of Madeira, Thomas A. Cloud Park in Huber Heights, and the City of Euclid Recreation Center. She provides day-to-day leadership, overseeing design development, documentation, and coordination to ensure each project meets client goals and community needs.

FIRM QUALIFICATIONS AND EXPERIENCE



City of Mason Aquatic Center

MASON, OHIO



A state-of-the-art aquatic center for a growing community

The Kleingers Group has partnered with the City of Mason to prepare the vision and construction documentation for the new Mason Aquatic Center.

The facility includes a central entry plaza defined by a bosque of trees with specialty paving and seating to welcome visitors. This entry plaza extends the pool building's iconic 'wave' covered breezeway, providing a seamless transition between the outdoor entry plaza area and the pool deck. As pool visitors enter the facility through the breezeway, their view opens into lush planting beds of ornamental grasses, flowering shrubs, vibrant colored perennials, and sculpted turf mounds surrounded by the blue pool waters. The leisure pool includes a zero-depth entry with exciting play elements, including a large dumping bucket, playful water jets, and floatable play structures. The leisure pool also features two 40-ft tall slides, designated lap lanes, a lazy river, and a programmable plunge pool.

A 4,000 SF recirculating splash pad provides a colorful field of interactive water play, including swiveling water features, misting spray, a water journey, and sequenced ground sprays designed for various age groups and families. A colorful anti-slip coating was applied to the splash pad surface to enhance safety and visibility of the water play area.

PROJECT OWNER

City of Mason

CLIENT REFERENCE

Steve Caddell
Project Coordinator
City of Mason
513.229.8520
scaddell@masonoh.org

SERVICES PROVIDED

Civil Engineering
Landscape Architecture
Surveying

PROJECT COMPLETION

2021

FIRM QUALIFICATIONS AND EXPERIENCE



Kacie Jane Park SPRINGBORO, OHIO



A legacy celebrated in a park designed for **play, connection, and community**

The Kleingers Group Landscape Architecture Studio provided innovative master planning, detailed construction drawings, and construction administration for a new, vibrant 5.5-acre city park located at the intersection of Crosley Road and Lytle Five Points Road in Springboro, Ohio. Made possible by the generous donation of the Hausfeld Family, Kacie Jane Park honors the memory of Tom and Kacie Hausfeld.

At the heart of the park is a 4,000 SF splash pad, featuring 15 different interactive spray activities designed to engage users of all ages. Highlights include a large dumping bucket that douses park-goers, button-activated sprays, and colorful misting rings that create a unique water experience. Surrounding the splash pad, spray geysers dance from the ground, adding to the excitement.

While the splash pad is one of the most sought-after in the area, it is just one aspect of this vibrant park. Designed as a comprehensive community space, the park also includes a looped trail, three playgrounds, restroom facilities, picnic areas, and ample parking, all integrated into lush landscaping. This thoughtful design not only enhances recreational opportunities but also fosters community engagement and connection in a beautiful outdoor setting.

PROJECT OWNER
City of Springboro

CLIENT REFERENCE
Vince Murphy
Public Service Director
City of Springboro
937.748.0020
vince@cityofspringboro.com

SERVICES PROVIDED
Civil Engineering
Landscape Architecture
Surveying

PROJECT COMPLETION
2020

FIRM QUALIFICATIONS AND EXPERIENCE



Sycamore Trails Aquatic Center, Miamisburg, Ohio

Kleingers partnered with the City of Miamisburg and a multidisciplinary design team to develop a transformative Master Plan for the Sycamore Trails Aquatic Center. Following a comprehensive audit of the existing facility, the Master Plan focused on revitalizing the aging facility and explored multiple renovation options ranging from essential maintenance to a full-scale redesign.

Following the master planning phase a preferred concept plan was identified and the project transitioned into the construction documentation phase. The resulting plan includes four new pools, new water slides, prominent shade structures, updated site furnishings, and a regraded landscape designed for optimal seating and sunbathing.

A key objective for the City was operational flexibility. By designing separate bodies of water, the facility can better manage staffing, maintenance, and user access. Kleingers collaborated with aquatic experts to thoughtfully position each element to cater to diverse user groups. Upgrades extend beyond the pool deck, with renovations to the Pool Buildings including a covered entry plaza for a smoother arrival experience, modernized locker rooms and restrooms, and updated staff offices and concession areas.



Rendering provided by Champlin Architecture

CLIENT REFERENCE

Ryan Davis, CPRE
Director
City of Miamisburg Parks & Recreation
937.847.6473
ryan.davis@cityofmiamisburg.com

Thomas A. Cloud Park, Huber Heights, Ohio

Kleingers is collaborating with the City of Huber Heights to transform this 124-acre park into a more vibrant, accessible community destination. The design team has provided comprehensive master planning and construction drawings to guide the phased redevelopment of the park.

The reimagined park centers around a new activity zone with a community building, amphitheater, flexible game court, and food truck area. Circulation improvements include a new entrance, drop-off area, and dedicated parking for the playground and splash pad. Native meadows and naturalistic plantings strengthen the park's ecological value.

A 10,000 SF destination playground and 4,000 SF splash pad serve as regional attractions, offering nature-inspired design, inclusive elements, and dynamic play opportunities. Initial phases are expected to begin construction in Summer 2026.



CLIENT REFERENCE

Sarah Forsythe MPA, CPRE
Parks Manager
City of Huber Heights
937.237.5822
sforsythe@hhoh.org

PROJECT APPROACH AND SCHEDULE



PROJECT UNDERSTANDING

Dream Big! The Kleingers Group understands that the City of Moraine is seeking qualified civil engineering and landscape architectural professionals to assist in the design and construction of a +/- 8,000 SF recirculating splash pad with associated amenities including picnic shelters, restroom facilities, fencing, site furnishings, and landscaping at Ora Everetts Park. Furthermore, we recognize the project will entail development of conceptual plans, renderings, construction documentation, and opinion of probable construction cost for the future bidding and construction of the proposed improvements.

PROCESS APPROACH + METHODOLOGY

Our team begins all of our parks and recreation projects by listening to you and identifying stakeholders to gain consensus on the project's vision, goals, and programming needs. We will help to prioritize site improvements based on this feedback, so that the project can be delivered in an efficient and thoughtful manner, ensuring your goals are met **on time** and **on budget**.

Our approach will center on a comprehensive review of the Ora Everetts Park Master Plan, site visits to understand adjacencies to the local community, assessment of existing facilities, and external/internal vehicular and pedestrian circulation patterns. We will conduct an **on-site kick-off/pre-design meeting** with the Design Team and City of Moraine Team to review the scope of work, anticipated schedule, project goals, and discuss any initial design thoughts and vision for the proposed splash pad facility. During this site visit, we will photo document the site to aid in concept planning and the schematic design process. From our data gathering and analysis efforts, our team will identify optimal design solutions, assess phasing opportunities, and address essential programming needs. We will verify existing conditions, including proposed splash pad location, buildings, utilization of space, utilities, and stormwater drainage. This will identify existing site deficiencies in the project's early phases so that we can provide corrective measures within the proposed project scope of work.

Running concurrently with the conceptual design phase we will conduct the on-site geotechnical and professional survey field work. This will allow the project to remain on schedule in early design phases, while providing critical site information to inform the construction documentation process. We will complete soil borings and geotechnical analysis of the existing site and survey the proposed project area to document existing utilities, topography, etc. We want to **hit the ground running** with both conceptual

design and field work to allow sufficient time on the project's back end for bidding and materials procurement, ensuring construction completion by mid-summer of 2027.

Multiple conceptual site plans will be explored to show various proposed site improvements including parking lot expansion/drop-off, restroom and shelter buildings, splash pad configurations, pedestrian connections, ADA accessibility, drainage, fencing, and other desired park amenities. Kleingers is well versed in park design, having completed over 100 splash pad and playground projects and will leverage this experience to provide the City of Moraine with **exceptional amenity design**.

We will meet with the City and identified stakeholders to review the concept plans and receive direct feedback on proposed plan elements/layout. Through this process we will confirm the project's goals and review facility operations and maintenance needs so that each concept plan can be objectively evaluated on its own merits. In addition to the conceptual plans, we will also provide preliminary opinion of probable construction costs for each concept. This will allow the City to make informed decisions on proposed amenities and site layout based on programming needs and project budgets. Once we have received comments on the concept plans and preliminary cost estimates, we will revise the plans to ultimately arrive at a final preferred concept plan. This preferred concept plan will be the basis of design for the schematic design phase of the project.

During the schematic design phase, we will prepare a complete schematic drawing package that will locate proposed site improvements and provide the initial roadmap to bring the project's vision to life. Schematic design drawings will include a site survey, demolition plans, preliminary grading and utilities plans, site location and materials plan, landscape plans, splash pad layout, site-civil related details, architectural floor plans, structural plans, and electrical site plans. We will focus on the theming and desired amenities of the splash pad, as well as the functional systems of the splash pad's recirculating system. Our goal is to create a **destination splash pad** and **thriving recreational hub** for the City of Moraine, ensuring its continued significance for future generations.

In addition to the schematic design plans, we will provide a precedent imagery/narrative document to assist the City in visualizing the proposed improvements and provide options for splash pad equipment, site furnishings, surfacing materials, etc. Architectural, MEP, structural engineering, civil engineering, and landscape architectural items will all be included within the precedent imagery

PROJECT APPROACH AND SCHEDULE



and narrative document. The opinion of probable construction cost will also be updated during schematic design and include life-cycle costs for equipment repair and on-going maintenance items. Lastly, we will provide **illustrative renderings** and **3D perspective views** of the site to further emphasize the spatial qualities and site context of the proposed improvements.

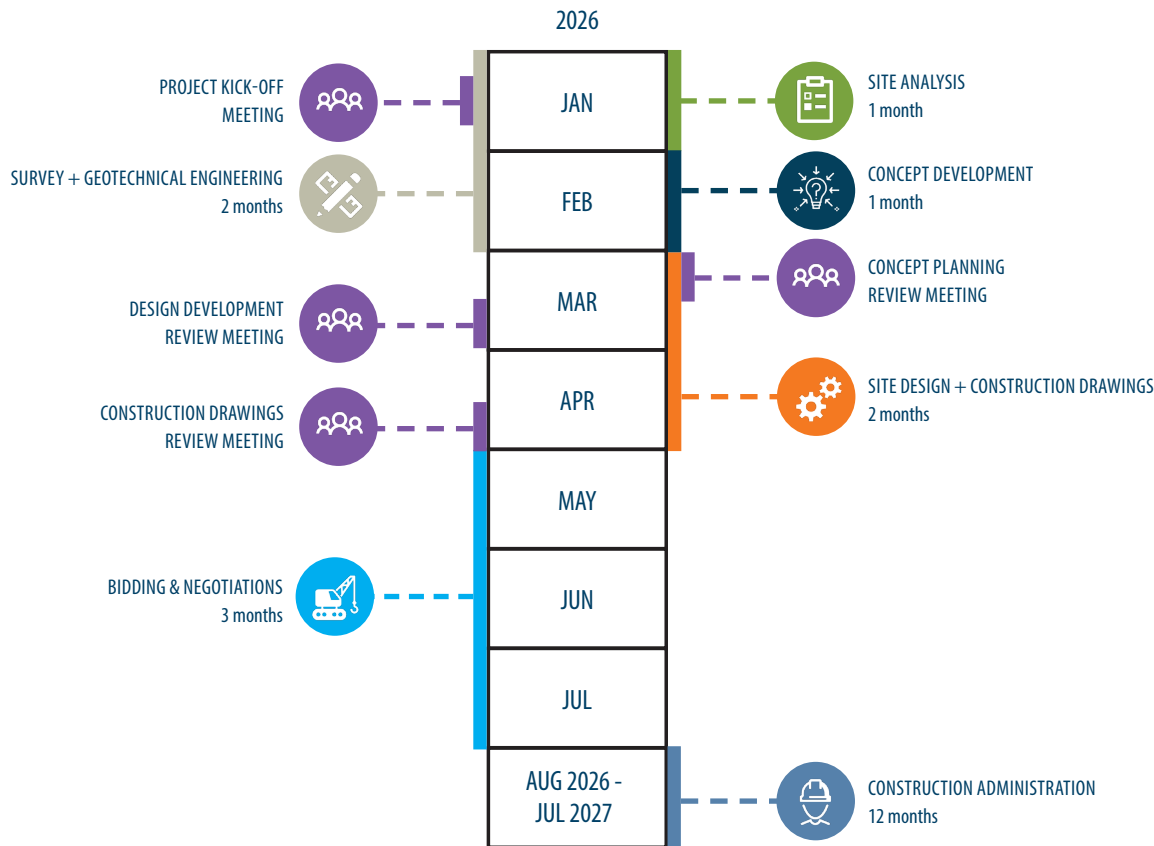
The design development and construction documentation phases will mirror the schematic design phase in both approach and deliverables, but will include further and more detailed exploration into design elements. We anticipate the picnic shelters will be standard product offerings from qualified vendors and the restroom building to be a custom design. We will coordinate with the City of Moraine every step of the way to ensure we are meeting the goals and vision for the project. During the last two phases of the project, we will specify project materials including hardscape materials, splash pad equipment/surfacing, site furnishings, lighting, architectural fixtures and finishes. We will also coordinate with the City to incorporate branding into proposed splash pad equipment and buildings, if desired. The end result will be a **well-detailed** and **fully constructible** construction

document plan set, technical specifications, and opinion of probable construction costs for the City's bidding.

Our design team has extensive experience in partnering with a construction manager throughout the project lifespan to streamline value engineering savings and construction schedules. This collaborative approach proactively identifies critical milestones for regulatory review, materials procurement, and scheduling, alleviating unnecessary construction delays through proper planning. During construction, we will work hand-in-hand with the selected contractor to administer the project through successful completion including bidding and negotiations, construction drawing RFI and bulletin issuances, shop drawing review, frequent site visits, and substantial completion punch lists.

We are passionate about parks and want to focus on the technical elements so that you can concentrate on what's important to you: providing your community with **park amenities that enhance the City's sense of place..** We are excited to have this opportunity to partner with the City of Moraine and create a destination splash pad facility!

PROJECT SCHEDULE



ITEMIZED FEE PROPOSAL AND REFERENCES



ITEMIZED FEE PROPOSAL

The fee schedule below details the professional services fees for project as outlined in the RFP document and within the provided proposal.

Professional Services Fee Schedule	
SERVICE	FEE
SCOPE ITEM A: COMPREHENSIVE SITE SURVEY	
Partial Boundary and Topographical Survey + Private Utility Locate	\$6,000.00
SCOPE ITEM B: GEOTECHNICAL ENGINEERING	
Soil Borings and Geotechnical Engineering Report	\$7,000.00
SCOPE ITEM C: SITE DESIGN AND CONSTRUCTION DRAWINGS	
Design Development + Construction Documentation	\$129,000.00
SCOPE ITEM D: CONCEPT DEVELOPMENT	
Concept Design + Schematic Design	\$50,000.00
SCOPE ITEM E: FINAL DELIVERABLES	
Turn-Key Construction Bid Document Set	\$20,000.00
TOTAL: \$212,000.00	

If Authorized Fee Schedule	
SERVICE	FEE
SCOPE ITEM F: CONSTRUCTION ADMINISTRATION	
Construction Administration and Observation	\$ 30,000.00
TOTAL - IF AUTHORIZED: \$ 30,000.00	

REFERENCES

We have a strong bond with our clients, and a substantial portion of our client base consists of long-term partners who repeatedly choose Kleingers for our professional services. We invite you to reach out to our references to hear their testimonials.

Client References

Ryan Davis, CPRE

Director
City of Miamisburg Parks & Recreation
937.847.6473
ryan.davis@cityofmiamisburg.com

Steve Caddell

Project Coordinator
City of Mason
513.229.8520
scaddell@masonoh.org

Vince Murphy

Public Service Director
City of Springboro
937.748.0020
vince@cityofspringboro.com

Liquor Permit - 3351 Main Street

Department: Law Director

Request: Action Item

Item Background and Purpose:

Transfer of Liquor License

Financial Impact:

Is Item Budgeted?: No

Funding Source: N/A

Attachments:

1. Liquor Permit Transfer - Family Market
2. Institution Notice for Liquor Permit - Taylor Park



CLERK OF MORaine CITY COUNCIL
4200 DRYDEN RD
MORaine OH 45439

NOTICE TO LEGISLATIVE AUTHORITY

10008947-1 PERMIT NUMBER		TRFO TYPE	TO
ISSUE DATE:			3351 Main St Moraine, LLC Family Market Drive Thru 3351 Main Street Moraine OH 45439 Muni/Village/Twp: Moraine
FILING DATE: 11/5/2025			
PERMIT CLASSES: C-1 C-2 D-6			
57110 TAX DISTRICT	JUN	RECEIPT NO	
			FROM 11/17/2025
01045439-1 PERMIT NUMBER		TYPE	BS BROTHER LLC BS BROTHER LLC 3351 MAIN ST MORaine OH 45439 Muni/Village/Twp: Moraine
ISSUE DATE:			
FILING DATE:			
PERMIT CLASSES:			
57110 TAX DISTRICT	JUN	RECEIPT NO	

MAILED 11/18/2025

RESPONSES MUST BE POSTMARKED NO LATER THAN 12/19/2025

IMPORTANT NOTICE

PLEASE COMPLETE AND RETURN THIS FORM TO THE DIVISION OF LIQUOR CONTROL WHETHER OR NOT
THERE IS A REQUEST FOR A HEARING.

REFER TO THIS NUMBER IN ALL INQUIRIES: JUN TRFO 10008947-1

(TRANSACTION & NUMBER)

(MUST MARK ONE OF THE FOLLOWING)

WE REQUEST A HEARING ON THE ADVISABILITY OF ISSUING THE PERMIT AND REQUEST THAT THE HEARING
BE HELD ☐ IN OUR COUNTY SEAT ☐ IN COLUMBUS

WE DO NOT REQUEST A HEARING ☐

DID YOU MARK A BOX? IF NOT, THIS WILL BE CONSIDERED A LATE RESPONSE.

PLEASE SIGN BELOW AND MARK THE APPROPRIATE BOX INDICATING YOUR TITLE:

(Signature)

(Title) - ☐ Clerk of County Commissioner

(Date)

☐ Clerk of City Council

☐ Township Fiscal Officer

(Printed Name)

(Email Address)

(Telephone No.)



Department of Commerce

Institution Notice for Liquor Permit or Agency Contract

Institution Name: TAYLOR PARK	Application Type: TRFO Permit Classes: C1 C2 D6
Address: 4200 DRYDEN RD MORaine OH 45439	Permit Number: 10008947-1
	Applicant Name: 3351 MAIN ST MORaine LLC
	DBA: DBA FAMILY MARKET DRIVE THRU
	Permit Address: 3351 MAIN ST MORaine OH 45439
Distance from Permit Premises <u>110'</u> Feet	

Section A – INSTITUTION NOTICE

The Division of Liquor Control ("Division") is required to notify any church, school, library, public playground, or township park located within 500 feet of a proposed liquor permit/transfer/agency premises. Because your institution was determined to be within 500 feet of the proposed or existing liquor premises identified above, you can object to the (as applicable):

- ☐ New liquor permit being issued to the above business at the above location.
- ☒ Existing liquor permit being transferred to a new business to continue operating at the same location (see above).
- ☐ Existing business transferring the underlying shares or membership interest to new owners that will continue to operate at the same location identified above.
- ☐ Division having the above Applicant sell high proof spirituous liquor on the Division's (OHLQ) behalf at the location.

Section B – REQUIRED RESPONSE

You were notified of the permit/contract/transaction identified above at your institution location on the "Posted Notice" date listed below. You have 30 DAYS from that date to respond. Ohio law does NOT allow any additional time to respond. If you do not respond within 30 days, we will consider your response to be "No Objection."

Check the box associated with your response and fill out the boxes in Section B. We, the above institution:

- ☐ Do **NOT** object to the permit/contract/transaction identified above.
- ☐ Request the Division **DOCUMENT** our objection to the above identified permit/contract/transaction for the record, but we do **NOT** want a hearing. We understand this means that the Division will continue to review the application/contract for possible issuance.
- ☐ **OBJECT** and want a hearing on the permit/contract transaction identified above.

If your objection is timely filed, you'll be notified later of the time, date, and place when the hearing is scheduled. At the hearing, you'll need to provide testimony and documents in support of your objection. Objections based **SOLELY** upon a philosophical or moral opposition to alcohol consumption are **NOT** sufficient grounds to deny the issuance of the liquor permit under Ohio law. Because this hearing is a legal proceeding, you can hire an attorney to represent your interests. Go to com.ohio.gov/liquorobjections for more information about the process.

Printed Name: _____ Title: _____

Signature: _____ Email/Phone: _____

Section C – PROOF OF SERVICE INFORMATION

I, Compliance Agent HOLLY SCOTT ☐ served the above notice on the person below

OR ☒ posted it in a visible location at the institution on the date listed. **DATE:** 11/12/2025

PRINTED NAME:

EMAIL: _____ **SIGNATURE:** _____

Liquor Permit - 4032 Kettering Boulevard

Department: Law Director

Request: Action Item

Item Background and Purpose:

New permit

Financial Impact:

Is Item Budgeted?: No

Funding Source: N/A

Attachments:

1. Liquor Permit - 4032 Kettering Blvd



Dear Local Legislative Authority Official:

Please find enclosed the legislative notice that is being sent to you regarding the applied for liquor permit as captioned on the notice. You **must**, within 30 days from the "mailed" date listed on the notice under the bar code:

- Notify the Division whether you object and want a hearing; or
- Ask for your one-time only, 30-day extension. o Any requests for a one-time, 30-day extension will be reviewed by the Division upon timely receipt. If granted, your additional 30-days runs from the expiration of the original 30-day period.

To be considered **timely**, your above response **MUST** be faxed, emailed, or mailed to the Division no later than the postmark deadline date stated on the form. To speed up processing times and reduce paper, the Division respectfully asks that you either fax or email your response. Please send your response to:

FAX: (614) 644 – 3166
EMAIL: Liquordocs@com.ohio.gov
MAIL: Ohio Division of Liquor Control
Attn: Licensing Unit
6606 Tussing Road
PO Box 4005
Reynoldsburg, Ohio 43068-9005

To find out who has disclosed an ownership interest in the permit application to us you can:

- Visit com.ohio.gov/liquorinfo. Select the "Search who has disclosed an ownership interest" tab. Where asked, enter the permit number listed on the legislative notice; or
- Contact your police department or county sheriff (if you are a township fiscal officer or county clerk). We also sent them detailed ownership information to review for any criminal background issues involving the disclosed persons.

We have resources for you at com.ohio.gov/govhelp. Never miss out on when renewal objections are due! Sign-up for our emails at com.ohio.gov/stayinformed.

Thank you in advance for your cooperation,
Division Licensing Section
(rev. 2.12.25)



MORaine CITY COUNCIL
ATTN CLERK
4200 DRYDEN RD
MORaine OH 45439

NOTICE TO LEGISLATIVE AUTHORITY

06079064-1 PERMIT NUMBER		NEW TYPE	TO MOGA 8 ENTERPRISES INC 4032 KETTERING BLVD MORaine OH 45439 Muni/Village/Twp: Moraine
ISSUE DATE:			
FILING DATE: 8/24/2022			
PERMIT CLASSES: C-1			
57110 TAX DISTRICT	JUN	RECEIPT NO	
FROM 12/1/2025			
PERMIT NUMBER		TYPE	
ISSUE DATE:			
FILING DATE:			
PERMIT CLASSES:			
TAX DISTRICT		RECEIPT NO	

MAILED 12/2/2025

RESPONSES MUST BE POSTMARKED NO LATER THAN 1/2/2026

IMPORTANT NOTICE

PLEASE COMPLETE AND RETURN THIS FORM TO THE DIVISION OF LIQUOR CONTROL WHETHER OR NOT
THERE IS A REQUEST FOR A HEARING.

REFER TO THIS NUMBER IN ALL INQUIRIES: JUN NEW 06079064-1

(TRANSACTION & NUMBER)

(MUST MARK ONE OF THE FOLLOWING)

WE REQUEST A HEARING ON THE ADVISABILITY OF ISSUING THE PERMIT AND REQUEST THAT THE HEARING
BE HELD ☐ IN OUR COUNTY SEAT ☐ IN COLUMBUS

WE DO NOT REQUEST A HEARING ☐

DID YOU MARK A BOX? IF NOT, THIS WILL BE CONSIDERED A LATE RESPONSE.

PLEASE SIGN BELOW AND MARK THE APPROPRIATE BOX INDICATING YOUR TITLE:

(Signature)

(Title) - ☐ Clerk of County Commissioner

(Date)

☐ Clerk of City Council

☐ Township Fiscal Officer

(Printed Name)

(Email Address)

(Telephone No.)